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Keywords:	Bentonite, Coupled heat transfer and water flow, Unsaturated soil, Physical modeling, SWRC, Central heating
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1 **Physical Modeling of Coupled Thermo-hydraulic Behavior of Compacted MX80**

2 **Bentonite during Heating**

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For Review Only

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9 **ABSTRACT:** This paper describes a tank-scale test setup and experimental
10 methodology used to investigate coupled heat transfer and water flow processes during
11 heating of compacted MX80 bentonite to high temperatures. Specifically, a temperature
12 of 200 °C was maintained by a cylindrical heating element at the center of a compacted
13 bentonite layer containing an array of temperature, dielectric, and relative humidity
14 sensors. In addition to providing an evaluation of the spatio-temporal variations in
15 temperature, relative humidity, degree of saturation, and global volume, the coupled
16 thermo-hydraulic properties of the bentonite were assessed. A wetting front was
17 initially observed to move away from the central heater, followed by a drying process
18 until reaching thermo-hydraulic equilibrium. The soil-water retention curve (SWRC)
19 of the bentonite followed a wetting scanning path before following the primary drying
20 path exhibiting a shift in water retention with elevated temperature. Results from the
21 tank-scale test can be used for validation of numerical simulations of drying processes
22 in the engineered barrier system of a high-level radioactive waste geological disposal
23 repository and confirm that a temperature-dependent hysteretic SWRC with scanning
24 paths is required to accurately capture the bentonite response.

25 **KEYWORDS:** Bentonite, Coupled heat transfer and water flow, Unsaturated soil,
26 Physical modeling, SWRC, Central heatingHeat transfer

28 INTRODUCTION

29 The permanent disposal of high-level radioactive waste to protect humans and
30 environment in the long term is one of the major technical hurdles that must be
31 addressed for nuclear energy to remain a viable energy source (e.g., [Birkholzer et al.](#)
32 [2012](#)). A disposal option that has been under investigation for more than 40 years is the
33 storage of waste canisters in deep geological repositories (e.g., [Pusch 1979, 1992](#);
34 [Börgesson et al. 1994](#)). A key component of geological repositories is the compacted
35 bentonite placed as a buffer between the waste canister and the surrounding host rock
36 (e.g., [Lloret et al. 2003](#); [Schanz and Al-Badran 2014](#)). Observations from long-term
37 field studies on bentonite buffers indicate that distributions of temperature, degree of
38 saturation, and dry density of the compacted bentonite will vary during the operation
39 of the repository due to the combined effects of heat released by the waste canister and
40 hydration from groundwater in the host rock, which will inevitably affect the long-term
41 buffer performance (e.g., [Börgesson et al. 2001](#); [Villar 2020](#)). An example of the
42 coupled heat transfer and water flow processes in a bentonite buffer surrounding a waste
43 container is shown in [Figure 1](#). Simulations of the thermo-hydro-mechanical response
44 of bentonite buffers must capture these coupled processes to accurately predict their
45 long-term behavior.

46 A key challenge is that the hysteretic thermo-hydraulic properties of compacted
47 bentonite are still not well understood. This is particularly the case when waste canister
48 temperatures approach values as high as 200 °C (e.g., [Zheng et al. 2015](#); [GTS 2022](#)).
49 These properties, which are highly coupled, include those governing water retention,
50 water transport in liquid and vapor forms, heat transfer, and volume change due to
51 changes in temperature or water content. Although it is possible to perform element-
52 scale tests to measure parameters like the soil-water retention curve (SWRC), hydraulic

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3 53 conductivity function, thermal conductivity function, volumetric heat capacity function,
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5 54 or thermo-elastic volume change response, an important way to understand the coupled
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7 55 thermo-hydraulic behavior of a bentonite buffer is to perform tank-scale tests where
8
9 56 relevant variables like temperature, relative humidity, volumetric water content, and
10
11 57 volume are carefully monitored over time to evaluate the transient thermo-hydro-
12
13 58 mechanical paths at different distances from the heater. An improved understanding of
14
15 59 these couplings is necessary as many simulations of heat transfer and water flow in
16
17 60 bentonite buffers used the thermo-hydraulic parameters measured at room temperature
18
19 61 without consideration of the effects of hydraulic hysteresis (e.g., [Sánchez et al. 2005](#);
20
21 62 [Zheng et al. 2017](#)). Accordingly, the main objective of this study is to evaluate the
22
23 63 spatial distribution of temperature and relative humidity/volumetric water content of a
24
25 64 bentonite layer subjected to central heating conditions. For this purpose, a heating
26
27 65 element was installed within a layer of bentonite compacted within a cylindrical tank,
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29 66 and sensors placed during compaction were used to track the transient thermo-hydraulic
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31 67 response of the bentonite layer during a 3.5 month-long heating process.
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37 **BACKGROUND**

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39
40 69 Although most researchers assume that a compacted bentonite buffer will
41
42 70 eventually become fully saturated due to hydration from water in the surrounding host
43
44 71 rock, the compacted bentonite in the repository is expected to be in unsaturated
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46 72 conditions for times on the order of decades, especially for the bentonite closest to the
47
48 73 waste canister. The transient coupled heat transfer and water flow process will
49
50 74 determine the long-term density distribution in the bentonite buffer and ensure the
51
52 75 security of the waste container in the short term. The heat transfer in unsaturated soil
53
54 76 and the movement of water in soil caused by thermal and hydraulic gradients has been
55
56 77 studied experimentally extensively (e.g., [Bouyoucos 1915](#); [Gurr et al. 1952](#); [Gens et al.](#)
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3 78 [1998](#); [Moradi et al. 2015](#); [Başer et al. 2018](#); [Lu et al. 2020](#)). Some general observations
4
5 79 have been summarized by [Başer et al. \(2018\)](#) as heat transfer occurs in unsaturated soil
6
7 80 by conduction, convection in both liquid and gas phases, and latent heat transfer
8
9 81 associated with water phase change, while conduction is assumed to be the primary
10
11 82 mode. Water movement due to a temperature gradient is controlled by both vaporization
12
13 83 and condensation processes as well as the development of suction gradients caused by
14
15 84 changes in water properties with temperature and drying effects. The magnitude of
16
17 85 thermally induced water flow depends on the initial degree of saturation, and the times
18
19 86 required to reach steady-state distributions in the degree of saturation and temperature
20
21 87 may be different depending on the thermal and hydraulic properties of a given soil,
22
23 88 which may also be coupled. Many researchers have confirmed that the forms and
24
25 89 properties of water, including the density of liquid water (e.g., [Kell 1977](#); [Jacinto et al.](#)
26
27 90 [2012](#)), dynamic viscosity of liquid water (e.g., [Kestin et al. 1978](#)), the air-water surface
28
29 91 tension (e.g., [de Jonge et al. 1999](#); [Bachmann 2002](#)), relative humidity at equilibrium
30
31 92 (e.g., [Philip and de Vries 1957](#)), saturated vapor concentration in the gas phase (e.g.,
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33 93 [Campbell 1985](#)), vapor diffusion coefficient in the air (e.g., [Campbell 1985](#)), and the
34
35 94 latent heat of water vaporization (e.g., [Henderson-Sellers 1984](#)), are dependent on
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37 95 temperature. However, previous studies on the impacts of these variables in bentonites
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39 96 mainly focused on the investigation of specific parameters in element-scale tests where
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41 97 boundary and size effects would inevitably influence the measured results (e.g., [Saba](#)
42
43 98 [et al. 2014a, 2014b](#); [Tripathy et al. 2014](#)).

44
45 99 Several full-scale tests have been carried out or started either in-suit or in the
46
47 100 laboratory under the coupled thermo-hydro-mechanical conditions (e.g., [Alonso et al.](#)
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49 101 [2005](#); [Gens et al. 2007](#); [GTS 2022](#)). For example, the Full-scale Engineered Barriers
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51 102 Experiment (FEBEX) test was commissioned at the Grimsel underground research
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3 103 laboratory in Switzerland (Alonso et al. 2005). Similarly, a laboratory-based full-scale
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5 104 mock-up experiment was conducted as a forerunner of the FEBEX test under boundary
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7 105 control conditions (Martín and Barcala 2005). While valuable in providing actual
8
9 106 repository construction effects and boundary conditions, they have high installation and
10
11 107 operating costs. Alternatively, several small-scale column tests (which can be regarded
12
13 108 as 1-D analysis) have been performed which also have precise control over the applied
14
15 109 thermal and hydraulic gradients, efficient data monitoring, and a reasonable testing
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17 110 period (e.g., Cuevas et al. 1997; Yong et al. 1997; Börgesson et al. 2001; Pintado et al.
18
19 111 2002; Villar et al. 2005; Åkesson et al. 2009; Ye et al., 2009; Schanz et al. 2013; Saba
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21
22 112 et al. 2014b; Tripathy et al. 2015; Rawat et al. 2019, 2020, 2021). For instance, Rawat
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24 113 et al. (2021) developed a column-type experimental device for investigating the coupled
25
26 114 thermo-hydro-mechanical behavior of expansive soils, with which temperature gradient
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28 115 can be applied at the opposite ends of the specimen to investigate the features (e.g.,
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30 116 water flow, volumetric change, anisotropic swelling pressure) of temperature-driven
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32 117 processes in unsaturated compacted porous media.

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37 118 An issue with the past in-situ and column studies is that the temperatures applied
38
39 119 were generally below 100 °C. New repository conditions being explored involve waste
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41 120 canister temperatures up to 200 °C. For example, the recently started full-scale in-situ
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43 121 HotBENT experiment involves a maximum heater temperature of 200 °C (GTS 2022).
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45 122 Zheng et al. (2015, 2017) conducted a coupled THMC simulation of a nuclear waste
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47 123 repository in a clay formation with bentonite backfilled EBS for 1000 years, with the
48
49 124 temperature in the bentonite near the waste canister can reach about 200 °C. However,
50
51 125 they used the thermo-hydraulic properties of bentonite measured at room temperature
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53 126 for simulations. Information on higher temperatures on buffers is desirable for
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55 127 repository optimization concerning design, space and costs (e.g., footprint, layout) and
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3 128 to enable more options with respect to the required interim storage periods (GTS 2022).
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5 129 Meanwhile, the thermal gradient in previous work was typically applied on the opposite
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8 130 ends of the isometric column specimen (parallel to the compaction direction of the
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10 131 specimen), while the nonnegligible radial temperature gradient in the geological
11
12 132 repository is from the canister (decay heat) in the center to the variable section buffer
13
14 133 material surrounded and then the host rock. In which, the thermal conductivity is
15
16 134 perpendicular to the compaction direction of bentonite blocks, while the anisotropy of
17
18 135 thermal behavior has been confirmed in compacted bentonite (e.g., Lee et al. 2016; Lu
19
20 136 et al. 2020). Thus, investigation of the spatial distributions of temperature and relative
21
22 137 humidity/volumetric water content in large-scale bentonite layers under long-term
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24 138 high-temperature heating can better capture the coupled processes representative of the
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26 139 repository operating conditions, is still worth studying in more detail.

30
31 140 Meanwhile, numerical model investigation for coupled heat transfer and flow of
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33 141 water in liquid and vapor forms have been investigated for unsaturated porous media
34
35 142 both in nondeformable conditions (e.g., Philip and de Vries 1957; Thomas and King
36
37 143 1991; Smits et al. 2011; Başer et al. 2018), and deformable conditions (e.g., Gawin et
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39 144 al. 1995; Thomas et al. 1996; Thomas and He 1997). Most models for nondeformable
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41 145 unsaturated soils are based on the model of Philip and de Vries (1957), who proposed
42
43 146 the liquid island theory to explain the observations by Gurr et al. (1952) that vapor
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45 147 diffusion occurred at a faster rate than that predicted by Fick's law. They provided a
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47 148 pore-scale explanation where local thermal gradients are assumed to be higher across
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49 149 microscopic air-filled pores than the global thermal gradient across soil element, and
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51 150 where water vapor diffusion is enhanced by evaporation and condensation from water
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53 151 held between soil particles by capillarity, effectively increasing the area available for
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55 152 vapor diffusion through the soil element. The approaches proposed on deformable
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3 153 unsaturated soils typically extend previous model analyses of the coupled transport of
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5 154 heat, pore water, and pore air to take account of the deformation behaviors of
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8 155 unsaturated soil. For example, [Thomas and He \(1997\)](#) proposed a formulation based on
9
10 156 a mechanistic phase interaction model ([de Vries 1958](#)) coupled to a state surface ([Lloret](#)
11
12 157 [and Alonso 1985](#)), where the pore water pressure, pore air pressure, temperature, and
13
14 158 displacement are treated as the primary unknowns. However, many simulations of heat
15
16 159 transfer and water flow in bentonite buffers use thermo-hydraulic properties measured
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18 160 at room temperature (e.g., [Sánchez et al. 2005](#); [Zheng et al. 2017](#)) as models to consider
19
20 161 the effects of elevated temperatures are not yet available for expansive clays.
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24 162 The SWRC of bentonite reflects all mechanisms of soil-water interactions and can
25
26 163 be sensitive to temperature, density, constraint conditions, among other variables (e.g.,
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28 164 [Tuller et al. 1999](#); [Romero et al. 2011](#)). The pore water for the bentonite in the low
29
30 165 suction range includes both the capillary water that exists in the pores between the
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32 166 aggregates and the adsorb water that exists in the pores within the aggregates, thus the
33
34 167 water retention mechanism involves both capillary and adsorption effects. In the high
35
36 168 suction range, the main water retention mechanism of bentonite is the adsorption effect,
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38 169 since the pore water mainly consists of adsorbed water existing in the pores of the
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40 170 aggregate. Increased temperature may lead to a decrease in water retention for the
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42 171 following reasons: (1) retention of water due to capillarity for suctions less than
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44 172 approximately 10 MPa is closely related to the liquid surface tension and contact angle,
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46 173 which will decrease with temperature, resulting in a decrease of capillary water content
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48 174 in bentonite ([Villar 2004](#)); (2) the increase in temperature causes the water in the
49
50 175 aggregates to be released into the inter-particles pores to become free water thus
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52 176 reducing the water retention capacity of bentonite (e.g., [Ma and Hueckel 1992](#)); (3) the
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54 177 increase in temperature leads to a thermal expansion of closed air bubbles which will
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3 178 displace capillary water, and lead to the capillary water decreases (e.g., [Romero et al.](#)
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5 179 [2003](#)); (4) porosity redistribution and thermo-chemical interactions, which alter clay
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7 180 fabric and pore fluid, can be relevant (e.g., [Romero et al. 2001](#)), and (5) reductions in
8
9 181 the maximum suction that the soil can sustain (e.g., [Lu and Khorshidi 2015](#)). An
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11 182 increase in adsorptive water retention may occur with temperature as the thickness of
12
13 183 the diffuse double layer will increase with temperature (e.g., [Revil and Lu 2013](#)).
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15 184 Previous studies also found the influence of dry density on the water retention capacity
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17 185 depends on the suction range, while no consensus has been reached. For example,
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19 186 [Jacinto et al. \(2009\)](#) found for suctions above 30 MPa, the retention capacity of MX80
20
21 187 bentonite in terms of water content is higher as the dry density increases, whereas for
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23 188 suctions below this threshold value, the lower the dry density the higher the water
24
25 189 content for a particular suction. [Ye et al. \(2015\)](#) found that the effect of initial dry
26
27 190 density on water retention of GMZ01 bentonite is negligible for suctions greater than
28
29 191 10 MPa but can be significant for lower suctions. Meanwhile, a hysteretic behavior was
30
31 192 witnessed between the wetting path and drying path on the SWRCs, which can be
32
33 193 interpreted from the aspects of difference in pore size and its connection throat, change
34
35 194 of pore structure, water-air interface contact angle, etc. (e.g., [Fredlund and Rahardjo](#)
36
37 195 ~~1993~~; [Rostami et al. 2013](#); [Kim et al. 2018](#)). Further, [Dueck and Nillson \(2010\)](#)
38
39 196 observed hysteretic SWRCs in the high suction range during application of suctions
40
41 197 using vapor equilibrium. [Lu et al. \(2015\)](#) further differentiated particle-surface
42
43 198 hydration and crystalline or interlayer cation hydration to describe the hysteresis. It is
44
45 199 important to note that most of the studies mentioned in this section focused on
46
47 200 traditional “small-size cake-shaped” specimens (where suction inside is considered
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49 201 uniform) with suction control using the vapor equilibrium technique which means that
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51 202 there is a lack of investigation on the different transient thermo-hydraulic paths that a
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3 203 compacted, unsaturated bentonite may undergo during heating, which will vary with
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5 204 the distance from the heater in the buffer.
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8 205 **MATERIALS**

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10 206 This study focuses on MX80 Bentonite from Wyoming, one of the most popular
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12 207 types of bentonites used internationally in bentonite buffer systems (e.g., Villar 2005;
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14 208 Wang et al. 2012). The MX80 bentonite used in this work was obtained from the
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16 209 American Colloid Company in granular form with a low initial gravimetric water
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18 210 content of approximately 9%. The geotechnical index properties of this bentonite are
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20 211 listed in Table 1. Bentonite is a naturally mined clay so its Atterberg limits are variable,
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22 212 but the liquid and plastic limits measured by the authors in this study are consistent
23
24 213 those reported by Tripathy et al. (2014) and Bennett (2014). The grain size distribution
25
26 214 curve of the bentonite in both granular forms using sieve testing and hydrated forms
27
28 215 using hydrometer testing are presented in Figure 2(a). The relatively coarse grain size
29
30 216 distribution of the granular bentonite MX80 bentonite indicates that a difference
31
32 217 between the macropores between granules and the micropores within the granules will
33
34 218 be present, which may affect the thermo-hydraulic properties. The SWRC of bentonite
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36 219 under room temperatures is shown in Figure 2(b) along with a best fit of the SWRC
37
38 220 model proposed by Lu (2016) with parameters in Table 4. The points on the SWRC
39
40 221 were obtained for individual specimens of bentonite compacted to an initial dry density
41
42 222 of 1.3 Mg/m^3 at a gravimetric water content of 12.55%, which were brought to different
43
44 223 suction values using the vapor equilibrium technique (e.g., Tang et al. 2005). The
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46 224 specimens were tested under unconstrained conditions, so they were allowed to change
47
48 225 in volume during hydration or drying from the initial conditions. Note that the shape of
49
50 226 the SWRC is sensitive to the initial dry density (e.g., Villar 2005). Thus, the initial dry
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52 227 density of the specimen in Figure 2(b) selected the same value as adopted in the tank
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3 228 test below. There are two reasons for choosing 1.3 Mg/m^3 as the initial dry density in
4
5 229 this work. Firstly, 1.3 Mg/m^3 has been widely chosen as a target dry density in previous
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7 230 studies on bentonite (e.g., ~~Sawatsky and Osearson 1991; Choi and Osearson 1996; Bag~~
8 231 ~~2011; Villar 2005; Hoffmann et al. 2007; Cui 2017; Xu et al. 2017~~), which may help in
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12 232 interpretation of results. Secondly, it is challenging to reach high densities during
13
14 233 manual compaction of granular MX80 via hand tamping into a tank with a large cross-
15
16
17 234 sectional area. Manual compaction, as opposed to static compaction using a
18
19 235 compression frame, is required to protect embedded sensors. The maximum dry density
20
21 236 that can be achieved by manual compaction is approximately 1.3 Mg/m^3 . Greater dry
22
23 237 densities are achieved in the field by mixing bentonite pellets with granular bentonite,
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25
26 238 but the focus of this study is on granular bentonite.

239 **EXPERIMENTAL INVESTIGATION**

240 **Experimental setup**

241 For implementing the tank-scale heating test, an experimental setup was developed
242 that consists of an insulated, cylindrical aluminum container, a heating system, and
243 embedded sensors. A schematic of the setup is shown in [Figure 3](#). The aluminum tank
244 has an internal diameter of 554.5 mm, an external diameter of 587.5 mm, and a height
245 of 477.8 mm. The aluminum has a density of 2.7 Mg/m^3 , a thermal conductivity of
246 $237 \text{ W/(m}\cdot\text{K)}$, and a specific heat capacity of $921 \text{ J/(kg}\cdot\text{K)}$. The upper surface of the
247 bentonite is confined by a reinforced concrete cap (not fixed on the tank, and the
248 displacement can be measured by LVDT) with a diameter of 540 mm, a height of 57.2
249 mm, and a mass of 48.78 kg. In this study, the cap was only used to maintain a stable
250 soil surface during the heating test reported in this study but can also be used as part of
251 an integrated loading system to apply axial loads to the soil layer to prevent volume
252 changes during bentonite hydration. Mineral wool blanket insulation with a thickness

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3 253 of approximately 100 mm was wrapped around the sides of the tank, below the tank,
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5 254 and above the concrete cap to help minimize heat losses from the setup.
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8 255 The heating system consists of a Watlow Firerod 2127 cartridge heating element
9
10 256 and a Watlow EZ-ZONE PM6 temperature controller. The cartridge heating element
11
12 257 has 12.5 mm in diameter, 102 mm in height, and 1100 W in maximum power. A type
13
14 258 K thermocouple is encapsulated in the middle of the heating element. The temperature
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16
17 259 controller with a calibration accuracy of ± 1 °C was connected to the temperature
18
19 260 controller to maintain a constant temperature at the center of the heater during testing.
20

21 261 The thermo-hydraulic response of the bentonite layer was monitored using four
22
23 262 TE HTM2500LFL relative humidity sensors, five Meter Teros 12 dielectric sensors,
24
25 263 and three Omega thermocouples (Type K). The relative humidity sensors contain a
26
27 264 relative humidity and temperature module, with humidity calibrated within $\pm 2\%$ at
28
29 265 55%RH, and temperature measurement through NTC 10 kOhms $\pm 1\%$ direct output.
30
31 266 The dielectric sensor together with the Em50 data logger provides measurement of
32
33 267 volumetric water content with a resolution of $0.001 \text{ m}^3/\text{m}^3$ and accuracy of $\pm 0.03 \text{ m}^3/\text{m}^3$,
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35 268 and temperature with a resolution of 0.1 °C and an accuracy of ± 0.3 °C. The Type K
36
37 269 thermocouples have a measurement range of $0 - 230$ °C and were used to measure the
38
39 270 soil temperatures on the top and side boundaries as well as the ambient room
40
41 271 temperature. The vertical surface deformations during the heating process were
42
43 272 measured using a Schaevitz 500HR Linear Variable Differential Transformer (LVDT)
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45 273 having a range of 25.4 mm and a sensitivity of 28 mV/V/mm. Two stable power
46
47 274 supplies were used for the relative humidity sensors (5V DC) and LVDT (24V DC),
48
49 275 respectively. A National Instruments data acquisition system, consisting of NI ethernet
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51 276 CompactDAQ chassis with C series universal analog input modules and computer with
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53 277 LabVIEW software, was used for data collection.
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278 **Soil layer preparation and sensor location**

279 A cross-sectional schematic with the compacted bentonite layer and
280 instrumentation locations is shown in [Figure 3\(b\)](#). The compaction conditions for the
281 soil layer and the locations of the sensors are summarized in [Tables 2](#) and [3](#), respectively.
282 The MX80 bentonite in as-received conditions was first carefully mixed with water to
283 reach a constant gravimetric water content of 12.55% and stored in a sealed container
284 to ensure homogeneity. Then the bentonite was compacted into eight 25.5 mm-thick
285 lifts with the goal of reaching a target height of 204 mm. However, due to the inclusion
286 of sensors in the bentonite layer during compaction, the final thickness of the layer was
287 210.5 mm. The heating element was installed after the placement of the second lift in
288 the center of the soil layer, and the third and fourth lifts were compacted around the
289 heating element. The relative humidity sensors were placed atop the fourth lift (at the
290 bottom of the fifth lift), and the dielectric sensors were inserted into the top of the sixth
291 lift so that the sensing probes were within the fifth and sixth lifts. One Type K
292 thermocouple was placed out of the tank to measure room temperature, while the other
293 two were placed at the top of the soil layer above the heating element, and at the inside
294 edge of the container in the middle of the bentonite layer. Two layers of 13 μm -thick
295 plastic wrap were placed on the top of the bentonite layer to help maintain water content
296 before placing the concrete cap. Then, the LVDT was placed on the top of the cap to
297 measure the vertical displacement of the entire soil layer.

298 **Methods and procedures**

299 After the preparation of soil layers and the installation of the sensors, the tank was
300 covered with insulation and the sensors were double-checked. Then, the high-
301 temperature heating process on the compacted bentonite was started with the
302 temperature of the heating element was set to 200 °C to replicate the temperature

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3 303 expected in the HotBENT project at the Grimsel Test Site (e.g., [Zheng et al. 2015](#); [GTS](#)
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5 304 [2022](#)). Time series of the temperatures at the center of the heating element and the
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7 305 ambient room are shown in [Figure 4](#). To better depict the time dependence of
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9 306 temperature over the long duration of the test while still being able to observe the major
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11 307 changes in thermo-hydraulic variables occurring in the early stages of the test this and
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13 308 other time series are plotted on a semilogarithmic scale. All the sensors were scanned
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15 309 every 60 s for the first 24 hours and then every 600 s for the rest of the test.

19 310 **RESULTS**

21 311 **Time-series results**

23 312 Evolutions of temperature inside the soil layer from the relative humidity sensors
24 313 and the dielectric sensors are shown in [Figures 5\(a\)](#) and [5\(b\)](#), respectively. The results
25 314 in [Figure 5](#) demonstrated that soil temperatures increase significantly at the first one
26 315 hundred hours of heating and then close to stable gradually, while the soil temperature
27 316 close to the heating element always holds a higher temperature than that away from the
28 317 heating element. Even though the temperature at the center of the heater was 200 °C,
29 318 due to the low thermal conductivity of the bentonite along with three-dimensional heat
30 319 transfer effects (e.g., upward and downward heat transfer in addition to radial heat
31 320 transfer as expected in the repository), the temperature at the location of the nearest
32 321 sensor (20 mm away) only approached 80 °C. The temperatures for the soils at the top
33 322 and side boundaries are shown in [Figure 6](#), which indicate that there is appreciable
34 323 upward heat transfer from the cylindrical heating element, and that the soil at the outer
35 324 boundary of the container did increase in temperature and stabilize at approximately
36 325 28.7 °C, or about 6.1 °C above ambient room temperature.

37 326 Although the bentonite in a repository is expected to be restrained both axially and
38 327 radially, the heating test reported in this study was performed under unconstrained
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3 328 conditions with a small axial stress of 1.98 kPa. However, the volumetric strains during
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5 329 the heating process were relatively small, as shown in [Figure 7](#). Results show that there
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8 330 is an initial period of negative vertical strain (contraction) at the beginning of heating,
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10 331 while the soil layer started to expand after the temperatures throughout the soil layer
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12 332 increased. The thermal expansion is expected for an over-consolidated soil (e.g., [Sultan](#)
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14 333 [2002](#); [Cekerevac and Laloui 2004](#)) or a relatively dry compacted soil under low
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16 334 confinement (e.g., [Tang and Cui 2009](#)). Nonetheless, the volumetric strains observed
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18 335 for the soil layer (which had a nonuniform temperature) were smaller than those
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20 336 observed in [Tang and Cui \(2009\)](#). Note that the inflection point in volumetric strain
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22 337 occurs around 100 hours which coincides with the time that the soil temperature
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24 338 stabilizes. Results show that the vertical strain does not increase significantly until the
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26 339 later stages of heating where the soil temperature reaches a high and stable value.

30 340 Time series of relative humidity at different locations are shown in [Figure 8\(a\)](#).
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32 341 Results in the figures depicted that the measured relative humidity begins to increase at
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34 342 the beginning of heating, while after several hours of heating, the relative humidity
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36 343 starts to decrease slowly. The initial increase in relative humidity occurs because the
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38 344 water near the heating element was diffused outward during heating, resulting in a
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40 345 temporary wetting front that passes by the sensors. Over time, the wetting front is
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42 346 followed by gradual drying of the bentonite corresponding to a decrease in relative
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44 347 humidity until stabilization. It is important to note that hydraulic stabilization occurs in
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46 348 the soil layer even though the temperature of the bentonite at the center of the layer is
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48 349 close to 200 °C. Although water should completely evaporate from the soil above
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50 350 100 °C, this likely only occurs in the proximity of the heater. The local degrees of
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52 351 saturation at different locations in the soil layer were calculated from the volumetric
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54 352 water contents measured from the dielectric sensors and the volumetric strain (equal to
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3 353 the vertical strain) calculated from the LVDT measurements and are plotted in [Figure](#)
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5 354 [8\(b\)](#). Compared with the temperature time series from these sensors in [Figure 5\(b\)](#), the
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7 355 local saturation continues to decrease even after the temperature at this location had
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9 356 stabilized, indicating that the heat transfer and water flow processes occurred at
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11 357 different rates.

14 358 **Spatial distributions of key variables**

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17 359 Integrating the soil temperature data from different sensors in the middle soil layer,
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19 360 the temperature distributions with radial distances from the heating element are
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21 361 summarized in [Figure 9\(a\)](#). Notably, the soil temperature decreases significantly with
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23 362 radial distance from the heating element for distances less than 125 mm (20 times the
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25 363 heating element radius), the gradient in soil temperature with distance decreases and
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27 364 approaches a stable value. The distributions of the relative humidity and volumetric
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29 365 water content with radial distance from the heating element are summarized in [Figures](#)
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31 366 [9\(b\)](#) and [9\(c\)](#). Results showed that after thousands of hours of heating, the relative
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33 367 humidity at locations close to the heating element (20, 40 mm) stabilize gradually, and
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35 368 the time required for the relative humidity to stabilize is longer than the time required
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37 369 for the temperature to stabilize, confirming that the times required to reach steady-state
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39 370 distributions in the degree of saturation and temperature may be different depending on
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41 371 the coupling between the thermal and hydraulic properties of a given soil. The overall
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43 372 stability line is approximately in an “S” shape. Moreover, for the locations away from
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45 373 the heating element, the “stable” of water distribution seem would take a much longer
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47 374 time, as the water continues diffusing outward accompanied by the heat transfer from
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49 375 the heating element, while the unsaturated hydraulic conductivity of compacted
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51 376 bentonite is extremely low (e.g., 10^{-12} m/s) (e.g., [Pusch 1980](#); [Cui et al. 2008](#)). In fact,
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53 377 it may be difficult for water distribution to reach a stable condition during heating but
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3 378 may reach a dynamic “thermo-hydraulic equilibrium” stage in a sealed space.
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6 379 **ANALYSIS**
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8 380 **Movement of wetting and drying fronts**
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10 381 Significant changes of water distribution in the compacted bentonite occurred
11 382 during heating. The time series of the degree of saturation in Figure 8(b) indicates that
12 383 there were three stages of water flow, an initial stage, a wetting stage, and a drying stage.
13 384 The initial stage corresponds to the initial degree of saturation of the soil layers before
14 385 heating and at the first few minutes where not enough heat arrived at target locations.
15 386 The wetting stage occurs at the beginning of heating when the water between the
16 387 heating element and the sensor disperses outward accompanied by heat transfer,
17 388 resulting in an outward movement of the wetting front. Thermal-induced flow of water
18 389 between intra-aggregate pores, where the density of water is greater than that of free
19 390 water, and inter-aggregate pores containing free water may occur, further increasing the
20 391 local degree of saturation. However, as heat transfer continues, the water on the inner
21 392 sides of the sensor reached a thermohydraulic equilibrium gradually and the thermal-
22 393 induced dispersion at the sensor’s location plays a dominant role, resulting in the
23 394 movement of the drying front. Thus, the degree of saturation decreases corresponds to
24 395 the drying stage in the figure. The maximum value of the degree of saturation that was
25 396 observed as the wetting front passed the locations of the different sensors is shown in
26 397 [Figure 10](#). Notably, the saturation of the wetting front at different locations decreases
27 398 with the distance from the heating element with an approximately linear function, due
28 399 to the linearly increasing sectional area. Meanwhile, the velocity of the wetting front,
29 400 calculated by the distance from the heating element divided by the elapsed time,
30 401 decreases with distance almost linearly in the semi-logarithmic coordinate system.
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402 Evaluation of the transient SWRC

403 To understand the transient water retention path of the bentonite during the heating
404 process, the data from the relative humidity sensors and the dielectric sensors were
405 integrated and then transient scanning SWRCs were determined. Kelvin's law was used
406 to convert the relative humidity to total suction, as follows:

$$s_T = -\rho_w \frac{R_g T}{M_w} \ln(RH) \quad (1)$$

407 where s_T is total suction, ρ_w is the density of water (0.998 Mg/m³ at 20 °C), R_g is the
408 universal gas constant (8.31432 J/mol·K), T is the absolute temperature in K, M_w is the
409 relative molar mass of water molecules (18.016 g/mol), and RH is the relative humidity.

410 The transient SWRCs depict the degree of saturations versus suctions for three
411 locations (50, 60, 100 mm from the heating element) are shown in [Figure 11\(a\)](#). Certain
412 data at specific locations was calculated by the arithmetic mean value of the two
413 adjacent locations. For example, the volumetric water content for point 60 mm (from
414 the heating element) was calculated by the points 50 mm and 70 mm, and then the
415 degree of saturation was calculated correspondingly. Note that each curve in the figure
416 can be divided into two parts based on the turning points, which correspond to a wetting
417 path and drying path, respectively. In another word, the tested curve starts from the
418 wetting path and transitions to a drying path, then follows the drying path. The wetting
419 path, corresponding to the rapid increase of temperature in [Figure 11\(b\)](#), was caused by
420 the water diffusing away from the heater during the initial stage of heating while the
421 drying path corresponds to the gradual drying process in [Figure 11\(b\)](#). It is likely that
422 the initial wetting path follows a scanning curve starting from the primary wetting curve
423 with negligible changes in suction, then follows the primary drying path where larger
424 changes in suction occur as the bentonite dries. The transient path confirms that the

425 bentonite experiences significant hysteresis during the heating process.

426 To provide additional context for the transient saturation-suction curves at different
 427 locations, the fitted primary wetting and drying paths of the SWRCs fitted by the
 428 modified Lu (2016) model were plotted in Figure 11(a) with fitting parameters
 429 presented in Table 4. In this model, the water retention due to adsorption and capillary
 430 effects are considered separately as follows:

$$431 \quad S = \frac{\theta(\psi)}{\theta_s} = \frac{\theta_a(\psi) + \theta_c(\psi)}{\theta_s} \quad (2)$$

$$432 \quad \theta_a(\psi) = \theta_{\max} \left\{ 1 - \left[\exp\left(\frac{\psi - \psi_{\max}}{\psi}\right) \right]^m \right\} \quad (3)$$

$$433 \quad \theta_c(\psi) = \frac{1}{2} \left[1 - \operatorname{erf}\left(\sqrt{2} \frac{\psi - \psi_c}{\psi_c}\right) \right] [\theta_s - \theta_a(\psi)] [1 + (\alpha\psi)^n]^{1/n - 1} \quad (4)$$

434 where S is degree of saturation (m^3/m^3), $\theta(\psi)$ is the volumetric water content (m^3/m^3),
 435 $\theta_a(\psi)$ and $\theta_c(\psi)$ are the adsorptive and capillary volumetric water contents, respectively
 436 (m^3/m^3), θ_s is the volumetric water content at saturation or the porosity (m^3/m^3), θ_{\max} is
 437 the maximum adsorptive volumetric water content (m^3/m^3), ψ is the suction (kPa), ψ_c
 438 is the mean cavitation suction (kPa), ψ_{\max} is the maximum suction (kPa), α , m , n are
 439 fitting parameters, and erf is the error function (e.g., Mathews and Walker 1970).

440 The wetting path SWRC (Fitted (a)), which is very similar to that fitted to the vapor
 441 equilibrium tests shown in Figure 2(b), passes through the initial point of the tested
 442 SWRCs, representing the initial hydraulic state for the compacted bentonite. The drying
 443 path curve under room temperature (Fitted (b)) shows notable hysteresis with the
 444 wetting path curve (Fitted (a)), while the drying path curve (Fitted (c)) shows a shift
 445 with elevated temperature. For the wetting path of the SWRCs (Figure 11(a)), the curve
 446 for the location closest to the heating element (which has a high temperature as shown
 447 in Figure 11(b)) is lower than the curve for the locations further from the heating
 448 element with lower temperatures, indicating that the water retention capacity of

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3 449 bentonite decreases with the increase of temperature at a given suction. This process
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5 450 may occur because the adsorption of water molecules to the surface of the solid phase
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8 451 is an exothermic process, while the desorption of adsorbed water from the surface of
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10 452 the solid phase is an endothermic process. Thus, increased temperature will inhibit the
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12 453 adsorption process of water molecules on the crystal layer surface. In another word, the
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14 454 retention of adsorbed water will be decreased. However, the drying path curve for the
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17 455 location closest to the heating element with the highest temperature, is notably higher
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19 456 than the curve corresponding to locations further from the heating element with lower
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21 457 temperature. This might be due to a change in pore water and pore structure during the
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23 458 transient heat transfer and water flow process. At the beginning of heating, the free
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26 459 water which includes the original free water and newly generated free water diffusing
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28 460 from the locations close to the heater, the curve follows the wetting path. As a result,
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30 461 the soil away from the heating element holds higher water content which will reduce as
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33 462 the soil swells and then compresses the soil closer to the heating element. Therefore,
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35 463 the soil near the heating element will have an increased dry density and more small
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37 464 pores that can retain more water by capillarity. That is the reason why the tested curve
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39 465 following the drying path is higher than the fitted SWRC from the Lu (2016) model, as
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42 466 the increased local dry density causes the SWRC to shift upward.

467 CONCLUSIONS

468 This paper presents a tank-scale test setup and experimental methodology to
469 investigate the coupled thermo-hydraulic response of MX80 bentonite during heating.
470 Soil temperature increases notably during the initial stages of heating before gradually
471 stabilizing. A very sharp drop in temperature with distance from the heater was
472 observed. The degree of saturation and relative humidity were found to first increase
473 then decrease as water moved outward away from the central heater. The water flow

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3 474 process required a longer time to reach equilibrium compared to the heat transfer
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5 475 process. The bentonite experienced a complex wetting and drying path with the
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7 476 movement of the wetting front upon heating. Transient heating causes wetting from the
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9 477 initial primary wetting SWRC path along a scanning curve, after which the bentonite
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11 478 follows the primary drying SWRC path. The bentonite not only shows significant
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13 479 hysteresis between the wetting and drying paths, but also a shift with elevated
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15 480 temperature. The results from this study confirm that it is critical a hysteretic SWRC
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17 481 that incorporates temperature effects when performing numerical simulations of the
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19 482 coupled heat transfer and water flow processes in bentonite buffer systems for nuclear
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21 483 waste repositories, which is worth noting from the current state of the practice.
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32 487 authors alone.
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Table 1. Basic physical properties of MX80 bentonite

Parameter	Value
Specific gravity, G_s (%)	2.66
Liquid limit, ω_L (%)	345
Plastic limit, ω_p (%)	47
Plastic index, I_p (-)	298

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Table 2. Initial conditions of the soil layer after compaction

Parameter	Value
Thickness (mm)	210.5
Total density (Mg/m^3)	1.472
Dry density (Mg/m^3)	1.308
Gravimetric water content (%)	12.55
Volumetric water content (m^3/m^3)	0.191
Void ratio (m^3/m^3)	1.034
Porosity (m^3/m^3)	0.508
Degree of saturation (m^3/m^3)	0.323

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Table 3. Sensor locations

Sensor	No.	Radial distance from heating element surface (mm)	Height from tank bottom (mm)
Heating element	-	-	103
Relative humidity sensors	A	20	103
	B	40	103
	C	60	103
	D	100	103
Dielectric sensors	A	50	103
	B	70	103
	C	100	103
	D	125	103
	E	185	103
Thermocouples (Type K)	A	-	-
	B	0	210.5
	C	277.3	103
External LVDT	-	-	400

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Table 4. Model parameters for the SWRC of Lu (2016)

Parameter	Figure 2(b)	Figure 11(a)		
		Fitted (a)	Fitted (b)	Fitted (c)
θ_s (m ³ /m ³)	0.529	0.526	0.526	0.526
θ_{\max} (m ³ /m ³)	0.219	0.221	0.221	0.230
ψ_c (kPa)	32000	32000	84000	82000
ψ_{\max} (kPa)	577750	577750	577750	483450
α (-)	0.05	0.002	0.001	0.0012
m (-)	0.35	0.35	0.99	0.99
n (-)	1.60	1.6	1.5	1.5

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3 769 **List of Figure Captions**
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5 770 **Figure 1.** Summary of coupled heat transfer and water flow processes in a bentonite buffer
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7 771 **Figure 2.** Geotechnical properties of MX80 bentonite: (a) Grain size distribution (b) SWRC
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9 772 **Figure 3.** Overview of the tank test setup: (a) Photo of the assembled experimental
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12 773 setup; (b) Schematic with the compacted bentonite layer and instrumentation
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14 774 locations
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16 775 **Figure 4.** Central heating element and ambient room temperature boundary conditions
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18 776 **Figure 5.** Evolution of soil temperatures inside the bentonite layer obtained from:
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21 777 (a) Relative humidity sensors; (b) Dielectric sensors
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23 778 **Figure 6.** Evolution of temperatures at the top-center and side of the soil layer
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25 779 **Figure 7.** Evolution of vertical strain during heating (positive strain denotes expansion)
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28 780 **Figure 8.** Time series of hydraulic response of the soil layer at different locations during
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30 781 heating: (a) Relative humidity; (b) Degree of saturation
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32 782 **Figure 9.** Radial profile plots of thermo-hydraulic variables at different times during
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34 783 heating: (a) Temperature; (a) Relative humidity; (b) Degree of saturation
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37 784 **Figure 10.** Saturation at wetting front and velocity of wetting front
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40 785 **Figure 11.** Transient thermo-hydraulic response at different locations in the soil layer:
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43 786 (a) Suction-saturation curves with wetting and drying path SWRCs;
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45 787 (b) Temperature-saturation curves
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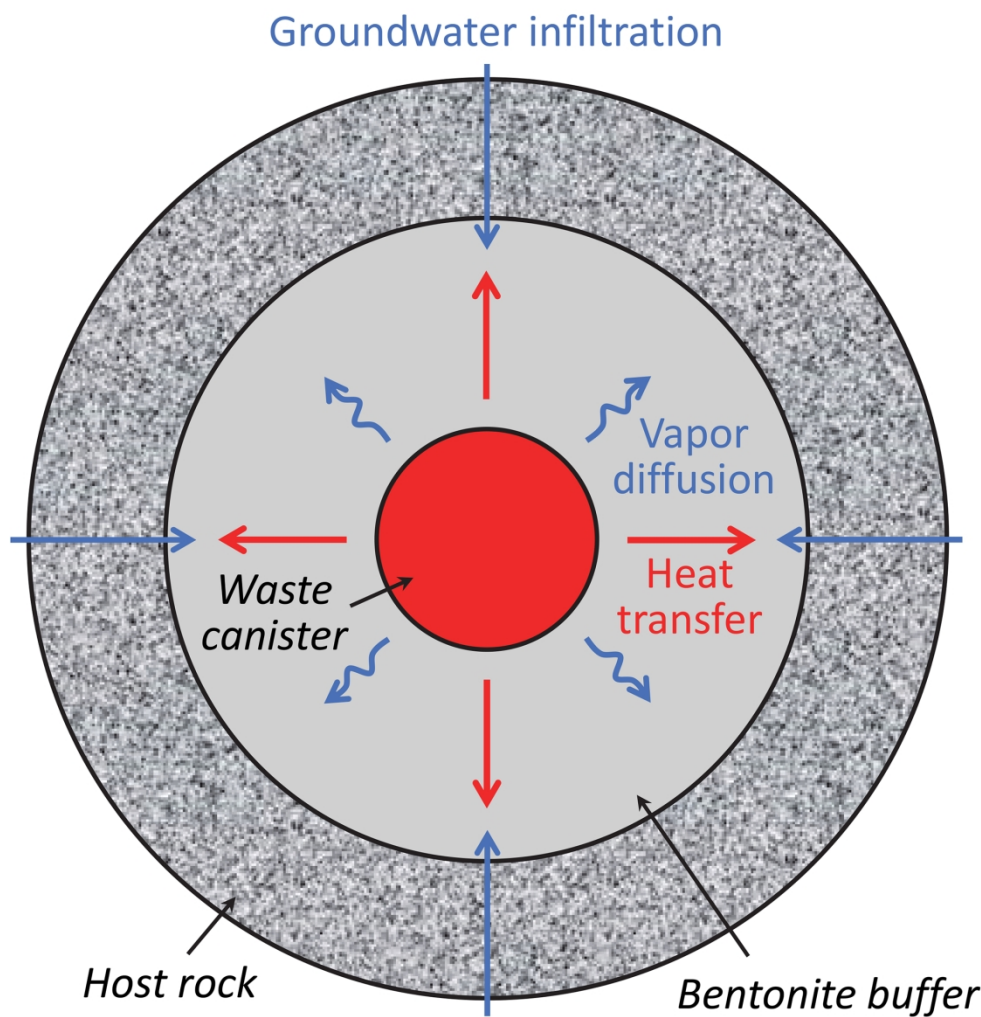


Figure 1. Summary of coupled heat transfer and water flow processes in a bentonite buffer

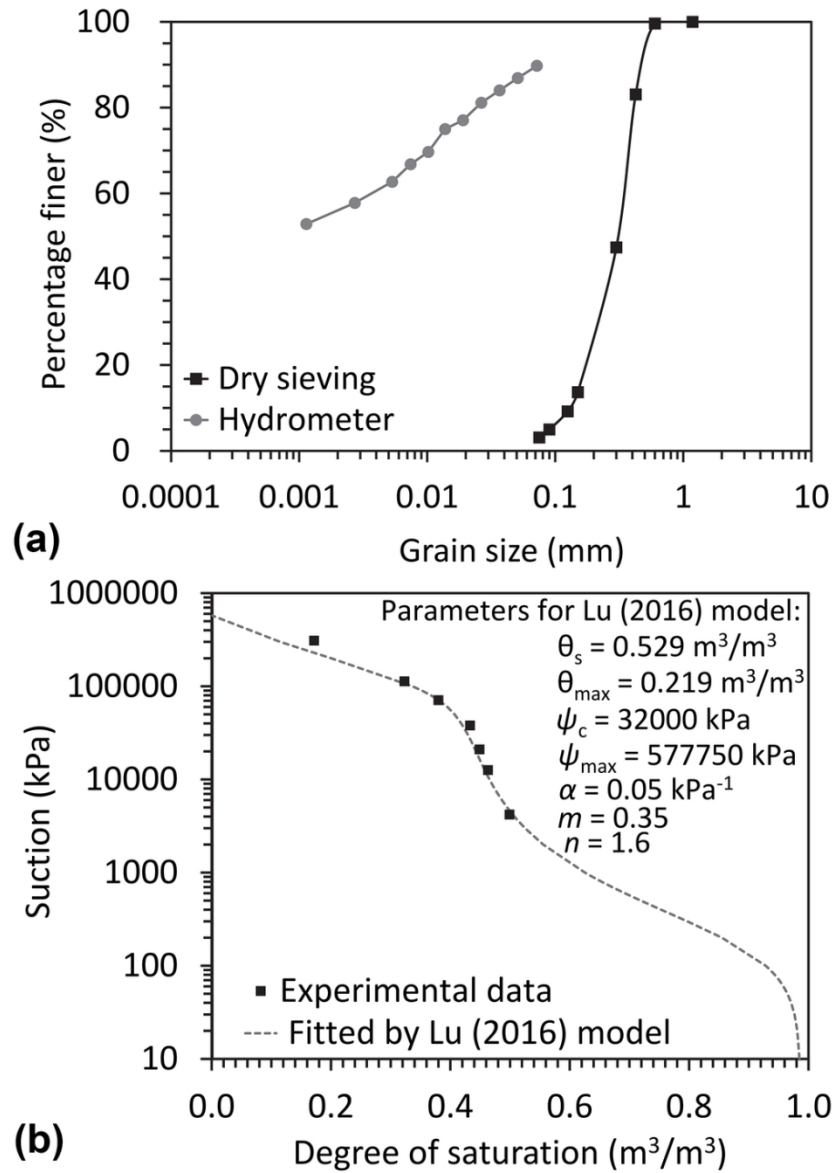
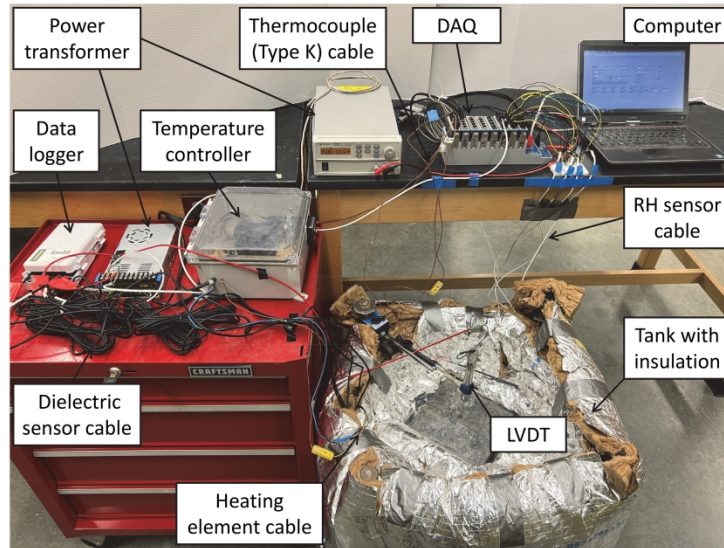
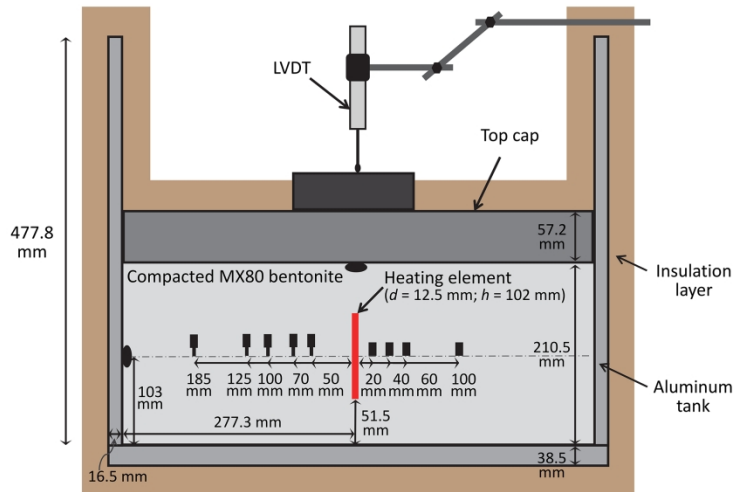


Figure 2. Geotechnical properties of MX80 bentonite: (a) Grain size distribution (b) SWRC

88x126mm (300 x 300 DPI)



(a)



(b)

- ▮ Dielectric sensor (temperature and water content)
- Relative humidity sensor (temperature and relative humidity)
- Thermocouple (Type K) (temperature)

Figure 3. Overview of the tank test setup: (a) Photo of the assembled experimental setup; (b) Schematic with the compacted bentonite layer and instrumentation locations

88x145mm (600 x 600 DPI)

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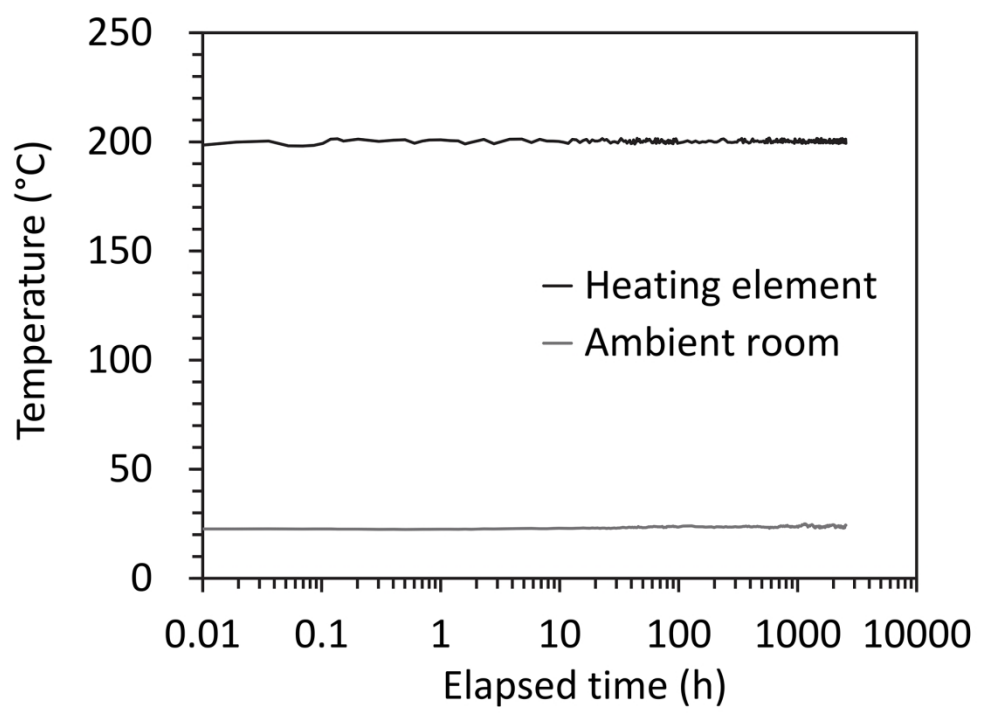


Figure 4. Central heating element and ambient room temperature boundary conditions

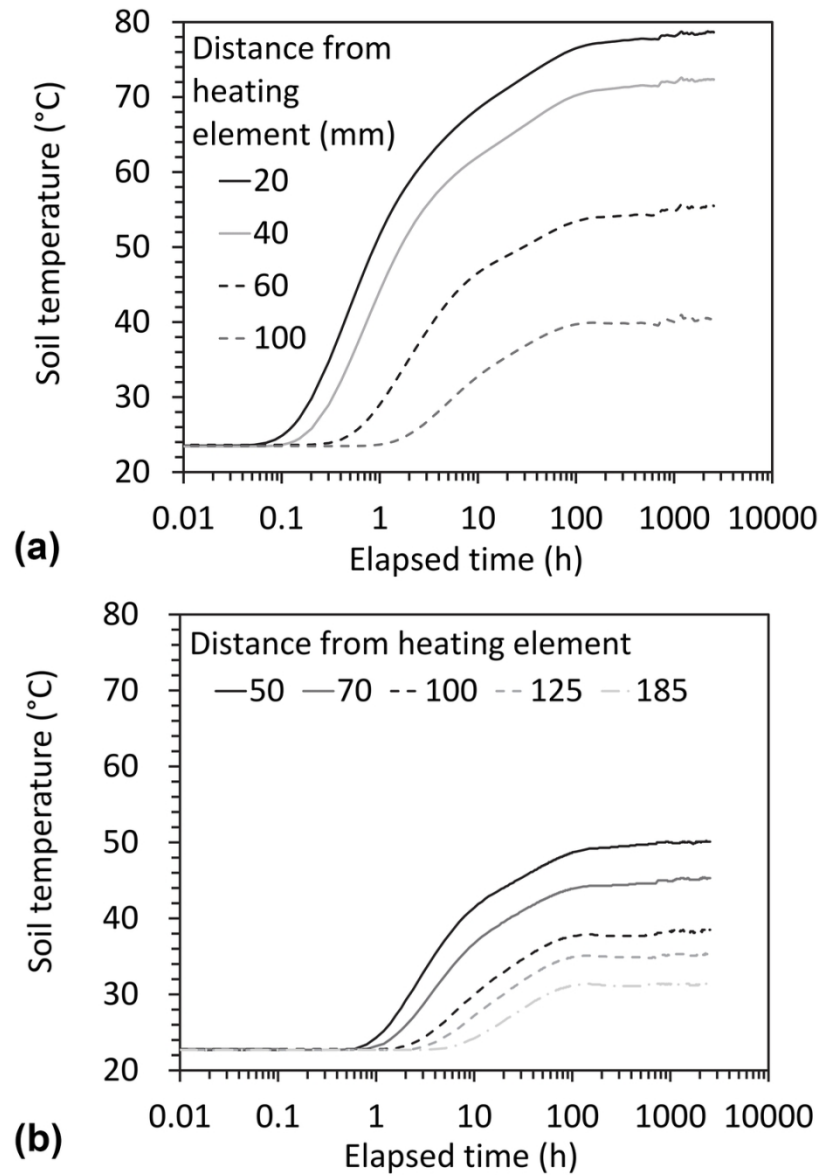


Figure 5. Evolution of soil temperatures inside the bentonite layer obtained from: (a) Relative humidity sensors; (b) Dielectric sensors

88x130mm (300 x 300 DPI)

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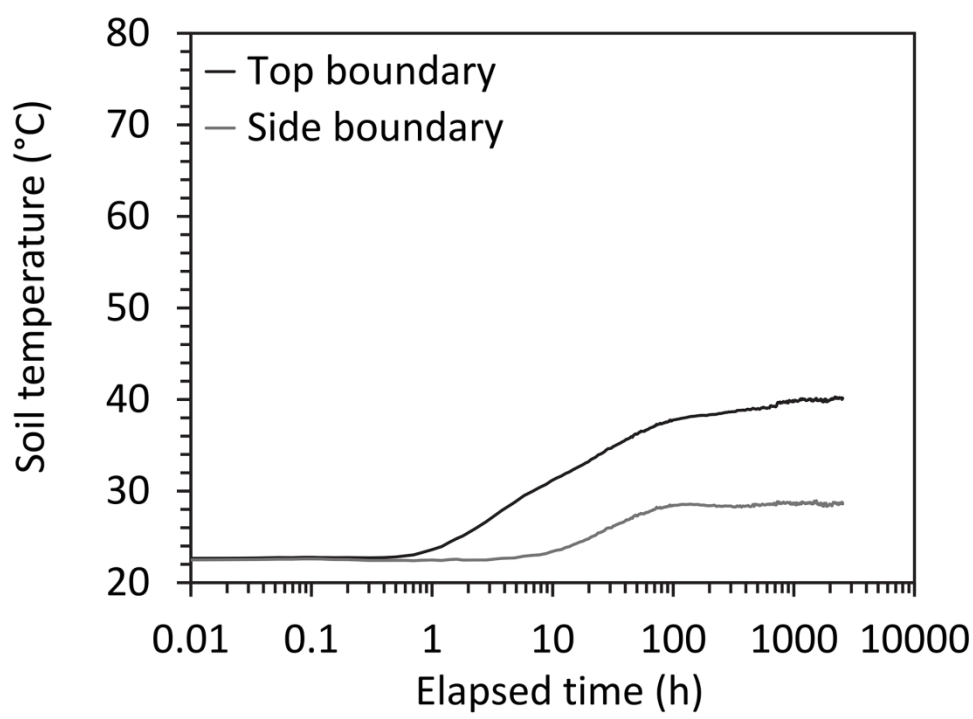


Figure 6. Evolution of temperatures at the top-center and side of the soil layer

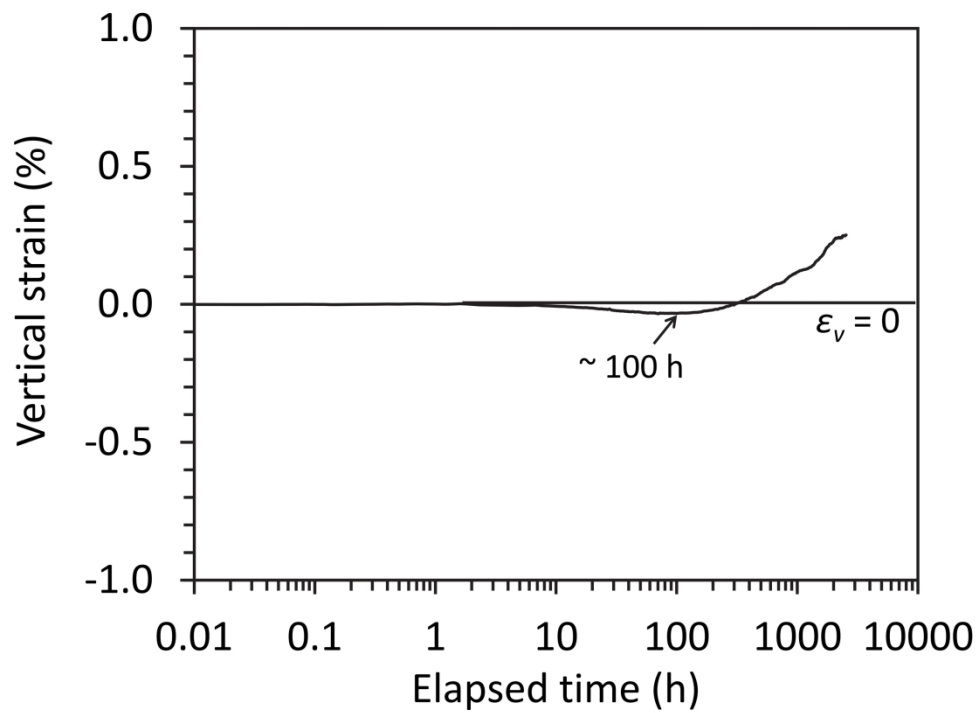


Figure 7. Evolution of vertical strain during heating (positive strain denotes expansion)

240x174mm (300 x 300 DPI)

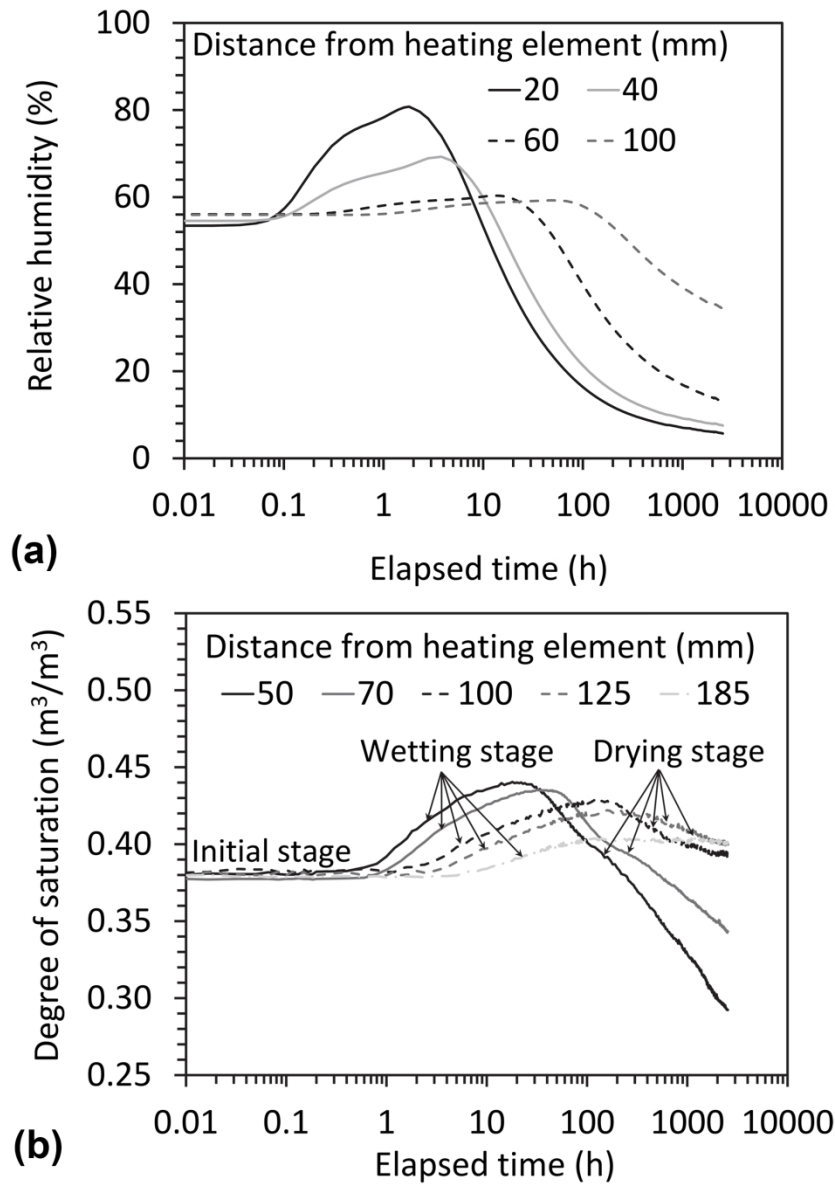


Figure 8. Time series of hydraulic response of the soil layer at different locations during heating: (a) Relative humidity; (b) Degree of saturation

88x128mm (600 x 600 DPI)

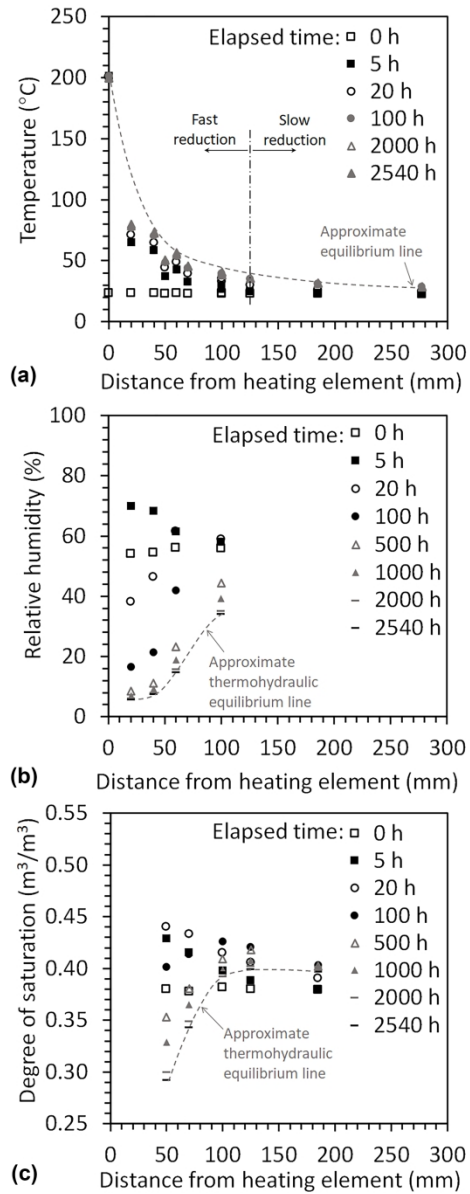


Figure 9. Radial profile plots of thermo-hydraulic variables at different times during heating: (a) Temperature; (a) Relative humidity; (b) Degree of saturation

88x230mm (600 x 600 DPI)

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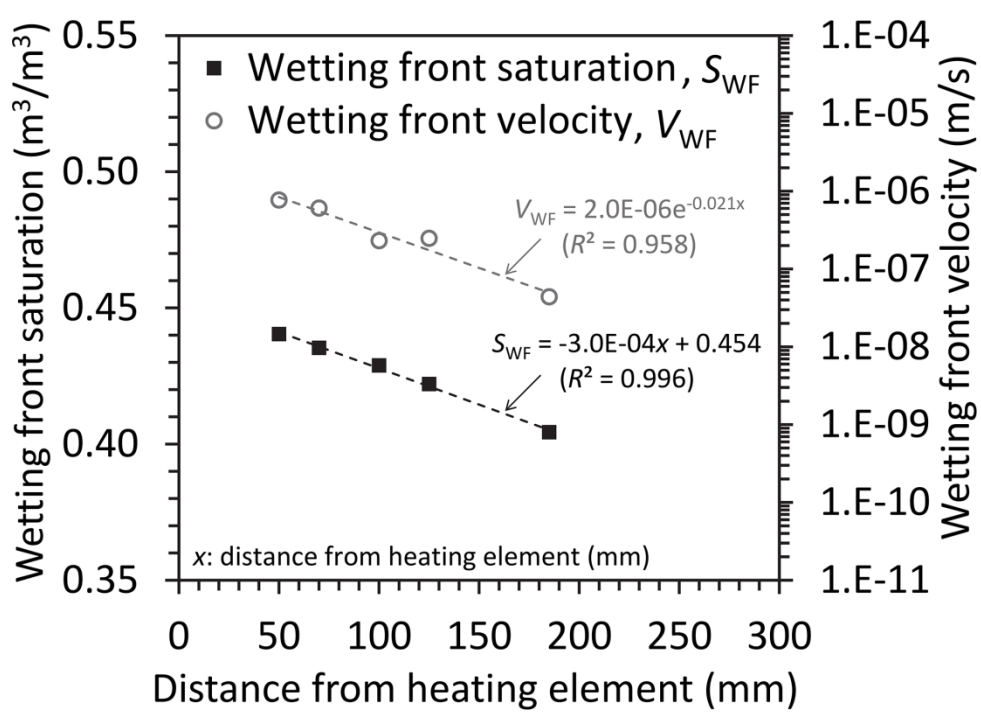


Figure 10. Saturation at wetting front and velocity of wetting front

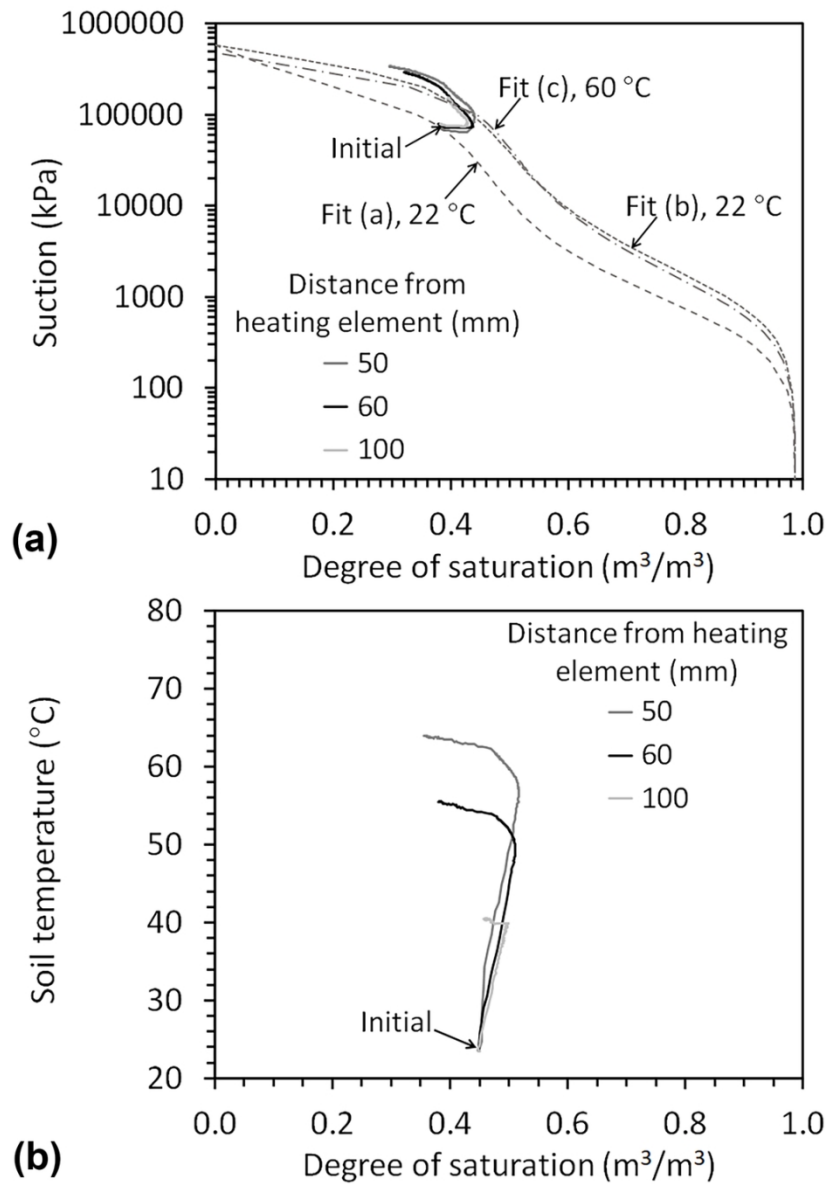


Figure 11. Transient thermo-hydraulic response at different locations in the soil layer: (a) Suction-saturation curves with wetting and drying path SWRCs; (b) Temperature-saturation curves

88x129mm (300 x 300 DPI)