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## Title

Leaf isoprene and monoterpene emission distribution across hyperdominant tree genera in the Amazon basin

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2 Graphical abstract: Graphical illustration of the biochemical, 3 ecological, and atmospheric roles of volatile isoprenoids (isoprene and monoterpenes) within plants, ecosystems, and the atmosphere. 4 5 Volatile isoprenoids protect photosynthesis during abiotic stress, are 6 involved in multi-trophic interactions within ecosystems, and following atmospheric oxidation, impact climate through influences over 7 8 secondary organic aerosol (SOA) and cloud condensation nuclei (CCN) 9 lifecycles in the troposphere.

## 10 Leaf isoprene and monoterpene emission distribution

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## 12 basin

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#### 44 Abstract

45 Tropical forests are acknowledged to be the largest global source of isoprene ( $C_5H_8$ ) and monoterpenes ( $C_{10}H_{16}$ ) emissions, with current 46 47 synthesis studies suggesting few tropical species emit isoprenoids 48 (20-38%) and do so with highly variable emission capacities, including 49 within the same genera. This apparent lack of a clear phylogenetic 50 thread has created difficulties both in linking isoprenoid function with 51 evolution and for the development of accurate biosphere-atmosphere 52 systematic models. Here. we present a emission studv of "hyperdominant" tree species in the Amazon Basin. Across 162 53 54 individuals, distributed among 25 botanical families and 113 species, 55 isoprenoid emissions were widespread among both early and late 56 successional species (isoprene: 61.9% of the species; monoterpenes: 57 15.0%; both isoprene and monoterpenes: 9.7%). The hyperdominant 58 species (69) across the top five most abundant genera, which make 59 up about 50% of all individuals in the Basin, had a similar abundance 60 of isoprenoid emitters (isoprene: 63.8%; monoterpenes: 17.4%; both 61 11.6%). Among the abundant genera, only *Pouteria* had a low 62 frequency of isoprene emitting species (15.8% of 19 species). In 63 contrast, Protium, Licania, Inga, and Eschweilera were rich in isoprene 64 emitting species (83.3% of 12 species, 61.1% of 18 species, 100% of 8 species, and 100% of 12 species, respectively). Light response 65 curves of individuals in each of the five genera showed light-66 dependent, photosynthesis-linked emission rates of isoprene and 67 68 monoterpenes. Importantly, in every genus, we observed species with light-dependent isoprene emissions together with monoterpenes 69 70 including  $\beta$ -ocimene. These observations support the emerging view 71 of the evolution of isoprene synthases from  $\beta$ -ocimene synthases. Our 72 results have important implications for understanding isoprenoid 73 function-evolution relationships and the development of more 74 accurate Earth System Models.

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76 Keywords: Protium, Licania, Inga, and Eschweilera, isoprene and
77 monoterpene emissions in the Amazon rainforest, isoprene synthase,
78 mycene/ocimene synthase

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#### 81 **1. Introduction**

The photosynthetic uptake of atmospheric  $CO_2$  by the Amazon forest in South America and the photosynthetically-derived emissions of the volatile isoprenoids isoprene ( $C_5H_8$ ) and monoterpenes ( $C_{10}H_{18}$ ) represent the single largest terrestrial sink of  $CO_2$  and source of reactive alkenes in the global atmosphere (Chambers et al., 2014;

87 Guenther et al., 1995; Guenther et al., 2006; Jardine et al., 2015). 88 Recent studies have shown that neither isoprene nor monoterpenes 89 are stored in tropical leaves. Emissions are dependent upon 90 biosynthesis linked with the photochemical production of reducing 91 equivalents (NADPH) and energy (ATP) and carbon skeletons derived 92 from the Calvin-Benson cycle (G3P) (Jardine et al., 2014; Jardine et al., 93 2017). Due to its vast area, high species diversity, and long growing 94 season, the Amazon forest in South America is responsible for an 95 estimated 15% of global terrestrial photosynthesis (Malhi et al., 96 2008). It is also consistently reported as highly sensitive to climate 97 change variables, such as warming and altered precipitation patterns. 98 Regional-scale tropical forest decreases in gross primary productivity 99 associated with high temperature and drought are increasing in the 100 tropics (Laan-Luijkx et al., 2015; Lewis et al., 2011; Phillips et al., 101 2009; Zeng et al., 2008), but the key biochemical and physiological 102 mechanisms by which tropical trees defend themselves from these 103 factors are still under debate. One of the earliest processes in plant 104 response to abiotic stress is the rapid accumulation of reactive 105 oxygen species (ROS) that initially function as warning signals that 106 activate defense responses before triggering programmed cell death 107 under excessive ROS accumulation (Petrov et al., 2015). ROS 108 signaling is linked to the production and emission of volatile 109 isoprenoids, including isoprene and monoterpenes, which play 110 important roles in minimizing ROS accumulation in leaves through 111 antioxidant mechanisms (Vickers et al., 2009). These mechanisms 112 can include the consumption of excess photosynthetic energy and 113 reducing equivalents during isoprenoid biosynthesis (Jardine et al., 114 2016b), direct ROS-isoprenoid antioxidant reactions (Jardine et al., 115 2012), and signaling properties of isoprenoid oxidation products (Karl 116 et al., 2010). In addition, volatile isoprenoids can partition into 117 phospholipid membranes, potentially increasing adhesion forces and 118 maintaining stability without changing their dynamic properties. 119 Reinforced hydrophobic interactions within the thylakoids under

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abiotic stress are hypothesized to stabilize lipid-lipid, lipid-protein and
protein-protein interactions in photosynthetic membranes (Sharkey
and Singsaas, 1995).

123 Despite isoprenoid and other defense mechanisms, if the intensity 124 and duration of abiotic stress is extended over a certain threshold, 125 ROS production will overwhelm the scavenging action of the plant 126 antioxidant system. Extensive cellular damage can result, including 127 membrane peroxidation and the reduction of ecosystem gross 128 primary productivity (GPP), with a shift from terrestrial sinks to 129 sources of atmospheric CO<sub>2</sub>. Such a shift in tropical forest carbon 130 balance would eliminate a critical ecosystem service and accelerate 131 global warming (Brienen et al., 2015). Recent observations in the 132 have demonstrated central Amazon unprecedented canopy 133 temperatures during the dry season. Mid-day values can reach over 134 40 °C (Jardine et al., 2017). Climate models consistently predict 135 warmer conditions in the Amazon Basin by the end of the 21<sup>st</sup> century (Olivares et al., 2015), including a higher frequency and greater 136 137 intensity of large-scale Amazonian droughts (Nobre and Borma, 2009; 138 Zeng et al., 2008). Therefore, climate change factors, including 139 warming trends and droughts threaten the ability of tropical 140 ecosystems to maintain a net carbon sink throughout the 21<sup>st</sup> century, 141 and therefore mitigate anthropogenic climate effects in the 142 atmosphere. Thus, there is an urgent need to better understand the 143 biochemical and physiological mechanisms underlying forest drought 144 response, and in particular the distribution of volatile isoprenoid 145 emissions as defense compounds contributing to thermal tolerance of 146 photosynthesis across diverse tropical forests.

147 While terrestrial ecosystems in the tropics cover only ~18% of Earth's 148 land surface, they dominate volatile isoprenoid emissions globally 149 (Guenther et al., 2006). For example, isoprene and monoterpene 150 emissions from tropical forests are estimated to account for 88% and 151 83% of the total global emissions of these compounds, respectively 152 (Sindelarova et al., 2014). Therefore, it is clear that landscape scale 153 isoprene and monoterpene emissions are highest in the tropics and 154 decrease with increasing latitude (Acosta Navarro et al., 2014). Thus, 155 tropical regions are global hotspots of isoprene and monoterpene 156 emissions due to (i) the high biomass densities and rates of gross 157 primary productivity and (ii) the high light intensities and leaf 158 temperatures that stimulate high leaf emission rates (Alves et al., 159 2014; Jardine et al., 2014; Jardine et al., 2016b). Even so, tropical 160 ecosystems correspond to a small portion of studies related to volatile 161 isoprenoid emissions, most of which have been performed in 162 temperate regions (Harley et al., 2004). Thus, tropical forest 163 isoprenoid emissions are primarily based on a few limited-duration 164 above-canopy measurements, (Harley et al., 2004; Kesselmeier and 165 Staudt, 1999; Niinemets et al., 2011). Thus, the mechanistic basis for 166 predicting volatile isoprenoid emissions in tropical forests still remains 167 based primarily on temperate forest studies (Guenther et al., 2012). 168 This is due, in part, to logistical, technological, and environmental 169 challenges of working in the tropics and the extremely high tree 170 species diversity. For example, the Amazon forest has been 171 estimated to have anywhere between 6,727 (Cardoso et al., 2017) to 172 more than 16,000 distinct tree species (Ter Steege et al., 2013). 173 While current synthesis studies suggest that 20% of tropical species 174 emit isoprene (Loreto and Fineschi, 2015), systematic studies across 175 the hyperdominant tree genera, which account for a large fraction of 176 individuals in the Basin, have not occurred. As such, one of the major 177 uncertainties in global model estimates of terrestrial isoprene 178 emissions from tropical ecosystems relate to the identity and 179 distribution of species (i.e., plant functional types) that are 180 responsible for isoprenoid emissions in diverse tropical forests.

181 In this study, using high sensitivity analytical systems for leaf 182 volatile emissions coupled to a portable photosynthesis system 183 deployed to the Amazon forest throughout 2014-2016, we carried out 184 a systematic survey aimed at characterizing light-dependent 185 emissions of foliar isoprenoids across species in the top five most 186 abundant genera in the Amazon Basin. The core dataset includes 187 controlled light response curves of leaf gas exchange and isoprenoid 188 emissions across five highly abundant tree genera (Protium, Licania, 189 Inga, Eschweilera and Pouteria) in four established field sites from 190 central to eastern Amazonia. This core data-set is supplemented by 191 additional photosynthesis and leaf isoprenoid emission measurements 192 under standard environmental conditions, as well as qualitative 193 isoprenoid emission measurements without environmental control or 194 supporting photosynthesis observations. The results are discussed in 195 terms of a potential common phylogenetic thread linking isoprenoid 196 function under abiotic stress with evolution and the potential for the 197 improvement of global models linking isoprenoid emissions with 198 atmospheric chemistry and their associated biosphere-atmosphere 199 feedbacks.

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#### 201 **2. Results**

202 In total we sampled 162 trees, belonging to 113 different species 203 distributed across 25 botanical families. Many of these species are of 204 great importance for the Amazon region, such as the hyperdominant 205 Euterpe precatoria Mart. (Arecaceae), Eschweilera coriacea (DC.) 206 (Lecythidaceae), Trattinnickia burserifolia S.A.Mori Mart. 207 (Burseraceae), Socratea exorrhiza (Mart.) H. Wendl. (Arecaceae), 208 Protium heptaphyllum (Aubl.) March. (Burseraceae) and Licania 209 heteromorpha Benth. (Chrysobalanaceae). These species are among 210 the 20 most abundant in the Amazon Basin and Guiana Shield, with 211 an estimated population of more than  $3.7 \times 10^8$  individuals each, 212 according to ter Steege et al. (2013). Of the total 113 species 213 sampled, 61.9% emitted isoprene and 15% emitted monoterpenes. In 214 addition, in 9.7% of species, emissions of both isoprene and 215 monoterpenes were detected (Table 1).

216 Within the collected database of the present study, 69 species 217 (represented by 88 trees) account for the five most abundant tree 218 genera in the Amazon Protium, Licania, Eschweilera, Inga and 219 *Pouteria*. Among the 69 hyperdominant species sampled, isoprene 220 emissions were detected in 63.8% and monoterpenes in 17.4% of the 221 species. In 11.6% of these species both isoprene and monoterpene 222 emissions were observed. Thus, when compared to the total species 223 average (113 species), the abundance of isoprene and monoterpene 224 emitting species within the 5 most abundant tree genera (69 species) 225 was similar. However, when each individual genus was analyzed 226 separately (Fig. 2), only species in the Pouteria genus showed a low 227 abundance of isoprene and monoterpene emitters (15.8% emitted 228 isoprene and 10.5% emitted monoterpenes). In contrast, the species 229 richness of isoprenoid emitters was found to be exceptionally high in 230 Eschweilera, Inga, Protium, and Licania. For example, 83.3% of the 12 231 Protium species, 61.1% of the 18 Licania species, 100% of the 8 Inga 232 species, and 100% of the 12 Eschweilera species were found to emit 233 isoprene. Isoprene and monoterpenes were observed to occur 234 simultaneously in at least one species within each of the abundant 235 genera, with the exception of Pouteria.

236 It should be noted that given the focus on species, only one 237 measurement was collected from a single individual for the majority 238 of species. However, as summarized in the 'statistics' tab of the 239 supplementary database file (Database S1.xlsx), many species had 240 biological replicates within the same site and sometime across sites. For example, in the Arecaceae family, all 5 individuals of Manicaria 241 242 saccifera Gaertn., all 6 individuals of Mauritiella aculeata (Kunth) 243 Burret, all 4 individuals of Oenocarpus bacaba Mart., and all 5 244 individuals of Socratea exorrhiza (Mart.) H.Wendl. showed light-245 dependent isoprene emissions. In the Burseraceae family, all species 246 with multiple individuals studied showed isoprene emissions including 247 Protium decandrum (Aubl.) Marchand (2), Protium hebetatum Daly 248 (3), as well as unidentified Protium species (5). Both Eschweilera 249 wachenheimii (Benoist) Sandwith (Lecythidaceae) individuals in forest 250 transects near Manaus, Brazil showed isoprene emissions, as did both

251 individuals of Inga edulis Mart. (Fabaceae), Couepia guianensis Aubl. 252 (Chrysobalanaceae), Vismia guianensis (Aubl.) Pers. (Hypericaceae), 253 Scleronema micranthum Ducke (Malvaceae), Eperua glabriflora 254 (Ducke) R. S. Cowan (Fabaceae), and three individuals of Theobroma 255 grandiflorum (Willd. ex Spreng.) K. Schum. (Malvaceae). Both 256 Cecropia sciadophylla Mart. (Urticaceae) individuals showed light-257 dependent monoterpene emissions and both Licania heteromorpha 258 Benth. (Chrysobalanaceae) individuals near Manaus and the Caxiuanã 259 National Forest showed isoprene emissions. Likewise, species that 260 were identified to be non-emitters of volatile isoprenoids showed no 261 detectable emissions in multiple individuals studied such as *Pouteria* 262 reticulata (Engl.) Eyma (Sapotaceae), Licania heteromorpha Benth. 263 (Chrysobalanaceae), Chamaecrista xinguensis (Ducke) H. S. Irwin & 264 Barneby (Fabaceae), Trichilia sp. (Meliaceae), and Virola sp. 265 (Myristicaceae) However, in some cases, not all of the biological 266 replicates showed consistent isoprenoid emission patterns such as 267 Eschweilera grandiflora (Aubl.) Sandwith (Lecythidaceae) and Pouteria 268 erythrochrysa T. D. Penn. (Sapotaceae) (1 with isoprene and 1 without 269 detectable emissions), Scleronema micranthum Ducke (Malvaceae) (1 270 with monoterpenes and 1 without detectable emissions), Pouteria 271 anomala (Pires) T. D. Penn. (Sapotaceae) (1 with monoterpenes and 2 272 without detectable emissions). Nonetheless, these species were 273 designated as an emitting species, as low photosynthetic rates were 274 often associated with the lack of isoprenoid detection.

275 For each of five abundant genera (Eschweilera, Inga, Protium, 276 Licania, and Pouteria), light response curves were performed on 277 several or in some cases all of the species in order to demonstrate the 278 strict connection of volatile isoprenoid emissions with photosynthesis. 279 In all, 47/62 individuals were observed to show significant isoprenoid 280 emissions with classic light-dependent patterns (see data in brief 281 companion article for complete dataset, Jardine et al., 2020a). 282 Together with leaf gas exchange data, an example light response 283 curve is shown for one species in each of the 5 hyperdominant genera

284 (Fig. 3). In the dark, photosynthesis is negative due to leaf 285 respiration, and isoprene and/or monoterpene emissions are 286 undetectable. With increasing light intensity, photosynthesis and 287 isoprenoid emissions increase together, although in a non-linear 288 fashion. At low light intensities, photosynthesis increases sharply 289 while isoprenoid emissions increase only moderately. As light further 290 photosynthesis begins to saturate while isoprenoid increases, 291 emissions continue to increase. This pattern results in an increased 292 percentage of photosynthesis being emitted as isoprenoids as light 293 intensities increase.

294 We observed the presence of extensive microbial leaf surface 295 coatings in the lower to mid canopies which greatly reduced 296 photosynthesis rates and any associated volatile isoprenoid emissions 297 (data not presented). We also observed that when taking branch 298 cuttings from the upper canopy, larger branches (0.5-1.0 m) recut 299 under water on the ground were required in order to achieve high 300 rates of photosynthesis and isoprenoid emissions once the branch 301 was re-established on the ground. Leaves from small branches often 302 did not respond well during the light response curves, a potential 303 consequence of xylem embolism. In addition, we observed that leaves 304 required sufficient time to adapt to their new environment in the leaf 305 chamber, with our light curves providing ample time (1 hour) for the 306 stomata and associated physiology to respond to the new 307 environmental conditions. Thus, false negatives may be obtained if 308 emissions are not evaluated from sunlit upper canopy, using 309 equipment unable to detect emissions of 1 nmol m<sup>-2</sup> s<sup>-1</sup> or less, and 310 from fast measurements without giving the gas exchange physiology 311 time to equilibrate.

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#### 313 **3. Discussion**

The capacity to plants to emit leaf isoprenoids has been previously observed as highly variable, including within the same genera (Fineschi et al., 2013; Kesselmeier and Staudt, 1999). Roughly 20-

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317 38% tropical plants are assumed to emit isoprene (Harley et al., 2004; 318 Loreto et al., 2014). Both high and non-isoprene emitters have been 319 reported within the same genus and this apparent lack of a clear 320 phylogenetic thread has created difficulties linking isoprenoid function 321 with evolution and the development of accurate biosphere-322 atmosphere models.

323 Previous leaf surveys in the tropics have been limited in 324 duration and extent, lacked the capabilities to quantify both isoprene 325 and monoterpenes, lacked a high sensitivity system capable of 326 detecting isoprene emissions below 1.0 nmol m<sup>-2</sup> s<sup>-1</sup>, required the 327 shipment of samples internationally for analysis with long associated 328 sample storage times of several weeks or more, and were often not 329 linked with photosynthesis measurements to verify active leaf 330 physiology. Other challenges are the use of shade leaves more 331 accessible to the ground and random species sampling, 332 unrepresentative of the forest. It is recognized that isoprenoid 333 emission capacity is greatly reduced in the understory or shade 334 adapted leaves (Harley et al., 1997). As described in the data in brief 335 and *MethodsX* manuscripts (Jardine et el., 2020a,b), this study 336 addressed these issues by developing a new portable field sampling 337 method and establishing a volatile metabolomics laboratory at the 338 National Institute for Amazon Research (INPA) in Manaus, Brazil. 339 Results from the light response curves showed maximum isoprenoid 340 emission rates always occurred at the highest light intensity (PAR: 341 2000  $\mu$ mol m<sup>-2</sup>s<sup>-1</sup>) (**Fig. 3**) with leaf isoprenoid emissions ranging from 342 0.2-44 nmol m<sup>-2</sup> s<sup>-1</sup> (**Fig. 4a**). Thus, slow light response curves (e.g. 70 343 min) allow time for the physiology to adapt to the increasing light, 344 often resulting in high rates of photosynthesis and isoprenoid 345 emissions. Given that species showing low isoprenoid emissions were 346 more common than high emissions (Fig. 4b), we recommend that 347 future studies employ both the slow light response curves coupled 348 with photosynthesis and a high sensitivity system capable of 349 detecting isoprenoid emissions < 1.0 nmol m<sup>-2</sup> s<sup>-1</sup>.

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In contrast to other studies that found isoprenoid emissions to be relatively rare in tropical forests and variable across individual genera, we found high consistency of species within abundant genera to emit isoprene in the Amazon. Some species emitted both isoprene and monoterpenes, while a smaller percentage of species emitted only monoterpenes. We found that 4 out of 5 hyperdominant genera had widespread isoprene emissions across representative species.

357 Of the limited leaf-level studies on volatile isoprenoid emissions 358 in the tropics, a recent analysis compiled existing inventories and 359 estimated that roughly 20% of tropical and temporal plant species 360 emit isoprene (Loreto and Fineschi, 2015). Consistent with this result, 361 in Panama 51 tropical species were surveyed with 29% found to emit 362 isoprene (Keller and Lerdau, 1999). However in a tropical forest in 363 Costa Rica, 10 of the 20 species surveyed showed significant isoprene 364 emissions suggesting that tropical forests may contain a higher 365 fraction of isoprene emitters (50%) than temperate forests (Geron et 366 al., 2002). When a larger survey in the Brazilian Amazon utilizing 367 numerous techniques was compiled consisting of 125 species, 38% 368 were found to emit isoprene (Harley et al., 2004). It should be noted 369 that in the three tropical surveys in Panama, Costa Rica, and Brazil, 370 monoterpene emissions were not evaluated. Moreover, these studies 371 involved largely random sampling of species rather than a systematic 372 survey targeting specific species with enhanced distribution within 373 the forest. Given the extremely high number of estimated tropical 374 species, random sampling of isoprenoid emissions may not produce 375 data representative of the forest. However, depending on the 376 methods used, random sampling strategies could select by chance 377 the most frequent species; Each species is not equally abundant with 378 the abundance heavily skewed towards "hyperdominant" species. In 379 the Amazon for example, it was suggested that just 227 380 hyperdominant species were so common that they accounted for half 381 of all trees in the forest while accounting for 1.4% of total species (ter 382 Steege et al., 2013). Of the total 752 plant genera in the Amazon forest, the 5 genera that we targeted in this study (*Eschweilera*, *Protium*, *Pouteria*, *Licania* and *Inga*) were found to represent an estimated 18.7% of the total of individuals in the Amazon (ter Steege et al., 2013). Heterogeneity of tree species across the landscape due to changes in topography also can lead to heterogeneity in emissions across the landscape (Batista et al., 2019).

389 The results showed much higher percentages of species and 390 individuals emitting isoprene compared to monoterpenes (Fig. 2). This result agrees with other studies on emissions of these 391 392 compounds by plants, which have concluded that monoterpene 393 emissions at the ecosystem scale in broadleaf forests is roughly 10% 394 that of isoprene emissions (Fineschi et al., 2013; Guenther et al., 395 2012; Sindelarova et al., 2014). Some authors have suggested that 396 isoprene is emitted at higher rates by fast-growing woody plants in 397 early and mid-successional forests, and that monoterpenes are more 398 characteristic of forests in more advanced stages (Fineschi et al., 399 2013; Harrison et al., 2013). However, this is not consistent with our 400 findings in mature forests in the Amazon where monoterpene 401 emissions were found to be relatively rare whereas isoprene 402 emissions were found to be very common.

403 Due to its rapid volatilization, it was initially believed that 404 isoprene would not be produced in conjunction with monoterpenes. 405 Monoterpenes were assumed to be only stored in storage structures 406 as resins in plants. Harrison and colleagues (2013) suggested that 407 species with isoprene synthase will preferentially emit isoprene, to 408 the detriment of monoterpenes, and in those species that emit both 409 compounds there is competition between precursors and reducing 410 power. Although monoterpenes are prevalent in stem storage resins 411 of tropical trees in the Amazon (Piva et al., 2019), recent studies 412 using  ${}^{13}CO_2$  have demonstrated that leaf emissions in the tropics do 413 not derive from storage resins. Instead, they derive from biosynthesis 414 linked with photosynthesis as a carbon source like isoprene (lardine et 415 al., 2017). In the present study, we observed that 9.7% of the total 416 species studied emitted both isoprene and monoterpenes. This 417 demonstrates that isoprene emissions do not exclude the ability of a 418 species to also produce photosynthetically linked monoterpenes. 419 These dual emitters may provide deep insights into evolutionary 420 histories and functional traits of both isoprene and monoterpenes. 421 Some studies have suggested that the ability to emit isoprene may 422 have been acquired and lost several times throughout plants 423 evolution (Dani et al., 2014; Monson et al., 2013). When lost, it was 424 hypothesized that it would give rise to lower volatility compounds, 425 such as monoterpenes, for better adaptation to repeated and 426 prolonged stress events. However, it was recently suggested that 427 isoprene synthase, the key enzyme responsible for the formation of 428 isoprene in the chloroplast, evolved in close relation with the 429 monoterpene synthase enzyme (i.e., myrcene/ocimene synthase) 430 (Sharkey et al., 2013). Our findings support this hypothesis as we 431 observed numerous species that have significant leaf ocimene and 432 myrcene emissions in the Amazon (e.g. Fig. 3f). Moreover, while 433 assumed to emit plants are generally either isoprene or 434 monoterpenes, we observed a species in each of the 5 genera which 435 emitted both isoprene and cis- $\beta$ -ocimene (e.g. **Fig. 3c**).

436 Sharkey and Monson (2017) pointed out that it is not yet fully 437 understood how, throughout evolution, the process of isoprene loss 438 and maintenance of this capacity in plants occurs. Our findings 439 suggest that isoprene evolutionary history in trees cannot be 440 addressed without an understanding of its distribution among hyper-441 diverse tropical forests and cannot be studied in isolation from 442 myrcene/ocimene emissions. Thus, it is necessary to evaluate the 443 interdependencies connections and between isoprene and 444 monoterpene in order to reconstruct accurate evolutionary histories 445 of volatile isoprenoids.

When compared to previous studies on tropical isoprene and monoterpene emissions, the results of this survey stands in contrast with a recent synthesis review. Loreto and Fineschi (2015) suggested 449 that about 20% of the tropical species are isoprene emitters. The high 450 percentage of isoprene emitting species (74-100%) in the highly 451 abundant Amazon genera (Protium, Licania, Inga, and Eshweilera) 452 observed in this study implies that their production is linked with their 453 widespread distribution. In contrast with these values, for the genus 454 Pouteria we found only 15.8% of the species emitted isoprene and 455 10.5% emitted monoterpenes. When averaged across the five most 456 abundant genera in Amazonia, Protium, Licania, Inga, Eschweilera and 457 Pouteria, isoprene remained predominant compared to 458 monoterpenes, with 63.8% of the species emitting isoprene and 459 17.4% monoterpenes. These genera are widely distributed throughout 460 the Amazon Basin and the Guiana Shield, representing an estimated 461 18.7% of all the arboreal individuals of the region (ter Steege et al., 462 2013). Thus, our results imply that the emission of volatile isoprenoid 463 compounds could have favored the establishment and survival of 464 these genera. This is consistent with a recent literature survey of 465 tropical plants that reported maximum temperatures for net 466 photosynthesis was  $\sim 1.8^{\circ}$ C higher for isoprene-emitting species than 467 for non-emitters, and thermal response curves were 24% wider 468 (Taylor et al., 2019). These results led to the hypothesis that isoprene 469 emission may be an adaptation to warmer thermal niches, and that 470 emitting species may fare better under global warming than co-471 occurring non-emitting species (Taylor et al., 2019). Thus, the 472 production of volatile isoprenoids may be important for the survival 473 and dominance of abundant tropical genera, especially considering 474 the high degree of abiotic stress regularly experienced in the Basin. 475 Tropical regions receive high solar insolation due to their geographic 476 position near the equator. Daytime leaf temperatures are high and 477 regularly exceed 40-45 °C in the dry season (Jardine et al., 2017). 478 While most attention has been given to the percentage of isoprenoid 479 emitting species in the tropical biome, our study highlights the 480 importance of guantifying their geographical distribution and absolute 481 abundance. This quantification is key to developing improved

482 terrestrial land models which capture isoprenoid emissions from the 483 biosphere. There are also important associated climate feedbacks, 484 including modification to the lifecycles of atmospheric oxidants, 485 aerosols, and clouds (Poeschl et al., 2010). Moreover, we suggest that 486 volatile isoprenoids should be treated as defense compounds which 487 protect tropical forest gross primary production under abiotic stress. 488 They also enable a rapid recovery of net carbon assimilation 489 mechanisms when environmental conditions improve (e.g., stomatal 490 opening following a lowering of temperatures and rehydration of 491 soils).

492 Due to the anthropogenic influence on climate, with increased 493 emissions of greenhouse gases, surface temperatures are expected to 494 increase and more severe, extensive, and prolonged drought events 495 are predicted in the tropics (Field et al., 2014; Fineschi et al., 2013). 496 Some studies have shown that tree mortality and disturbance events 497 are increasing in tropical forests (Brienen et al., 2015). Due to the 498 effect of isoprene and monoterpenes on atmospheric chemistry at 499 regional and global levels and to the protection of the photosynthetic 500 apparatus, it is of great relevance to continue to investigate the 501 presence of light-dependent leaf emissions of isoprene and 502 monoterpene in tropical forests, especially given their high diversity 503 and increased pressure from land use, expansion of deforestation, 504 and changes in precipitation regimes (Chambers and Artaxo, 2017; 505 Harrison et al., 2013; Jardine et al., 2016a; Khanna et al., 2017).

506 While several hypotheses are under investigation regarding the 507 mechanism of protection that isoprene provides during abiotic stress, 508 an emerging view is that isoprene production and emission is tightly 509 linked to its biosynthesis. Isoprene synthesis in the light directly 510 consumes the products of the light reactions of photosynthesis (ATP 511 and NADPH). Thus, isoprene production operates in parallel with other 512 biochemical processes which consume the bulk of excess 513 photosynthetic energy and reducing equivalents like photorespiration. 514 This photo- and thermoprotective mechanism is supported by the 515 results of our light response curves. A non-linear relationship was 516 observed between isoprenoid emissions and photosynthesis in all 517 species and individuals studied, for which the percentage of 518 photosynthate emitted as a volatile isoprenoid increases with light 519 intensity as previously observed in tropical species (Jardine et al., 520 2016b). This result is predicted by energetic models that simulate 521 isoprene emissions as a function of the available reducing power 522 (NADPH) and energy (ATP) in the chloroplast (Niinemets et al., 1999). 523 Thus, dynamic vegetation models attempting to simulate the future of 524 forest composition and function under a changing climate should 525 directly incorporate isoprenoid defenses. An explicit link should be 526 photosynthesis for both carbon skeletons included to and 527 energy/reducing equivalents.

528

#### 529 **4. Conclusions**

530 In this study, we have shown wide-spread isoprenoid leaf emissions in 531 the Amazon basin linked with photosynthesis with a focus on the hyperdominant tree species that account for a large fraction of all 532 533 individuals. We found that four of the five most abundant genera 534 showed a very high proportion of isoprene-emitting species. A smaller 535 fraction had monoterpene emissions instead of isoprene. Importantly, 536 in each of the five abundant genera at least one species was also 537 observed to show both isoprene and monoterpene emissions with the blend of monoterpenes emitted, which can be attributed to the 538 539 presence of a myrcene/ocimene synthase enzyme. As the emerging 540 view that isoprene synthase evolved in close relation with 541 myrcene/ocimene synthase, the results have important implications 542 for understanding the evolution of leaf isoprene and monoterpene 543 emissions in the tropics. The results are consistent with literature 544 discussions of the biological functions of isoprene and monoterpene 545 production as an important thermotolerance mechanisms which 546 facilitate adaptation to warmer thermal niches resulting in widespread 547 establishment of abundant tree genera in the Amazon basin.

548 Moreover, our findings will be useful in the development of an 549 improved representation of terrestrial isoprenoid emissions in Earth 550 system models. These models aim to quantitatively simulate the role 551 of isoprenoid emissions in the terrestrial carbon cycle and 552 atmospheric chemistry/climate feedbacks.

553

## 554 **5. Experimental**

#### 555 **5.1 Field sites**

556 In this study, four different sites in the Amazon Basin were surveyed 557 for tree species with leaf isoprene emissions between 2014 to 2016. 558 In Amazonas State, we collected the majority of samples from the ZF-559 2 Tropical Forestry Experimental Station, located ~60 km at 560 northwest of Manaus, Brazil. The vegetation is classified as 561 undisturbed mature rainforest, with an area of approximately 230 562 km<sup>2</sup>. We collected data from 130 trees of 89 different species in this 563 field site. The individual and species quantities varied as some 564 species were sampled more than once, with more than one individual. 565 Samples were also collected in the National Institute for Amazonian 566 Research campus, in the city of Manaus. There, we sampled 6 trees of 567 5 different species. In Pará State, we conducted surveys at Caxiuanã 568 Forest and Tapajós National Forest, National both federal 569 conservation areas. Caxiuanã is located in the municipality of 570 Melgaço, 400 km west of the capital Belém and has an area of 3,300 571 km<sup>2</sup>. In Caxiuanã, 9 trees of 8 species were sampled. Tapajós National 572 Forest, with an area of 5,273 km<sup>2</sup>, is near the city of Santarém at 573 kilometer 67 on the BR-163 road. We sampled 17 individual trees of 574 16 species in Tapajós National Forest.

575

# 576 **5.2 Volatile isoprenoid emissions and net photosynthesis** 577 **during light response curves and under standard** 578 **environmental conditions**

579 A more detailed description of the methods employed for the 580 simultaneous collection of leaf volatile isoprenoid emissions and gas 581 exchange can be found in the *MethodsX* paper, "Development of a 582 portable leaf photosynthesis and VOC emission system" (Jardine et al, 583 2020b). Briefly, for all leaf samples studied for volatile isoprenoid 584 emissions, branch cuttings were conducted in the upper canopy with 585 sun exposed leaves with the assistance of a tree climber utilizing a 586 pole pruner or directly accessed from flux towers. Large branches 587 were removed from the upper canopy (up to 0.5-1.0 meter in length) 588 and rapidly recut on the ground under water to maintain the 589 transpiration stream. Net photosynthesis and isoprene and 590 monoterpene emission rates were quantified from leaves during 591 controlled changes in photosynthetically active radiation (PAR) using 592 a commercial leaf photosynthesis system (LI-6400XT, LI-COR Inc., 593 USA) interfaced with a gas chromatograph-mass spectrometer (GC-594 MS, 5975C series, Agilent Technologies, USA). A modification to the 595 LI-6400XT was made such that a fraction of the air exiting the leaf 596 chamber was diverted to thermal desorption (TD) tubes for the 597 quantitative collection of any isoprene and monoterpenes emitted 598 from the sample leaf into the chamber. TD tubes were purchased 599 commercially, filled with guartz wool, Tenax TA, and Carboxeen 1003 600 adsorbents (Markes International, UK). All tubing and fittings 601 employed downstream of the leaf chamber were constructed with PFA 602 Teflon (Cole Parmer, USA). Hydrocarbon free ambient air was 603 delivered to the LI-6400XT gas inlet using a capillary-grade 604 hydrocarbon trap (Restek, USA). For all samples, the flow rate of air 605 into the leaf chamber was maintained at 537 ml min<sup>-1</sup>, the internal fan 606 was set to the maximum speed, the leaf temperature was maintained 607 at 30 °C, and the reference  $CO_2$  concentration entering the chamber 608 was maintained at 400 ppm. Using a tee fitting, air exiting the leaf 609 chamber was delivered to the TD tube (75 ml min<sup>-1</sup> when collecting) 610 with the remainder of the flow diverted to the vent/match valve within 611 the LI-6400XT. The excess flow entering the vent/match valve was 612 maintained to at least 200 ml min<sup>-1</sup> by loosely tightening the chamber 613 onto the leaf using the tightening nut.

614 VOCs exiting the leaf chamber were collected on TD tubes for 615 10 min at 75 ml min<sup>-1</sup> automatically during light-response curves using 616 a portable 28 tube auto sampler (Less-P, Signature Science LLC., 617 Austin, TX, USA), and manually during standard environmental 618 conditions using a hand held sampling pump downstream of the TD 619 tubes (Casella Apex Lite Pro, Casella USA, Amherst, NH, USA). For the 620 light response curves, the sample leaf was placed in the dark 621 chamber (0 µmol m<sup>-2</sup> s<sup>-1</sup> PAR), and following a 5 min period of 622 equilibration, the sample and reference infrared gas analyzers were 623 matched, and light curve autoprograms on both LI-6400XT and Less-P 624 were initiated. For the LI-6400XT, the light curve autoprogram 625 consisted of logging data every 30 seconds while controlling PAR for 626 10 minutes at each PAR level (0, 100, 250, 500, 1000, 2000 µmol m<sup>-2</sup> 627  $s^{-1}$ ). The autoprogram for the Less-P controlled the sequential 628 sampling of VOCs onto 6 TD tubes, one for each PAR level. An analysis 629 of isoprene and monoterpene concentrations from an empty chamber 630 revealed negligible to undetectable backgrounds. Moreover, leaf 631 isoprene and monoterpene emissions in the dark (PAR flux of 0 µmol 632  $m^{-2} s^{-1}$ ) also showed negligible to undetectable values.

633 For emissions under standard environmental conditions (30 °C 634 leaf temperature, 400 ppm reference  $CO_2$ , 1000 µmol m<sup>-2</sup> s<sup>-1</sup> PAR), the 635 leaf was placed in the chamber and allowed to stabilize for up to 10-636 15 minutes or until stomatal conductance and net photosynthesis 637 values stabilized. Following stabilization of the gas exchange 638 parameters, the IRGAs were matched and isoprene and monoterpene 639 emissions were collected together with gas exchange data for 10 640 minutes. For every TD sample collected with a leaf in the chamber, a 641 second TD sample was collected without a leaf in order to 642 demonstrate that the isoprenoid emissions derived from the leaf and 643 not contamination of the system from one species to the next. Once 644 collected, the TD tubes were analyzed for isoprene and monoterpene 645 concentrations within 1-5 days using an automated Thermal 646 Desorption - Gas Chromatography - Mass Spectrometry (TD-GC-MS)

647 as described below. Isoprene and monoterpene fluxes were calculated 648 as previously described based on the flow rate of the air into the 649 chamber, the concentration of volatile isoprenoids inside the 650 chamber, and the leaf area inside the chamber (6 cm<sup>2</sup>) (Jardine et al., 651 2014; Jardine et al., 2017).

652

# 653 **5.3 Qualitative volatile isoprenoid emissions using dynamic**654 **enclosures**

655 For the collection of isoprenoid emissions from palm plants in the ZF2 656 forest preserve in the central Amazon, we used a custom 300 mL 657 glass leaf chamber with the inlet exposed to ambient air and the 658 outlet connected to a TD tube with a hand held Casella pump 659 downstream. Volatile emissions were determined qualitatively by 660 comparing TD samples with and without a leaf in the chamber. The 661 sampling flow used in this case was 150 mL min<sup>-1</sup> for 10 minutes, for a 662 total of 1.5 L. It should be noted that while intact leaves on the tree 663 were studied without branch removal, this setup did not permit any 664 control of parameters such as temperature, PAR and  $CO_2$ 665 concentration.

666 We also used an alternative type of qualitative analysis for 667 volatile isoprenoid emission from entire branches left intact on the 668 target tree. We placed a 5.0 L teflon bag with  $\frac{1}{4}$ " inlet and outlet 669 fittings directly over a branch without sealing it (bottom open to the 670 atmosphere). We immediately collected a 500 mL air sample onto a 671 TD tube inserted into the enclosure outlet fitting and compared this to 672 a 500 mL air sample collected onto a second TD tube inserted into the 673 enclosure outlet fitting but without a branch in the enclosure. These 674 two techniques will be referred to as qualitative 1.

675 Another qualitative technique consisted of the use of a high 676 sensitivity quadrupole proton transfer reaction and mass 677 spectrometry (PTR-MS, Ionicon Analytik, Austria) interfaced to a dynamic branch enclosure (5 L Teflon chamber) with 5 L min<sup>-1</sup> of 678 679 hydrocarbon free zero air flowing through as generated using a zero

680 air generator (Aadco 737 pure air generator). PAR at branch height 681 was set to roughly 1,000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> using a grow light (90 W UFO 682 grow light - red / blue LED light system). Isoprene was quantified at 683 m/z 69 while monoterpenes were quantified at m/z 137. While the 684 system was regularly calibrated for isoprene and monoterpene 685 concentrations using a primary gas standard, we did not determine 686 the leaf area that was placed inside the chamber from the detached 687 branches recut under water or the temperature inside the chamber. 688 As leaf temperature was also not determined, this method is also 689 considered qualitative and referred to here as qualitative 2.

690

#### 691 **5.4 Thermal desorption gas chromatography-mass**

#### 692 spectrometry (GC-MS)

693 Following collection of volatile isoprenoids from dynamic leaf/branch 694 enclosures, TD tube samples were returned to the analytical 695 laboratory in Manaus, Brazil and analyzed for monoterpenes within 696 two days using TD-GC-MS. TD tubes were analyzed for isoprene and 697 monoterpenes using a thermal desorption system (TD-100, Markes 698 International) interfaced with a gas chromatograph/electron impact 699 mass spectrometer with a triple-axis detector (5975C series, Agilent 700 Technologies, Santa Clara, CA, USA) at INPA, Manaus, Brazil, as 701 previously described (Jardine et al., 2017).

702 TD tube samples were analyzed with a TD-100 thermal 703 desorption system (Markes International, UK) interfaced to a gas 704 chromatograph/electron impact mass spectrometer with a triple-axis 705 detector (5975C series, Agilent Technologies, USA). After loading a 706 tube in the TD-GC-MS system (up to 50 analyzed sequentially), the 707 collected samples were dried by purging for 4 minutes with 50 ml min<sup>-</sup> 708 <sup>1</sup> of ultra-high purity helium (all flow vented out of the split vent) 709 before being transferred (290 °C for 5 min with 50 ml min<sup>-1</sup> of helium) 710 to the TD-100 cold trap (air toxics) held at 20 °C. During GC injection, 711 the trap was heated to 290°C for 3 min while back-flushing with 712 carrier gas at a flow of 6.0 ml min<sup>-1</sup>. Simultaneously, 4.0 ml min<sup>-1</sup> of this flow was directed to the split and 2.0 ml min<sup>-1</sup> was directed to the column (Agilent DB624 60 m x 0.32 mm x 1.8  $\mu$ m). The oven temperature was programmed with an initial hold of 3 min at 40 °C followed by an increase to 230 °C at 6 °C min<sup>-1</sup>. The mass spectrometer was configured for trace analysis with a 15 times detector gain factor and operated in scan mode (m/z 35-150).

719 The GC-MS was calibrated to authentic monoterpene standards (99%, Sigma Aldrich, St. Louis, MO, USA) in methanol using the 720 721 dynamic solution injection (DSI) technique (Jardine et al., 2010) by 722 dynamic dilution with a hydrocarbon free air flow of 1.0 L min<sup>-1</sup>. 723 Identification of individual monoterpenes from TD tube samples was 724 performed by comparison of mass spectra with the U.S. National 725 Institute of Standards and Technology (NIST) mass spectral library 726 and by comparison of mass spectra and retention time with the 727 authentic liquid standard which consisted of 10 µg/ml each of the 728 following monoterpenes in methanol [alpha-pinene (CAS# 80-56-8), 729 camphene (CAS# 79-92-5), D-limonene (CAS# 138-86-3), sabinene 730 (CAS# 3387-41-5), 3-carene (#13466-78-9), myrcene (CAS# 123-35-731 3), terpinolene (CAS# 586-62-9), and trans-beta ocimene (CAS# 732 13877-91-3)]. Isoprene was calibrated regularly throughout the multi-733 year experiment by dynamic dilution of a 1.0 ppm primary standard in 734 nitrogen as previously reported (Jardine et al., 2016b). TD-GC-MS calibrations were conducted to establish retention times and 735 736 identities of sample monoterpenes, with peak area responses 737 demonstrated to be highly linear (Jardine et al., 2017).

738

#### 739 6. Acknowledgements

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#### 7. Supporting information

757 The Supporting Information document contains the database from 758 volatile isoprenoid leaf emissions and net photosynthesis in the 759 Amazon Basin (Database S1.xlsx) with a description of important 760 metadata including sampling data and location, genus, species, 761 family, tree number, research site, light intensity, identity of 762 significant volatile isoprenoid detected, TD-GC-MS and Licor6400XT 763 file names, exported isoprenoid emission flux file, TD-GC-MS peak 764 areas for isoprene (m/z 67), maximum net photosynthesis and 765 isoprenoid emission rates for light response curves, and sampling flow 766 rate and duration. In addition, the raw data for specialists is available 767 for download via the companion data in brief article (Jardine et al., 768 2020a) including the raw calibration and sample TD-GC-MS data files 769 Masshunter file in Agilent format 770 (http://dx.doi.org/10.15486/ngt/1602144) and raw Licor6400XT gas 771 files MS Excel exchange in format (http://dx.doi.org/10.15486/ngt/1602143). In addition, calculated leaf 772 773 isoprenoid emission rates from 47 individuals during controlled light 774 response also available curves are 775 (http://dx.doi.org/10.15486/ngt/1602142).

776

# 778 8. Figures and Tables

Authors	Region	Percentage isoprenoid emitters
Keller and Lerdau (1999)	Panama	51 species (29% isoprene)
Geron et al. (2002)	Costa Rica	20 species (50% isoprene)
Harley et al. (2004)	Brazilian Amazon	125 species (38% isoprene)
Loreto and Fineschi (2015)	Tropical and temperate forests	1,247 species (20% isoprene)
This study, Jardine et al. (2020)	Brazilian Amazon	113 species (61.9% isoprene, 15% monoterpenes, 9.7% isoprene and monoterpenes). 69 hyperdominant species (63.8% isoprene, 17.4% monoterpenes, 11.6% isoprene and monoterpenes)

779 **Table 1:** Summary of volatile isoprenoid emission surveys in tropical and

780 temperate forests.

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**Figure 1**: Images of the coupled leaf portable photosynthesis (Li6400XT) and volatile emission autosampler (Less-P) system developed in this study for the combined analysis of net photosynthesis and volatile isoprenoid emissions at remote field site locations in the Amazon forest.

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**Figure 2:** Percentages of hyperdominant species with leaf isoprenoid emissions for the abundant genera *Protium*, *Licania*, *Inga*, *Eschweilera* and *Pouteria* in the Amazon forest. n is the number of species sampled for each genus.



794 Figure 3: Example light (dark blue trace) response curves of leaf 795 photosynthesis (black trace), isoprene emissions (green trace) and 796 monoterpene emissions from an individual in each of the 5 abundant genera 797 including (a) Eschweilera sp., (b) Inga edulis, (c) Protium hebetatum, (d) 798 Pouteria durlandii, (e) Licania oblongifolia. Also shown is an example light 799 response curve from the monoterpene emitting species (f) Pouteria 800 anomala. The dotted line represents a net flux of zero on the photosynthesis 801 axis with negative values in the dark due to leaf respiration. Note that (c) 802 *Protium hebetatum* is both an isoprene and monoterpene emitter while (f) 803 Pouteria anomala is a monoterpene only emitter. 804

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Figure 4: Maximum leaf isoprenoid emissions from the light response curve
data showing (a) maximum isoprenoid emissions for species where
emissions were detected and (b) a histogram representing the distribution
of maximum leaf isoprenoid emissions.

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