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Hospital Culture and Intensity of End-of-Life Care at Three Academic Medical Centers: A Comparative Ethnographic Study 4

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41 KEY POINTS:

- 42 Question: What is the role of hospital culture and institutional structures in the provision of
- 43 potentially non-beneficial high-intensity life-sustaining treatments near the end of life?
- 44 **Finding:** We conducted 113 semi-structured, in-depth interviews with hospital-based clinicians
- 45 and administrators at three academic hospitals in California and Washington. Hospital culture
- 46 tended to be aligned with institutional structures (e.g., policies, practices, protocols, and
- 47 resources), and together shaped the provision of potentially non-beneficial life-sustaining
- 48 treatments near the end-of-life at each site.
- 49 **Meaning:** Institutional cultures should be considered when developing policies and
- 50 interventions to mitigate non-beneficial high-intensity life-sustaining treatments.

51

ABSTRACT:

55	Importance: There is significant institutional variability in the intensity of end-of-life care that is
56	not explained by patient preferences. Hospital culture and institutional structures (e.g., policies,
57	practices, protocols, resources), might contribute to potentially non-beneficial high-intensity life-
58	sustaining treatments near the end of life.
59	
60	Objective: To understand the role of hospital culture in the everyday dynamics of high-intensity
61	end-of-life care.
62	
63	Design: Comparative ethnographic study. Data were deductively and inductively analyzed
64	using thematic analysis through an iterative coding process.
65	
66	Setting: Three academic hospitals in California and Washington that differed in end-of-life care
67	intensity based on measures in the Dartmouth Atlas.
68	
69	Participants: Hospital-based clinicians, administrators, and leaders
70	
71	Main Outcome and Measure: Institution-specific policies, practices, protocols, and resources
72	that shape hospital culture and their role in the everyday dynamics of potentially non-beneficial
73	high-intensity life-sustaining treatments.
74	
75	Results: We conducted 113 semi-structured, in-depth interviews with inpatient-based clinicians
76	and administrators between December, 2018 and June, 2022. Respondents at all hospitals
77	described default tendencies to provide high-intensity treatments that they believed was
78	universal in American hospitals. They also reported that pro-active, concerted efforts among

79 multiple care teams were required to de-escalate high-intensity treatments. Efforts to deescalate were vulnerable to being undermined at multiple points during a patient's care 80 81 trajectory by any individual or entity. Respondents described institution-specific policies, 82 practices, protocols, and resources that engendered broadly-held understandings of the 83 importance of de-escalating non-beneficial life-sustaining treatments. Respondents at different 84 hospitals reported different policies and practices that encouraged or discouraged de-85 escalation. They described how these institutional structures contributed to the culture and 86 everyday dynamics of end-of-life care at their institution.

87

88 Conclusions and Relevance: Clinicians, administrators, and leaders at the hospitals we 89 studied report that they work in a hospital culture where high-intensity end-of-life care 90 constitutes a default trajectory. Institutional structures and hospital cultures shape the everyday 91 dynamics by which clinicians may de-escalate end-of-life patients from this trajectory. Individual 92 behaviors or interactions may fail to mitigate potentially non-beneficial high-intensity life-93 sustaining treatments if extant hospital culture or lack of supportive policies and practices 94 undermine individual efforts. Hospital cultures need to be considered when developing policies 95 and interventions to decrease potentially non-beneficial high-intensity life-sustaining treatments. 96

97 BACKGROUND

98 Ethical challenges are common around the provision of potentially non-beneficial high-intensity 99 life-sustaining treatments near the end of life such as intensive care unit (ICU) admission, 100 mechanical ventilation, cardiopulmonary resuscitation, and continuous renal replacement therapy.^{1–3}. The United States is unique in the proportion of older adults with serious illness who 101 are admitted to the ICU near the end of life⁴⁻⁸ despite minimal evidence that the benefits 102 outweigh the burdens^{9–11}. Many of these patients receive treatments that are perceived to be 103 non-beneficial, the majority of whom do not survive hospitalization¹²⁻¹⁴. The US's market-based 104 healthcare system encourages overtreatment¹⁵. Indeed, ICU beds per capita and the use of 105 high-intensity life-sustaining treatments continue to rise^{8,16–21}. Interventions aimed at improving 106 the quality of end-of-life care in the ICU have had mixed results²²⁻²⁵. 107

108

Understanding how and why potentially non-beneficial high-intensity life-sustaining treatments
 occur is complicated by significant variation in end-of-life treatment intensity throughout the
 US²⁶⁻³¹. This observed variation is not explained by patient preferences, regional differences, or
 a clinicians' failure to recognize poor prognoses^{2,5,22,28,29,32-35}. This suggests that potentially
 non-beneficial high-intensity life-sustaining treatments may be driven by currently understudied
 systemic factors.

115

Drivers of potentially non-beneficial high-intensity life-sustaining treatments include the ethical, social, and cultural aspects of healthcare institutions^{27,31,36–39}. Culture is defined as shared beliefs, values, and practices of a group of people (e.g., organization, institution, or profession) and influences the typical way of doing things^{40,41}. Institutions vary in treatment intensity, which appears to be stable over time^{32,42}. Prior studies suggest that hospital cultures might influence the provision of non-beneficial high-intensity life-sustaining treatments^{27,31,36,38,43}. There is a gap in our understanding of how hospital cultures are related to institution-specific structures –

defined as the hospital's policies, practices, protocols, and resource allocation – as well as how it might influence the intensity of end-of-life care. Institutional structures and hospital culture influence one another cyclically and iteratively⁴⁴. In sociological scholarship, this feedback loop perpetuated over time is described as "recursion," where one entity cyclically reproduces and strengthen another. The objective of this study was to elucidate our understanding of the complex, recursive relationships between hospital culture, institutional structures, and the provision of potentially non-beneficial high-intensity life-sustaining treatments.

130

131 METHODS

132

Design: This is a comparative ethnographic study conducted at three academic hospitals in California and Washington selected for differences in intensities of end-of-lifecare (e.g., high, medium, and low) based on the Dartmouth Atlas (See Table 1). We interviewed clinicians and administrators with different clinical backgrounds and organizational responsibilities. This project was guided by a conceptual framework based upon literature review and on prior work by the authors (See Figure 1)^{31,45-47}. This conceptual framework was continuously refined throughout the project as more data was collected and analyzed.

140

141 Data collection: One interviewer (ED), a hospitalist and PhD-trained sociologist, conducted 142 semi-structured, in-depth interviews with inpatient-based clinicians, leaders, and administrators. 143 Interviews were conducted in-person until the COVID-19 pandemic when interviews transitioned 144 to Zoom video-conferencing. Respondents were purposively sampled by profession, which 145 contributed to understanding everyday dynamics within each hospital. Recruitment occurred through group e-mail solicitations, individual requests, and snowball sampling^{48,49}. Participation 146 147 rate could not be calculated because persons were contacted through unsolicited e-mails and 148 list-serves, along with direct solicitations. Sampling occurred until theoretical saturation was

reached, the point where interviews generated no new insights.^{50,51} We include further details of
 our methods using the Consolidated Criteria for Reporting Qualitative Research (COREQ) in
 eTable 1.

152

An interview guide was used across all sites; minor adaptations were made for different roles (See eAppendix 1 for interview guide). The initial guide derived from our conceptual framework and evolved during pilot and subsequent interviews. The interviews were open-ended; participants were encouraged to explore topics they considered relevant. Interviews were audiotaped, transcribed, and anonymized.

158

159 Analysis: Data collection and analysis occurred concurrently. During data collection, emerging 160 findings were incorporated into ongoing interviews for further exploration to determine their 161 consistency, robustness, and salience. We continually self-reflected around our own lens (e.g., 162 perspectives, assumptions, positionality) and how that might influence the research process and 163 interpretation. We paid careful attention to counterfactual data, which were used to inform 164 ongoing data collection, refine emerging themes, and enhance rigor. Respondents' diverse 165 perspectives provide a composite view of clinical care at each hospital. Though no one 166 respondent was able to fully explain or recognize what is attributable to hospital culture, analysis of the corpus of interviews provided these broader insights⁵². 167

168

The research team (ED, DD, JRC, JNB, TM) thematically coded a subset of interviews to create an initial codebook.^{53–55} We deductively and inductively generated codes through line-by-line analysis and discussion and came to consensus on coding definitions.^{55,56} 20% of the interviews were subsequently double-coded by TM, LP, JNB, JB, and CB, which contributed to further refinement of the codebook. Analysis was conducted using ATLAS.ti software. Disagreements were resolved through discussion and clarification of code definitions until consensus was

achieved. Member-checking occurred through presentations, discussions, and review of
manuscript drafts with clinicians of similar background to the respondents. Additional interviews
were conducted following the initial drafts of this manuscript to finalize evolving hypotheses.

To characterize organizational similarities and differences among the three hospitals, we examined thematic divergences and convergences among individual respondents within and across each institution. The UCSF Institutional Review Board approved this study and participants underwent a written or verbal consent process. Our IRB protocol called for anonymization of respondents and hospitals.

184

185 **RESULTS**

186

ETable 2 describes the demographic characteristics of the 113 interviewees (66 in person, 47 via video-conferencing).The mean and median interview length was 47 minutes. During analyses, we noted similarities between experiences at low and medium-intensity hospitals as distinct from the high-intensity hospital. As such, we characterized the study sites as highintensity and "lower-intensity", which includes the low and medium-intensity hospitals.

192

 193
 Defaults of high-intensity care and consistency between Dartmouth Atlas-measured care

194 intensity and respondents' accounts of hospital culture

195 Potentially non-beneficial high-intensity life-sustaining treatments occurred at all hospitals

196 (Table 2, Quote 1-3) as well as defaults towards high-intensity care (Q4-6). Respondents noted

that this default reflected cultural norms in American society (Q7). However, respondents at

each of the three sites also described distinct hospital cultures around the intensity of end-of-life

- 199 care that differed between sites and were broadly consistent with the intensity indicated by the
- 200 Dartmouth Atlas data. High-intensity care was particularly notable at the high-intensity hospital

201 (Q3) as was the receptiveness towards palliative care and a mindset towards de-escalation at202 the lower-intensity hospitals (Q8).

203

204 Consensus and coordination required to de-escalate

205 In the absence of patient or surrogate preferences, respondents at all sites defaulted towards 206 ICU admission if the patient met criteria, regardless of whether it was beneficial. At the high-207 intensity hospital, this led to persistent escalation whereas at lower-intensity hospitals, there 208 were multi-disciplinary efforts to engage in shared decision-making within the ICU setting to de-209 escalate (Q9, 10). Institutional structures and hospital cultures at the lower-intensity hospitals 210 facilitated de-escalation; some respondents perceived it as relatively easy to de-escalate life-211 sustaining treatments (Q11). Respondents at the lower-intensity hospitals but not at the high-212 intensity hospital felt comfortable not offering or strongly recommending against non-beneficial 213 treatments including dialysis, pressors, and feeding tubes (Q12).

214

215 At all hospitals, consensus and coordination was required amongst clinicians and families to de-216 escalate life-sustaining treatments (Q13). At the high-intensity hospital, respondents described 217 consensus around de-escalation to be challenging to achieve (Q14). Respondents at the lower-218 intensity hospitals described alignment and teamwork amongst and within care teams to 219 achieve consensus to de-escalate and present a unified message to families. Potentially non-220 beneficial high-intensity treatments at lower-intensity hospitals were discussed and sometimes 221 resisted (Q15-16). In contrast, consensus was not always required to escalate life-sustaining 222 treatments (Q17).

223

224 Cultural norms around crucial decision points

At the lower-intensity hospitals, respondents noted that because multiple people were involvedin decision-making, there were multiple checks to ensure that treatments provided were

227 beneficial. . Respondents described a cultural norm that encouraged a shared desire towards 228 appropriate de-escalation (Q18-19). They recognized that defaults existed towards high-229 intensity life-sustaining treatments and described intentional mindsets and actions to resist 230 which required time and effort (Q9). At the high-intensity hospital, respondents noted that the 231 involvement of multiple people in decision-making led to a diffusion of decision-making 232 responsibility that allowed for unchecked momentum towards a high-intensity care (Q20). 233 234 Efforts to de-escalate non-beneficial high-intensity life-sustaining treatments can be 235 undermined 236 Despite efforts to de-escalate, respondents described undermined attempts at de-escalation of 237 non-beneficial high-intensity life-sustaining treatments at all hospitals by external entities such 238 as consultants, ethics committees, or hospital administrators (Q21-23). In our respondent 239 sample, however, the theme of undermined de-escalation was particularly notable at high-240 intensity hospitals (Q21-22). Transitions of care such as attending physician turnover were 241 described as occasions where re-escalation of high-intensity treatments occurred (Q24). 242 243 The ways that ethics committees made decisions and supported clinical teams appeared to be 244 aligned with measured end-of-lifecare intensity. At the high-intensity hospital, respondents

245 described the ethics committee's approach as favoring patient autonomy and placing additional

burdens on clinical teams seeking to de-escalate (Q25). At the lower-intensity hospitals,

respondents reported that the ethics committees worked with clinicians and institutional

leadership to support clinicians' clinical judgement (Q26). At one of the lower-intensity hospitals,

respondents reported that risk management encouraged clinicians to make decisions based on

the patient's best interest and supported them institutionally and legally to do so (Q27).

251 Institutional policies, protocols, practices, and resources shape hospital culture

252 At the lower-intensity hospitals, protocolized approaches and hospital policies counteracted 253 momentum towards potentially non-beneficial high-intensity life-sustaining treatments. 254 Respondents felt that institutional structures (e.g., policies, protocols, practices, resources) 255 successfully created and sustained hospital cultures that supported goal-concordant end-of-life 256 care at these hospitals (Table 3, Q28-29). Respondents felt that palliative care services 257 demonstrated their value as important and trusted sources of support and education not only for 258 patients and families, but also for clinicians (Q30). At the lower-intensity hospitals, respondents 259 described consultants (Q16), social services (Q9), hospital leadership (Q31-32), ethics 260 committees (Q9, 26), risk management (Q27), and other entities as facilitating consensus rather 261 than undermining de-escalation efforts. These institutional entities actively encouraged 262 clinicians to de-escalate when ethically appropriate and deferred to clinician judgement (Q32).

263

264 The relational and emotional aspects of end-of-life care

265 There was a notable emotional toll, especially at the high-intensity hospital, associated with 266 challenging cases which affected respondents' future willingness to attempt de-escalation. 267 Respondents described feeling powerlessness, particularly when institutional entities thwarted 268 attempts at de-escalation and asked them to provide potentially non-beneficial treatments. This 269 led to beliefs that their efforts were not worth the fight (Q33-34). Although emotionally fraught 270 and challenging cases that involved intense conflict were relatively infrequent, the specter of 271 these conflicts loomed large in a clinicians' minds long afterwards. Respondents noted that the 272 negative emotional valence surrounding prior efforts resulted in less willingness to expend effort 273 to de-escalate care in the future.

274

275 One theme that emerged only at the lower intensity hospitals was the way end-of-life decision-276 making occurred. Respondents at these hospitals reported that they achieved de-escalation by 277 seeking nuance between the extremes, finding ethical middle grounds between providing all

278 possible treatments and unilaterally not offering non-beneficial high-intensity life-sustaining treatments. Respondents described focusing on relationship building and aligning with the 279 280 family. They recognized the potentially traumatic and burdensome nature of these decisions. 281 Although an informed assent approach, where clinicians explicitly offer the choice to defer to 282 clinicians' judgement about withdrawing or withholding life-sustaining treatments, was an 283 accepted norm at the lower-intensity hospitals, it was used within a context of empathetic, 284 values-oriented goals of care discussions. Respondents frequently noted that this approach 285 sometimes took more time but was the right thing to do (Q35-36).

286

287 DISCUSSION

288 At the three hospitals we studied, hospital culture appeared to recursively shape and reflect 289 institutional structure, as manifested by its policies, practices, protocols, and resource allocation. 290 Each hospital's culture and institutional structure either supported or undermined attempts to 291 de-escalate against the default of high-intensity life-sustaining treatments in American medicine. 292 A clinicians' attempt to de-escalate appeared to be more effective if they operated within a 293 hospital culture that discouraged high-intensity life-sustaining treatments. The aggregate effects 294 of a hospital's culture and institutional structures appeared to coalesce into an institutionally 295 specific equilibrium which create and reproduce hospital culture. Overall, these observed 296 patterns were consistent with our conceptual model (Figure 1) with regards to how hospital 297 culture and institutional structures recursively reproduce and strengthen one another.

298

In Figure 2, we illustrate a prototypical patient trajectory of how recursive reproduction and strengthening between institutional structures and hospital cultures might impact the ease of deescalation. A default towards high intensity treatments occurred unless every element of a hospital's culture, as manifested by specific structural and procedural factors (i.e., institutional structures), were aligned to resist this default. We described in our results instances where

hospital leaders, administrators, subspecialty consultants, and ethics committees undermined
de-escalation. As such, successful de-escalation of non-beneficial high-intensity life-sustaining
treatments required every individual involved to be aligned towards de-escalation. If one
individual undermined an attempted de-escalation, respondents reported that the care trajectory
regressed to the default of high-intensity treatments.

309

310 It is well known that the US is an outlier in its default towards high-intensity treatments^{57,58}. 311 Respondents at all sites needed to employ active and concerted efforts to de-escalate non-312 beneficial high-intensity life-sustaining treatments. The tendency across hospitals was to 313 escalate treatments unless there was explicit agreement with all relevant decision-makers to de-314 escalate. A relevant concept is that of clinical momentum, or clinical practice norms and 315 patterns of usual care that promote the accumulation of multiple interventions over time⁵⁹. Even 316 when clinicians, patients, or families resisted this default towards high-intensity care, multiple 317 overlapping institutional structures (e.g., clinical practices of the primary/consultant, hospital 318 policies) made it challenging to do so.

319

320 It was notable that dynamics that encouraged escalation at the high-intensity institution were 321 similar to dynamics that facilitated *de*-escalation at lower-intensity hospitals. As we described in 322 our results (e.g., Q20), respondents at the high-intensity hospital described a diffusion of 323 decision-making responsibility to multiple clinicians as encouraging escalation. Respondents at 324 lower-intensity institutions described (e.g., Q16,18) similar involvements multiple decision-325 makers and teams as positive checks and balances which facilitated multiple opportunities to 326 raise concerns. Another example of similar dynamics resulting in divergent clinical practice 327 patterns occurred when respondents at the high-intensity hospital (e.g., Q22) described 328 consultants as undermining de-escalation, whereas consultants helped mitigate unchecked 329 clinical momentum at the lower-intensity hospitals (e.g., Q15). Studies examining clinical

practice patterns often focus on team dynamics and inter-team structures^{60,61}. Our results
suggest that hospital culture should also be considered. Hospital culture manifests in many
ways, such as the convergence of ethical perspectives around appropriate care amongst all
members of the multi-disciplinary team, or a preponderance of clinicians willing to attempt deescalation.

335

336 These findings provide insights into the recursive relationship between institutional policies and 337 practices designed to mitigate potentially non-beneficial high-intensity life-sustaining treatments 338 and institutional culture by creating feedback loops. Deliberate hospital policies and protocols 339 that encourage thoughtful pauses around treatment escalation decisions help mitigate 340 unchecked clinical momentum. The "comfort care huddle" described at one of the lower-341 intensity hospitals allows for all members of the team to convene at regular intervals to discuss 342 treatment de-escalation opportunities. This is reminiscent of a protocolized process in France 343 ("limitation et arrêt des traitements") which we had previously described as beneficial in their ability to align team members towards a unified message with family⁴⁶. The ways these 344 345 interventions, policies, and practices cyclically reinforce and are reinforced by hospital culture to 346 influence care intensity is an example of the recursiveness of social processes.

347

348 Though extreme and rare, our results demonstrate that challenging clinical cases had an 349 outsized impact on clinicians' perceptions and willingness to engage in future difficult cases. 350 This is another example of recursion, but in this case between the individual and the hospital's 351 culture. Shared beliefs and experiences recursively reproduce cultural orientations to strengthen 352 hospital culture. This "feedback - feedforward" reproductive process is a general feature of social life as noted by Antony Giddens' Structuration Theory⁴⁴ whereby individuals' experiences 353 354 and subsequent actions are influenced by institutional constraints in ways that reinforce hospital 355 culture.

357 *Limitations*

358 This study is limited in its ability to ascribe causation from hospital cultures to care intensity. 359 While this study helps elucidate the dynamics between hospital culture, institutional structures, and care intensity, there may be other differences between hospitals such as uptake of palliative 360 361 care, economic incentives, and patient population demographics that influence care intensity. 362 While broader macro-sociological, political, and economic forces influence individuals and 363 institutions, we were not able to specifically examine those phenomena in this manuscript 364 beyond a general perception by respondents that these forces contributed to a default of high-365 intensity care. Our observations were based on three urban academic medical centers and may 366 not generalize to community hospitals.

367

368 Conclusion

369 We describe the significance of hospital culture and institutional structures in resisting the 370 default towards high-intensity life-sustaining treatments. This study highlights the importance of 371 the deliberate design of institutional structures - policies, practices, protocols, and resource 372 allocation - to mitigate the harmful impact of entrenched societal forces and defaults within the 373 American healthcare system. These efforts should include purposeful consideration of how 374 institutional values might reflect and be reinforced by specific policies and procedures, such as 375 ethics committee decision-making processes and the structure of co-management and 376 consultation services. We also suggest careful attention to the relational aspects of care 377 including risk management values, thoughtful and consistent dialogue between institutional 378 leaders and clinical teams, and attention to the nature of administrator oversight in relation to 379 high-stakes clinical decisions in extreme cases. While these entities' values and structures may 380 appear to be relatively distant to day-to-day clinical decision-making, their impact can have 381 potential wide-ranging intended and unintended consequences.

383 This study illustrates how hospital culture might undermine the impact of interventions that 384 narrowly target individuals or groups of individuals (e.g., team dynamics, communications, 385 decision-making). Consideration of hospital culture and its impact on individuals and clinical practice patterns should be incorporated into institutional policies, practices, and interventions. 386 387 The design of institutional policies, protocols, practices, and resource allocation have the 388 potential to be a powerful shaper of hospital culture and, thereby, individual clinician behavior 389 and patient and family experiences. 390 391 **Acknowledgements:** The authors would like to thank Catherine Burke, Steven Chang, 392 Solomon Liao, Thea Matthews, Lorraine Pereira, Amy Rosenwohl-Mack, Neil Wenger, and 393 Sherry Xu for their assistance with the project. We would also like to thank the study 394 respondents who took the time to provide us with their insights. This manuscript is dedicated to 395 the memory of J. Randall Curtis, and is a culmination of a decade of mentorship, support, and 396 enthusiasm from this most beloved mentor and friend (ED). 397 398 Funding: Research reported in this manuscript was supported by an Alzheimer's Disease 399 Research Award from the California Department of Public Health (ED, DD), the National

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406

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Table 1: Hospital selection based upon Dartmouth Atlas indices around intensities of end-of-life care⁴²

Variable	High Intensity	Medium Intensity	Low Intensity
Inpatient Medicare Reimbursements per Patient during Last Two Years of Life	107130	97534	39170
Hospital Days per Patient during Last Six Months of Life	17.4	14.3	8.6
Total ICU days per Patient during Last Six Months of Life	11.1	3	2.5
Percent of deaths which included ICU admission	30	18.7	34.3
Percent of deaths occurring in hospital	43	32	31.5
Number of Different Physicians Seen per Decedent in Last Six Months of Life	16.8	13.6	10.9
Hospital Care Intensity Index	0.98	0.72	0.09

*Hospital Care Intensity Index = Based upon number of days patient spent in the hospital and the number of physician encounters they experienced as inpatients, and constitutes the ratio of a given hospital's utilization rate compared to the national average.

Table 2: Table of illustrative quotations

Hospital	Quotation	Quote
		number
Defaults of	f high-intensity care and consistency between Dartmouth Atlas-meas	sured
care intens	"Sand respondents" accounts of nospital culture	
Intensity	bordering on true crueltyI would say almost everything almost always gets offeredand then we're put in a situation of having to carry out all of these things that we don't really agree with and that	1
	can be a really distressing situation." (ICU MD6)	
Low Intensity	"[Treatments are] very high intensitywe've had occasions where pretty much everybody agreed that the care that we were providing was inappropriate the reality is that we have to work within a framework of the way our system operates." (ICU MD4)	2
High Intensity	"There is a culture from the top of the healthcare system down. We are going to go to eleven on every situation, appropriate or not. It's not explainable that each individual physician has independently arrived at this very aggressive practice patternI think it's the institution wants to be aggressive and so that just kind of steeps everyone in doing that kind of stuff." (ICU MD2)	3
High Intensity	"Ifthe son is freaking out and saying that you're killing and murdering her and that you need to code her, they're going to ask you to code her because that's the path of least resistanceyou're probably going to end up coding her because [it's] the easier thing to do." (ICU Nurse) (*Details have been changed to preserve patient anonymity)	4
Medium Intensity	"[If] we don't have a family memberor some other form of documentationthe assumption [is] of full court press and do everything that we can." (ED MD1)	5
Low Intensity	"Until the family members or the patient [decides], then [care] will be typically intensive." (ICU MD2)	6
Low Intensity	"In the United Stateseveryone has the opportunity to receive full care to the maximum amount of our abilities until they say no, or until, essentially, their body tells us that they can no longer tolerate itthat is 'the right thing to do'it is [an] American rightThere's a lot of conversations about 'If you don't do this, I will report you. I'll bring you to the media''Sue you,' kind of thing. That conversation comes up with disgruntled family members here often. (ICU APP2)	7
Low Intensity	"I think it's almost like passive diffusion of the knowledge and style and vision of how palliative care. I see that there's a lot more palliative care consults happeningI think you can't help but learn their approachsome of that knowledge or management rubs off on the primary teams." (Hosp MD2)	8
Consensus	s and coordination required to de-escalate	-
Low Intensity	and their characterWe try to reach out to case managers, to SNF providers people in the communitybut that takes time [if] we are unable to track anyone downwe ultimately get our ethics	9

	committee involved and do a deeper dive into how we should proceed." (PC MD5)	
Low	"We are not interested in blocking or making the upfront decision-	10
Intensity	making hard to get into the ICU. We'd much prefer to be open to	
	bringing people into the ICU and then do a good job with care	
	decision-making there". (ICU MD 3)	
Low	I think in our institution, it's pretty easy to move to CMU (Comfort Measures Only), and deependets. That tends to be well established	11
Intensity	(FD MD2)	
Low	"We don't have to offer certain thingswe may in the course of	12
Intensity	discussions say, 'This is perhaps not the most appropriate patient to	
interisity	offer renal replacement therapy to." (ICU MD2)	
Low	"Generally it's if there is not consensus between the teams, the	13
Intensity	default is to then allow whatever decision the family makes to just	
	ridewe'll just go ahead and allow that to evolve." (Neuro ICU MD)	
High	"I think it can be really distressing to see[patients] suffering, and to	14
Intensity	see them getting treatments that you know that they wouldn't	
	wantit's just really hard to see people getting forced into things that	
	you know that they wouldn't want here. But you have essentially no	
	try, but then they may or may not listen to me, and then the family	
	deepshit want to I don't have a choice. I'm forced to do it " (ICI)	
	Nurse2)	
Medium	"Most of the time when we say we're not going to offer a treatment	15
Intensity	such as dialysis. I can't remember a family pushing really hard to do	15
,	it. Especially when we all come together as a teamThere are	
	usually multiple teams involved to reinforce that we don't think there's	
	going to be any benefit from this." (ICU MD6)	
Low	"I would say more often than not, it feels like the various teams are on	16
Intensity	the same page. There are a few instances in which nephrology is the	
	team to raise the alarm bells of, 'Hey, we're being asked to offer this	
	intervention and it just doesn't make sense. And we don't feel	
	comfortable doing it we have a discussion with our colleagues and	
	we're all on the same page about what makes the most sense." (IM	
	PGY-3)	47
Low	I call it the system winsthe system dictates where it goesI can	1/
Intensity	the national and the family 'The nocturnist who's cross covering sees	
	the labs and doesn't feel comfortable and then next thing I know	
	they're like 'I just decided that we should consult nephrology'	
	don't think our system's created in a way that unless everybody's	
	fundamentally feeling it's futile. It's almost like a majority gets what	
	they want for the patient." (Hospitalist MD2)	
Cultural norms around crucial decision points		
Medium	"There's global input coming in from the [various] teamslooking at	18
Intensity	the whole global picture and saying, 'This is not right. We need to	
,	really start putting the brakes on this thing.'It's interesting because	
	you'll start to bring this up, and then you'll start to see the gearbox	
	move, and then in a day or two you can start to see it swing. The idea	
	is implanted. I think part of that clinical momentum is that if you don't	

Low"I feel like there are a lot of checks in place to prevent untethered19Intensityclinical momentum in the ICUIt just seems like things don't naturally	
Intensity clinical momentum in the ICUIt just seems like things don't naturally	
just continue to accumulate and progress unchecked over time. We	
talk with our team members and with families pretty frequently about	
how things are going and then what makes the most sense to do next	
based on what has happened so farEveryone's very thoughtful and	
thorough about making medical decisions and reassessing those	
decisions over time. (IM PGY3)	
High"[Doctors feel] it's not your job to decide whether or not someone's20	
Intensity eligible and appropriate for a cath, it's the cardiologist'sWe keep	
thinking that the sub-specialist is going to decide for us. And	
overwhelmingly [the] specialists want the primary [team], to decide	
before they callall the specialists I talk to have a lot of grief about	
feelings about forced to do these procedures." (Palliative Care MD)	
Efforts to de-escalate nonbeneficial high-intensity life-sustaining treatments can be undermined	
High "Sometimes the ethics team will make a recommendation to make the 21	
Intensity patient a unilateral DNR if the patient has a poor prognosis so we	
make [that recommendation]. The family then does to the hospital	
leadership, and there are times when leadership has actually stopped	
us or stopped that unilateral DNR and said. 'Let's give it another	
week', which to be honest with you, it makes it challenging." (ICU	
Nurse)	
High "The family would not let go. She was dying for months and had no 22	
Intensity other treatment options left and [she] suffered horrendouslyeven	
though she had a DNR, I knew that it wouldn't be followedOur	
attending said, 'She is no longer a candidate for [treatment] so if she	
decompensates do not offer [treatment]' So I stood in front of the	
room for hours, and every doctor that came in, I said, 'We're not	
offering [treatment]. Don't bring it up.'Finally [the family started] to	
accept itLater in the day, I came back and the [specialist] attending	
was in the room. The family said, 'Well she's not a candidate for	
[treatment].' [The [specialist] attending] then said, 'Well, Yes, we can	
do that [give treatment].' I was devastated. I'm not sure I've ever been	
so devastated in my whole life[The attendings] got into a screaming	
match in the hallwayShe eventually went back on [treatment], he	
coded and died. They did CPR on her." (ICU Nurse) (*Details have	
been changed to preserve patient anonymity)	
Low "The ethics committee was like, '[De-escalation is]appropriate', but 23	
Intensity when they went to the hospital administration, they said, 'We can't.	
What if some family member emerges out of the background and	
then we made a decision to withdraw care. Let's just not	
escalate even it all the clinicians agree, the institution puts a block	
on certain decisions because of their concerns around litigation." (PC	
I Uizh "It mada tha whala avatam laak lika a faal. Tha whala athiaa	
High It made the whole system look like a lool. The whole ethics 24	
brought this to [Ethics] Committee. Ithel committee agreed with us	

	and then the next doctor was like, "Let's just trach and PEG and get them out of the ICU. This is not worth the fight." (ICU MD6)	
High Intensity	"Bringing [cases] to the Ethics Committee is not a small deal. It's made up of clinicians and community members, so you present the case and the family presents the case, but it almost seems like you're sitting in court. The members are asking you questions and questioning every single judgment and stuff like that[the ethics chair] tells me that the ethics mechanism is supposed to be hard. It's a big deal to override a family, so you want to make sure that all your i's are dotted and all your t's are crossed." (ICU MD4)	25
Low Intensity	"There's still this discomfort around pushing against the aggressive care motto that we tend to default into. The ethics committeecan be this extra layer of support to clinicians to validate and verify the decisions that are being madeThey liaise very closely with risk management because sometimes in these scenarios, a decision to not escalate or a decision to withdraw interventions can raise these flags for our institutionthe ethics committee manages up and down and makes everyone feel like the decision is okay on multiple levelsThey have a very low threshold to sort of get the institutional leadership involvedthey have a good relationship and partnership with the administrators who also happen to be phenomenal clinicians themselves." (PC 5)	26
Medium Intensity	"The most surprising thing about the role that we play in risk management is the fact that unlike many risk managers we're often telling physicians "Please don't give care that's medically ineffective if it goes against your conscience or isn't in the best interest of the patient." It would be easier sometimes to just let it go on and on, but we advocate for people to please do the right thing, and that is not very common for a risk manager. The profession is reputationed as being more risk adverse so I think my approach and my office's approach is kind of different than a lot of risk managers." (Risk Administrator 2)	27

565 Table 3: Table of illustrative quotations

Institutional policies, protocols, practices, and resources shape hospital culture		
Medium	"It's just part of our culture to consider palliative carethere are	28
Intensity	changes in the ICU like the comfort care huddle to have a more	
intensity	systematic approachbefore meeting with the family to make sure	
	that [everyone is] on boardHave we explored all the options? Do	
	we all feel that there's consensus on a particular recommendation?"	
	(ICU MD4)	
Low	"There are now little checkpoints for teams who have previously been	29
Intoncity	on the train that keeps going and doesn't stopWe have this	25
intensity	[automated] algorithm that says make sure that if patients meet these	
	criteria that you consult palliative care within the first 24 hours " (PC	
	MD 5)	
Low	"death rounds [is where the]palliative team provides for our	30
Intoncity	residents to talk about really difficult cases or deaths it's really	50
intensity	important that they provide that space for the resident teamit was	
	just so traumatizing. They would also just be present within our COVID	
	ICU[to] check in with our team and offer debrief sessionsthat kind	
	of supportwas really important for our team as a whole." (ICU APP2)	
Medium	"I have never experienced a time when medical administration has in	31
Intensity	any way got involved in any of these decisions. I wouldn't say that I've	-
incensity	ever felt financial pressure or press pressureit's just absent at this	
	institution" (Ethics Consult)	
Low	"And it's normally not a 'you shouldn't do this.' [from hospital	32
Intensity	leadership], it's usually, 'our suggestion right now would be to wait or	
incensity	like to give more time'it's a supportive culture[for] decision	
	makingit's mostly on our team." (ICU APP2)	
The relation	onal and emotional aspects of end-of-life care	
High	"I think it takes away a piece of your soul. You feel horrible about it	33
Intensity	because you're not accomplishing anything good in the long run,	
	which is why I think a lot of physicians don't call ethics. They don't	
	even fight that battle. Why fight that battle? Just do your shift and	
	move on" (ICU MD5)	
High	"It's like an exercise in futility almostI think those cases are few. It's	34
Intensity	just that when they occur it just takes so much time, and energy, and	
	work. Sometimes I wonderis it worth it to even go through that	
	whole process?" (ICU MD5)	
Low	"I really value ending the situation on the same team as the family or	35
Intensity	the patient I've got to align with this family. That's my number one	
	priorityif I am at odds with the patient or the family and they feel like	
	they're not getting the care that they want, I feel like that's a much	
	bigger loss in my mind than someone getting CPR when they're 85	
	years oldIt's just far sadder to me when they say, 'I hose doctors	
	aian't care or 'they alan't try to save my mom'." (PC MD1)	
Medium	"I think I'm aligned with the culture hereI don't know anybody who	36
Intensity	would disagree with the fundamental principles of building	
	relationshipsI really try to reserve unilateral decision-making as a	
	last resort. As physicians we're making the final sacrifice to do what	
	we think is best for the patient. But there's so much struggle and	

	suffering that is going to continue after a patient dies with the	
	familyif we can align with the familiesI'm willing to wait and go the	
	extra mile to try to achieve that." (Hospitalist MD1)	

Figure 1: Conceptual framework for the influence and interactions between hospital and national cultures and policies with illustrative examples from the data. (Adapted from Dzeng, 2022)⁴⁷



Figure 2: Model describing a prototypical patient trajectory for how institutional structures (e.g., policies, protocols, practices, and resource allocation) might impact the ease of de-escalation of non-beneficial high-intensity life-sustaining treatments.



All individuals and structures need to be aligned towards de-escalation for low-intensity cultures to succeed. Any one entity within the hospital can thwart de-escalation. As such, there are multiple ways to achieve high-intensity care but one pathway where all entities are aligned towards de-escalation for de-escalation to succeed.