

UC San Diego

UC San Diego Previously Published Works

Title

Field-Monitoring System for Suction and Temperature Profiles under Pavements

Permalink

<https://escholarship.org/uc/item/9vc481s5>

Journal

Journal of Performance of Constructed Facilities, 27(6)

ISSN

0887-3828

Authors

McCartney, John S

Khosravi, Ali

Publication Date

2013-12-01

DOI

10.1061/(asce)cf.1943-5509.0000362

Peer reviewed

1 **FIELD MONITORING SYSTEM FOR SUCTION AND TEMPERATURE PROFILES**
2 **UNDER PAVEMENTS**

3
4
5
6

By John S. McCartney¹, Ph.D., P.E. Member ASCE
and Ali Khosravi², Ph.D. Student Member ASCE

7**Abstract:** The purpose of this paper is to describe the development of and typical results from a
8new field monitoring system to evaluate changes in matric suction and temperature profiles in
9subgrade soil layers beneath constructed pavement systems over an extended period of time. This
10monitoring system involves the placement of sensors capable of inferring the volumetric water
11content and temperature of soils into a borehole in the shoulder of an existing pavement. High
12permeability silica flour is used to backfill the borehole around the sensors, so that changes in
13matric suction with depth in the subgrade can be inferred through the soil-water retention curve
14of the silica flour. The monitoring results from a pavement site in Arkansas with low
15permeability clay subgrade are compared with predictions from the Enhanced Integrated
16Climatic Model (EICM). The measured and predicted temperature distributions match well, but
17the EICM did not capture the fluctuations in matric suction inferred from the monitoring system.
18This can be attributed to the fact that the monitoring system captured the 2-dimensional water
19flow near the pavement shoulder, but also to the possibility that the EICM may not have
20adequately represented the water flow process through the asphalt and base course layers.
21Profiles of matric suction and temperature obtained using the monitoring system provide real-
22time, site-specific feedback on interactions between the atmosphere and pavement systems.
23Comparison of results from multiple pavement sites with clay subgrades across Arkansas
24demonstrates the variability in matric suction and temperature changes in different climatic
25settings.

1¹ Assistant Professor and Barry Faculty Fellow, University of Colorado Boulder, Department of Civil,
2Environmental, and Architectural Engineering, UCB 428, Boulder, CO 80309, john.mccartney@colorado.edu.

3² Research Associate, University of Colorado Boulder, Department of Civil, Environmental, and Architectural
4Engineering, UCB 428, Boulder, CO 80309, ali.khosravi@colorado.edu.

1INTRODUCTION

2 Climatic interaction can have a significant impact on the performance of pavements,
3especially in states such as Arkansas where the subgrade often consists of poorly-draining, fine-
4grained soils. It is often desirable for engineers to monitor this climatic interaction within the
5pavement soil layers in order to either identify mechanisms of long-term distress in the
6pavement, to validate or calibrate design predictions for an upcoming pavement design in the
7region, or to refine pavement drainage system designs. Accordingly, this study is focused on a
8new approach to monitor temperature and matric suction distributions with depth in the subgrade
9beneath existing pavements. Field monitoring of water content and temperature in pavements is
10not a simple exercise due to the need to place sensors into the subgrade layer without causing
11significant disturbance to the pavement. Approaches that have been used in the past include
12placement of instruments into pavements during construction (Ovik et al. 1999), excavation of
13pits within the pavement (Liang et al. 2007), and excavation of trenches through the subgrade
14during resurfacing (Gupta et al. 2008). Although valuable information has been obtained from
15these studies, they involve re-compaction of the subgrade around the sensors, which may lead to
16hydraulic properties which are not representative of the undisturbed subgrade at other locations
17along the length of the roadway. The approach proposed in this study is to place sensors within a
18borehole drilled through the asphalt and base into the subgrade, and then to backfill the borehole
19layers of silica flour which are hydraulically isolated around each sensor with granular bentonite
20layers. This paper describes the details of how this approach can be used to infer the matric
21suction and temperature at different depths in the subgrade, and shows results from installations
22of this monitoring approach throughout Arkansas. The monitoring results are compared with
23predictions from the Enhanced Integrated Climatic Model (EICM), a numerical tool currently
24used to evaluate interaction between the atmosphere and a pavement system, in order to
25emphasize the need for site-specific monitoring of matric suction and temperature under
26pavements.

27BACKGROUND

28 The results of the EICM are key inputs for long-term pavement design according to the
29Mechanical-Empirical Pavement Design Guide (ME-PDG) (National Research Council 2004). It
30is well established in geotechnical engineering that changes in matric suction in soils lead to

1 changes in effective stress and mechanical properties (e.g., Lu and Likos 2006). The EICM has a
2 long history, and incorporates a statistical model of published weather databases, a hydraulic
3 model for gravity drainage of water from soil, a surface heat transfer model, and a one-
4 dimensional diffusion model for coupled temperature and water flow including soil freezing and
5 frost heave (Liu and Lytton 1985; Liang and Lytton 1989; Dempsey et al. 1985; Guymon et al.
6 1986). Individual models for each of these phenomena were integrated into the ICM by Lytton et
7 al. (1993) which was refined to form the EICM by Larson and Dempsey (1997). The version of
8 EICM evaluated in this study is Version 3.2 implemented in 2006 by Gregg Larson and Barry
9 Dempsey of Applied Research Associates of Champagne, IL (Larson and Dempsey 2006). The
10 main outputs of the EICM are the climatic conditions at a road location (surface temperature and
11 precipitation), drainage behavior of the aggregate base from initially saturated conditions,
12 changes in pore water pressure and internal temperature distributions due to weather fluctuations,
13 and the likelihood of freeze-thaw conditions. This information has been found to be useful for
14 sizing of drainage and hydraulic barrier systems in design, and has been correlated with the
15 resilient moduli of the different pavement layers.

16 Calibration and validation of the EICM for states such as Arkansas is critical because of its
17 unique topographical, geological, and geographical settings. Arkansas has several microclimates,
18 a large spatial variation in subgrade soils, and a range in roadway geometries used in design for
19 rural and urban applications. Without validation, use of the EICM for pavement design in
20 Arkansas may either lead to over-design, resulting in high construction costs, or under-design,
21 resulting in premature pavement failure. This was the main motivation behind a recent research
22 project (TRC-0902) performed for the Arkansas State Highway and Transportation Department
23 (AHTD) involving development of a new field monitoring approach to calibrate EICM
24 predictions. Independent validation of the EICM has been attempted in several states
25 departments of transportation in the U.S. including New Jersey (Ahmed et al. 2005), Idaho
26 (Bayomy and Salem 2005), Ohio (Liang 2006; Quintero 2007), and Minnesota (Bjorn et al.
27 2007; Birgisson et al. 2007). In general, these studies had good success in predicting the
28 variations in temperature with depth beneath the pavement. The model had varying success in
29 predicting measured suction profiles. Birgisson et al. (2007) found that the model matched the
30 water content (or suction) of the base material when it was wet, but under-predicted the water

1content when it was dry. Bayomy and Salem (2005) and Quintero (2007) observed that the
2EICM often predicted a negligible change in water content of the subgrade except near the
3interface with the base course, even though field measurements indicated some fluctuations over
4time. Zapata and Houston (2008) performed a careful evaluation of the EICM for different
5settings throughout the country, and observed an improvement in performance when site-specific
6soil properties were used in the EICM analysis. Although some of the differences between the
7EICM predictions and field monitoring systems can be attributed to the field monitoring systems
8used in these studies, the flow process of rainfall through the asphalt and base course layers are
9complex phenomena to capture in a numerical model.

10FIELD TEST SITES IN ARKANSAS

11 Arkansas is a suitable setting to evaluate the new field monitoring system because there are
12six distinct regions in the state having different topographic, climatic, and geographic settings,
13shown in Figure 1. In general terms, the Ozark plateau is relatively dry due to its elevation with
14freezing temperatures through most of the winter, the Arkansas river valley is more temperate
15due to the effects of the river and lower elevation, the Mississippi embayment is relatively humid
16with warmer temperatures throughout the year, Northeast Arkansas has weather patterns affected
17by the contrast in elevation from the embayment due to Crowley's ridge, the west gulf coastal
18plain is relatively humid with temperatures similar to Louisiana, and the Ouachita mountains
19have a blend in climate between the Ozarks and the west gulf coastal plain. Seven pavement
20locations in each of these regions were selected as field test sites, also shown in the map in
21Figure 1. The pavement locations were selected to have the same surface treatment (i.e., asphalt
22concrete), similar geometries (i.e., embankment slopes, drainage ditch shape), previous
23performance (i.e., lack of cracking or rutting), and topographical settings (i.e., a flat section of
24road). A summary of the pavement geometry and climate characteristics for the sites is shown in
25Table 1. Weather data measured at weather stations within 10 miles of each site was collected
26from a publically-available online database (wunderground.com). This paper focuses primarily
27on the installation and interpretation results from the installation at Murfreesboro, AR, although
28a comparison of selected results from the other sites is also included.

1 FIELD MONITORING PROGRAM

2 The approach used in this study consists of inserting dielectric/temperature sensors into
3 boreholes formed by pushing a Shelby tube into a core hole through the asphalt and base course
4 layers. Because it is impossible to backfill a borehole with the soil obtained from the borehole
5 (especially in the case of high plasticity clays), an alternative backfill material was required.
6 Silica flour was selected as the backfill because it has a high hydraulic conductivity when
7 unsaturated, and it can be poured easily in dry conditions into the borehole. Silica flour is
8 essentially crushed rock with 100% fines content, and can be obtained from most pottery supply
9 stores. Another advantage of using silica flour is that the calibration of sensors does not need to
10 be performed for each site soil, only for the silica flour. The sensors used in this project were EC-
11 TM[®] dielectric sensors, obtained from Decagon Devices of Pullman, WA, which are capable of
12 simultaneously measuring temperature and inferring the volumetric water content. The
13 volumetric water content is inferred by measuring the charge time of a capacitor circuit formed
14 between the sensor and the soil. The charge time is directly proportional to the amount of water
15 in the soil. The dielectric sensors can infer the volumetric water content of soils with an accuracy
16 of approximately $\pm 0.03 \text{ m}^3/\text{m}^3$, which is sufficient to evaluate flow processes in soils. The
17 dielectric sensors are robust enough for deployment in field applications, and the corresponding
18 datalogger has relatively long battery life and storage capacity (2-3 years).

19 Before implementation in the field, the sensors were calibrated in the laboratory in silica
20 flour. The calibration setup is shown in Figure 2(a). A dielectric sensor was placed vertically in a
21 graduated cylinder, and the silica flour was placed in dry conditions around the sensor and
22 tamped into place to reach a dry density of $1330 \text{ kg}/\text{m}^3$. Water was then allowed to seep into the
23 bottom of the cylinder under a low gradient, and the weight of the cylinder was tracked with
24 time. The average volumetric water content of the soil layer was then correlated with the sensor
25 reading, as shown in Figure 2(b). Negligible volume change occurred in the silica flour during
26 this process. The nonlinear relationship between the average volumetric water content value and
27 the sensor reading is due non-uniformity in water content along the length of the sensor during
28 the upward flow of water. The calibration equation for the sensor was defined by fitting a straight
29 line through the data.

1 A schematic of the typical monitoring system installed at each of the sites in Table 1 is
2 shown in Figure 3. The goal of this monitoring system was to evaluate the fluctuations of matric
3 suction and temperature in the subgrade under the water and heat flow boundary conditions
4 representative of pavements. Water flow into the subgrade is affected by the asphalt layer, which
5 has comparatively low permeability (unless cracked), as well as by the base course layer, which
6 may transmit water laterally before it is able to enter the subgrade. Heat flow into the subgrade is
7 also affected by the asphalt, which has low albedo and absorbs a greater amount of heat than soil,
8 as well as by the base course layer, which may provide an insulating effect. Although the
9 monitoring system could have been installed anywhere through the cross-section of the
10 pavement, the monitoring systems in this study were all installed through the pavement in the
11 shoulder of the road to avoid closing the road during installation. The subgrade near the shoulder
12 of the pavement is where the greatest fluctuations in matric suction are expected, as water and
13 heat flow may be affected by flow of water into or out of the exposed subgrade in the drainage
14 ditch of the roadway. Although a monitoring station in the pavement shoulder is not ideal for
15 calibration of the EICM, which considers 1-dimensional vertical water and heat flow in the
16 subgrade under the center of the pavement, this choice of location is better than in the drainage
17 ditch of the pavement where there is no asphalt on the surface. Further, measurements from the
18 pavement shoulder provide useful calibration data for flow analyses with other 2-dimensional
19 programs.

20 A challenge in developing the monitoring system was to ensure that the dielectric sensors
21 infer the matric suction at a desired depth. For example, if the borehole had been backfilled with
22 only silica flour, water flowing through the base course layer would preferentially fill the
23 borehole, bypassing the subgrade. After a sensor has been lowered to a desired depth in the
24 borehole, the hole is backfilled with silica flour to a depth slightly above the sensor and tamped
25 into place with a rod. Next, a 50 to 100 mm-thick layer of granular bentonite is placed in the hole
26 atop the silica flour. The layer of bentonite will hydrate by absorbing water from the surrounding
27 soil, forming a low-permeability seal between the pockets of silica flour surrounding each
28 dielectric sensor. Additional sensors are then placed into the borehole in a similar manner until
29 reaching the top of the subgrade, as shown in Figure 3. The discrete pockets of silica flour at
30 different depths will reach hydraulic equilibrium with the subgrade soil surrounding the borehole

1at each of the depths. The bentonite layers prevent water entering the silica flour at one depth
2from passing preferentially to the other layers.

3 Pictures from installations in Arkansas are shown in Figure 4. The first step in the installation
4is to create a 10.16 cm core through the asphalt layer. This core is used to determine the as-built
5thickness of the asphalt layer. The next step is to auger through the base course layer and the top
6of the subgrade, as shown in Figure 4(a). After subgrade soil is observed on the auger, the hole is
7cleaned and the as-built depth of the base course layer is measured. Two thin-walled, 91.44 cm-
8long Shelby tube are then pushed into the subgrade in sequence to obtain intact samples of
9subgrade soil up to a depth of nearly 2 m from the pavement surface, which also form a smooth
10hole in the subgrade after extraction. The intact Shelby tube samples of soil from each site were
11transported to the laboratory for geotechnical characterization. The next step of the installation is
12to use an asphalt saw to cut a channel in the asphalt to the edge of the shoulder as shown in
13Figure 4(b). The completed channel is shown in Figure 4(c). Dielectric sensors are placed into
14the borehole as described in the previous paragraph as shown in Figure 4(d). The dielectric
15sensor cables are fed through a flexible electrical conduit for protection, which was passed
16through the channel in the asphalt. The voids around the cables in the base course layer are
17backfilled with bentonite to prevent lateral flow from the base course into the borehole. Cold mix
18asphalt was used to patch the hole and channel in the asphalt, as shown in Figure 4(e). The
19conduit was buried in the subgrade soil and was attached to a metal box attached to a street sign
20or metal post, as shown in Figure 4(f). The metal box was found to provide good protection of
21the datalogger from water, traffic, animals, and mowers.

22 A novel aspect of the monitoring system is the analysis used to infer the matric suction at
23different depths in the subgrade. The dielectric sensors infer the volumetric water content of the
24silica flour, not that of the surrounding soil. Although the soil at the interface with the silica flour
25may have significantly different water content, the matric suction at the interface is continuous.
26This means that the dielectric sensors are used to infer the matric suction in the soil by way of
27measuring the water content of the silica flour. The soil-water retention curve (SWRC) for the
28silica flour is shown in Figure 5 along with that of a typical clay soil. The SWRC of the silica
29flour was obtained using a hanging column test according to ASTM D6836. This pair of SWRCs
30can be used to explain the concept of suction measurement using the dielectric sensors. For

1 instance, if the dielectric sensor indicates that the volumetric water content of the silica flour is
2 $20.20 \text{ m}^3/\text{m}^3$, a value of matric suction equal to 150 kPa can be estimated using the SWRC of the
3 silica flour. Because the suction must be continuous at the interface between the silica flour and
4 surrounding soil, the matric suction within the soil at this depth must also be 150 kPa. The
5 SWRC for the clay soil can be used to estimate that the volumetric water content of the soil is
6 $60.29 \text{ m}^3/\text{m}^3$ for a matric suction of 150 kPa. One reason for selecting silica flour as the backfill is
7 that it has relatively high permeability when unsaturated, which means that water will be readily
8 transmitted from the subgrade soil to the silica flour around the dielectric sensors. Further, the
9 thermal conductivity of silica flour is similar to that of soils, so the temperature measurements
10 from the dielectric sensors can be assumed to represent the temperature in the subgrade.

11 An example of the calibrated volumetric water content data obtained from one of the sensors
12 is shown in Figure 6(a). The dielectric sensor readings show that the silica flour initially required
13 several weeks to equilibrate with the suction within the surrounding subgrade because it was
14 initially dry. The volumetric water content at each time increment was converted to matric
15 suction using the approach described in the previous paragraph, as shown in Figure 6(b). The
16 results in this figure indicate that conditions were generally wet at the site, except during the
17 summer of 2010, when the suction in the subgrade reached high values due to dry weather
18 conditions.

19 TYPICAL FIELD MONITORING RESULTS

20 The values of matric suction and temperature inferred from the dielectric sensors for the site
21 near Murfreesboro are presented in Figure 7. The matric suction values inferred from the sensors
22 at different depths below the pavement surface shown in Figure 7(a) follow reasonable
23 fluctuations representative of wetting and subsequent drying due to climate interaction after
24 December 2009. Representative suction profiles at different times are shown in Figure 7(b). The
25 suction profiles obtained at the wetting and driest times of the year can be used to establish an
26 envelope of typical suction values for a site, which is important for design. Water was often
27 observed in the drainage ditch at the site during data collection, which indicates that the water
28 table is relatively close to the pavement (within 2 meters of the pavement surface). For most of
29 the monitoring period, the magnitude of suction inferred from the dielectric sensors was
30 approximately 50 kPa, which corresponds to nearly saturated conditions. During the summer of

12010 the suction increased up to 3000 kPa, indicating a potentially substantial decrease in water
2content during a dry period at the site. An increasing trend in suction with height is consistent
3with drying from the soil surface. The temperatures in Figure 7(c) generally follow the same
4trend as the air temperature, which will be discussed later in the paper. The temperature profiles
5shown in Figure 7(d) indicate that the soil nearer the pavement surface is affected more by
6fluctuations in the temperature of the pavement surface (which may be greater than the air
7temperature).

8 Although it is not possible to show the matric suction and temperature data from all 7 sites in
9this paper, the measured time series of matric suction and temperature obtained from the sensor
10closest to the pavement surface at six of the seven sites is shown in Figures 8(a) and 8(b),
11respectively. The data from Marked Tree is not shown because monitoring was stopped shortly
12after monitoring was started at the other six sites. The data in Figure 8(a) indicates that the matric
13suction near the base course at each site follows different trends depending on the site-specific
14boundary conditions, but during the wet season the subgrade typically approaches a suction of
15approximately 50 kPa. The data in Figure 8(b) indicates that the temperature in the upper portion
16of the subgrade near the pavement surface does not vary significantly from site to site in trend,
17but the magnitude of temperature can vary by as much as 20 °C.

18COMPARISON WITH EICM PREDICTIONS

19 The EICM was used to predict the matric suction and temperature profiles at the
20Murfreesboro, AR site using Level 3 inputs for the subgrade soil properties and weather data,
21and Level 1 inputs for the asphalt and base layers. Specimens from the Shelby tubes were used to
22perform characterization tests on the subgrade soil, which classifies as CH according to the
23unified soil classification scheme (USCS) or as an AASHTO A-7-5 soil. The compacted
24aggregate base course was assumed to be an AASHTO A-3 soil. The thicknesses of the asphalt
25and base layers were set to be the same as those measured in the field. The SWRC of the
26subgrade soil was evaluated using the axis translation technique according to ASTM D6836, and
27the Fredlund and Xing (1994) SWRC model was fitted to the data. Graphs of the SWRCs for the
28base and subgrade used in the analysis are presented in Figure 9(a). The saturated hydraulic
29conductivity of a sample of clay extruded from the upper Shelby tube was measured using a
30flexible wall permeameter. The hydraulic conductivity functions (HCFs) were predicted using

1the Mualem (1976) capillary tube model which incorporates the parameters of the Fredlund and
2Xing (1994) SWRC model. The predicted HCFs are shown in Figure 9(b). The geotechnical
3index properties and hydraulic properties (the hydraulic conductivity and SWRC parameters) for
4the subgrade clay are summarized in Table 2. The thermal conductivity of the soil in the Shelby
5tube was measured using the KD2Pro thermal needle obtained from Decagon Devices of
6Pullman, WA.

7 The weather conditions from a weather station approximately 10 miles from Murfreesboro,
8AR were used in the EICM analysis. The air temperature is presented in Figure 10(a), while the
9precipitation is presented in Figure 10(b). Because EICM requires hourly inputs, the hourly
10temperature and precipitation data from a nearby weather station were obtained from the
11Weather Underground database. The water table was set at a depth of 2 meters from the top of
12the asphalt layer, based on site observations. The temperature was assumed to equal the mean
13annual air temperature at a depth of 10 meters below the asphalt surface, which is a common
14assumption in the design of ground-source heat pumps for locations that do not have a significant
15upward geothermal gradient (Brandl 2006). The EICM analysis was performed from January 1st
162010 to December 31st, 2010. For the EICM analyses, the initial water content in the subgrade
17was set to correspond to the water content inferred from the suction measured by the sensors on
18January 1st ($0.324 \text{ m}^3/\text{m}^3$). The initial temperature profile used in the analysis was defined as the
19average annual air temperature.

20 The predicted suction profiles for the period of modeling are shown in Figure 11(a). This
21figure indicates that there were slight changes in suction near the top of the subgrade. However,
22there were no major fluctuations in suction during the summer and fall of 2010, as exhibited in
23the suction profiles inferred from the field monitoring system shown in Figure 7(b). This may be
24attributed to the permeability of the intact clay obtained from the laboratory test (which may be
25lower than the bulk soil mass in the field due to fissures or plant roots). Another reason for this
26difference may be the approach by which the EICM applied infiltration and evaporation boundary
27conditions to the top of the subgrade. These boundary conditions are not explicitly described in
28the EICM manual, but are based on empirical relationships from pavements tests rather than on
29fundamental mechanisms.

1 Overall, the EICM was found not to provide an acceptable long-term prediction of the
2 suction profiles in the subgrade layer. This observation was consistent with the other sites in
3 Arkansas summarized in Table 1 (McCartney et al. 2010) and previous studies from the
4 literature. Although the EICM has an advantage over other climate interaction models for soils in
5 that it considers the impact of the asphalt and base course on infiltration of water, further
6 refinement may be needed to better capture matric suction trends in clay subgrades. It is
7 important to note that similar analyses were performed for the site with Level 2 soil property
8 inputs, and the results did not change significantly. Because several months were required to
9 obtain the soil-specific parameters in Table 2, a significant advantage was not gained in
10 measuring the hydraulic properties of low permeability soils. This may not be the case in more
11 sites with more permeable subgrade soils.

12 The predicted temperature profiles for the subgrade at Murfreesboro, AR are shown in Figure
13 11(b). The temperature results correspond much more closely with the measured temperatures
14 shown in Figure 7(d). The model generally predicted lower temperatures than in the field (6 to 28
15 °C in the model compared to 3 to 36 °C in the field), possibly due to a greater insulation effect of
16 the asphalt and base course at the site. Overall, a pavement design based on the EICM
17 predictions of temperature is likely to provide adequate design results.

18 CONCLUSIONS

19 The experiences gained through the development of a novel field monitoring system and
20 implementation at different locations throughout Arkansas indicates that reasonable profiles of
21 matric suction and temperature could be obtained without significantly disturbing the existing
22 pavement system. The monitoring approach was found to provide real-time, site-specific
23 feedback on interactions between the atmosphere and pavement systems. Comparison of results
24 from multiple pavement sites with clay subgrades across Arkansas indicates the importance of
25 considering local climate conditions on the fluctuations in matric suction and temperature over
26 time. The fluctuations in these variables may be useful in interpreting changes in the mechanical
27 performance of the pavement system. In addition to identifying mechanisms of distress in
28 pavement, the field monitoring results may also be useful to calibrate and refine predictions of
29 water and heat flow through layered pavement systems. Comparisons between the field
30 monitoring data and predictions from the EICM indicate that the model may still require further

1improvement to capture suction variations in low permeability soils. However, the EICM was
2found to provide an adequate prediction of the fluctuations in temperature within the subgrade
3compared to the field measurements. The consideration of the infiltration and evaporation
4boundary conditions applied to the subgrade surface in the EICM is one topic which should be
5further investigated to improve the prediction of water flow in low permeability soils.

6ACKNOWLEDGMENTS

7 The results in this paper are from project TRC-0902 funded by the Arkansas State Highway
8and Transportation Department. The contents of this paper reflect the views of the authors and
9do not necessarily reflect the views of the sponsor.

10APPENDIX I. REFERENCES

11Ahmed, Z., Marukic, I., Zaghloul, S., and Vitillo, N. (2005). "Validation of enhanced integrated
12 climatic model predictions with New Jersey seasonal monitoring data." Transportation
13 Research Record. 1913, 148-161.

14ASTM D4318. (2010). Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity
15 Index of Soils. ASTM International. West Conshohocken, PA.

16ASTM D5334. (2008). Standard Test Method for Determination of Thermal Conductivity of Soil
17 and Soft Rock by Thermal Needle Probe Procedure. ASTM International. West
18 Conshohocken, PA.

19ASTM D6836. (2008). Standard Test Methods for Determination of the Soil Water
20 Characteristic Curve for Desorption Using a Hanging Column, Pressure Extractor, Chilled
21 Mirror Hygrometer, and/or Centrifuge. ASTM International. West Conshohocken, PA.

22Bayomy, F. and Salem, H. (2005). Monitoring and Modeling Subgrade Soil Moisture for
23 Pavement Design and Rehabilitation in Idaho. Phase III: Data Collection and Analysis. ITD
24 Project No. SPR-0010(27) 124. 251 pp.

25Bjorn, B., Ovik, J. and Newcomb, D. (2007). "Analytical Predictions of Seasonal Variations in
26 Flexible Pavements: Minnesota Road Research Project Site." Transportation Research
27 Record 1730. Pg. 81-90.

28Brandl, H. (2006). "Energy Foundations and other Thermo-Active Ground Structures."
29 Geotechnique. 56(2), 81-122.

1 Dempsey, B.J., Herlach, W.A. and Patel, A.J. (1985). The Climatic-Materials-Structural
2 Pavement Analysis Program, Vol. 3. Report FHWA/RD- 84/115. FHWA, U.S. Department
3 of Transportation, Washington, D.C.

4 Fredlund, D.G. and Xing, A. (1994). "Equations for the Soil-Water Retention Curve." Canadian
5 Geotechnical Journal. 31, 521-532.

6 Gupta, R., McCartney, J.S., Noguiera, C. and Zornberg, J.G. (2008). "Moisture Migration in
7 Geogrid-Reinforced Expansive Subgrades." GeoAmericas. Cancun, Mexico. Mar. 3-5 2008.

8 Guymon, G. L., Berg, R.L. and Johnston, T.C. (1986). Mathematical Model of Frost Heave and
9 Thaw Settlement in Pavements. U.S. Army Cold Regions Research and Engineering
10 Laboratory, Dartmouth, N.H.

11 Larson, G. and Dempsey, B.J. (1997). "Enhanced Integrated Climate Model, Version 2."
12 Minnesota Road Research Project Report MN/DOT 72114. 99 pp.

13 Larson, G. and Dempsey, B.J. (2006). "Integrated Climate Model, Version 3.2." Applied
14 Research Associates, Transportation Sector.

15 Liang, R. (2006). "Validation of Enhanced Integrated Climatic Model Prediction over Different
16 Drainable Base Materials." Transportation Research Board Annual Meeting 2006. Paper #06
17 2529.

18 Liang, R.Y., Al-Akhras, K. and Rabab'ah, S. (2007). "Field Monitoring of Moisture Variations
19 Under Flexible Pavement." Transportation Research Record. No. 1967, 160–172.

20 Liang, H. S., and R. L. Lytton. (1989). "Rainfall Estimation for Pavement Analysis and Design."
21 In Transportation Research Record 1251, TRB, National Research Council, Washington,
22 D.C. pp. 42–49.

23 Liu, S. J., and Lytton, R. L. (1985). "Environmental Effects on Pavement-Drainage." Report
24 FHWA-DTFH-61-87-C-00057, Vol. IV, Federal Highway Administration, Washington, D.C.

25 Lytton, R. L., Pufahl, D.E. Michalak, C.H., Liang, H.S. and Dempsey, B.J. (1993). An Integrated
26 Model of the Climatic Effects on Pavements. Report FHWA-RD-90-033. FHWA, U.S.
27 Department of Transportation.

28 McCartney, J.S., Selvam, R.P.S., King, J. and Khosravi, A. (2010). "Validation of the Enhanced
29 Integrated Climate Model for Pavement Design in Arkansas." Research Report TRC-0902.
30 Arkansas State Highway and Transportation Department. Little Rock, AR.

1 National Research Council. (2004). Guide for Mechanistic-Empirical Design of New and
2 Rehabilitated Pavement Structures. NCHRP Report I-37A. TRB. Washington, D.C.

3 Ovik, J., B. Birgisson, and D. E. Newcomb. (1999). “Characterizing Seasonal Variations in
4 Flexible Pavement Material Properties.” In Transportation Research Record: Journal of the
5 Transportation Research Board, No. 1684, TRB, National Research Council, Washington,
6 D.C. pp. 1–7.

7 Quintero, N. (2007). Validation of the Enhanced Integrated Climatic Model (EICM) for the Ohio
8 SHRP Test Road at U.S. 23. M.S. Thesis. Case Western Reserve University.

9 Zapata, C.E. and Houston, W.N. (2008). Calibration and Validation of the Enhanced Integrated
10 Climatic Model for Pavement Design. NCHRP Report 602.

1 FIGURE CAPTIONS

2 **Table 1.** Pavement geometry and climate setting data for monitoring sites in Arkansas

3 **Table 2.** Geotechnical properties for the soil samples obtained from Murfreesboro

4 **Fig. 1.** Regions of Arkansas with distinct climate settings showing locations of sites for
5 pavement monitoring in Arkansas

6 **Fig. 2.** Calibration of water content sensors in silica flour: (a) Setup; (b) Definition of
7 calibration equation from transient inflow data

8 **Fig. 3.** Schematic of the field installation setup

9 **Fig. 4.** Field monitoring system installation pictures: (a) Augering through base course; (b)
10 Cutting channel through asphalt; (c) Final channel in asphalt running to the edge of the
11 shoulder; (d) Installation of sensors and backfilling with silica flour; (e) Cold-mix patch
12 covering the borehole and channel in the asphalt; (f) Datalogger box attached to metal
13 post in shoulder

14 **Fig. 5.** SWRCs of silica flour and a typical clay subgrade showing the concept of estimating
15 the suction and volumetric water content of the clay subgrade

16 **Fig. 6.** Example of conversion of results from a dielectric sensor embedded in silica flour: (a)
17 Inferred volumetric water content of the silica flour; (b) Converted suction values for
18 the subgrade clay

19 **Fig. 7.** Results from field measurements at Murfreesboro: (a) Suction time histories for
20 different depths; (b) Selected suction profiles; (c) Temperature time histories for
21 different depths; (d) Selected temperature profiles

22 **Fig. 8.** Comparison of measurements in the subgrade at different sites around Arkansas (note:
23 measurement locations are the closest to the bottom of the base course layers at each
24 site): (a) Matric suction; (b) Temperature

25 **Fig. 9.** Soil hydraulic properties used in EICM analysis: (a) SWRCs; and (b) Hydraulic
26 conductivity functions

27 **Fig. 10.** Climate conditions used in the EICM analysis: (a) Temperature; (b) Precipitation

28 **Fig. 11.** Predictions from EICM for Murfreesboro over the course of a year: (a) Matric suction
29 profiles; (b) Temperature profiles

1Table 1. Pavement geometry and climate setting data for monitoring sites in Arkansas

Site	Region	Asphalt thickness (mm)	Base thickness (mm)	Temperature (°C)			Relative humidity (%)			Mean daily precipitation (mm)
				Max.	Mean	Min.	Max.	Mean	Min.	
Greenland	Ozark Mountains	88.9	177.8	20.1	14.0	7.9	91.7	71.4	46.8	3.01
Plumerville	Arkansas River Valley	76.2	177.8	22.1	15.7	9.4	92.8	71.8	45.4	3.28
Malvern	Central Arkansas	50.8	177.8	22.7	17.1	11.3	86.7	66.2	45.2	3.71
Murfreesboro	Ouachita Moutains	82.6	304.8	23.5	16.8	10.1	93.7	70.2	46.0	2.80
Camden	West Gulf Coastal Plain	152.4	355.6	21.8	16.2	10.4	98.0	77.7	52.5	0.70
Lake Village	Mississippi Embayment	114.3	343	23.3	17.6	11.7	89.9	69.9	48.0	3.22
Marked Tree	Northeast Arkansas	60.0	220.0	21.2	15.7	10.1	89.1	69.2	48.3	3.53

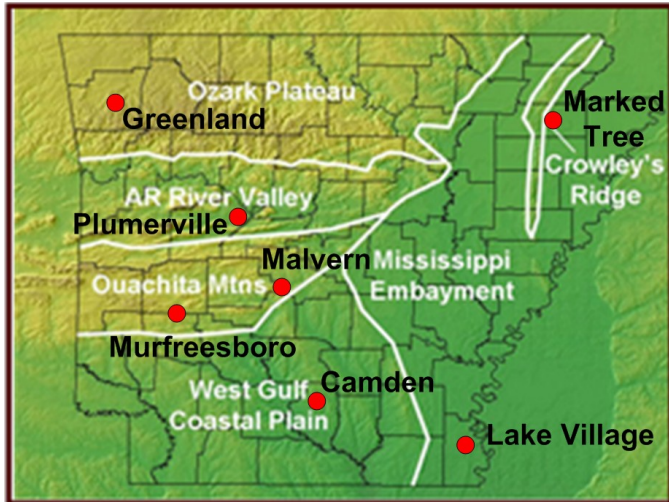
2

3

4Table 2. Geotechnical properties for the soil samples obtained from Murfreesboro

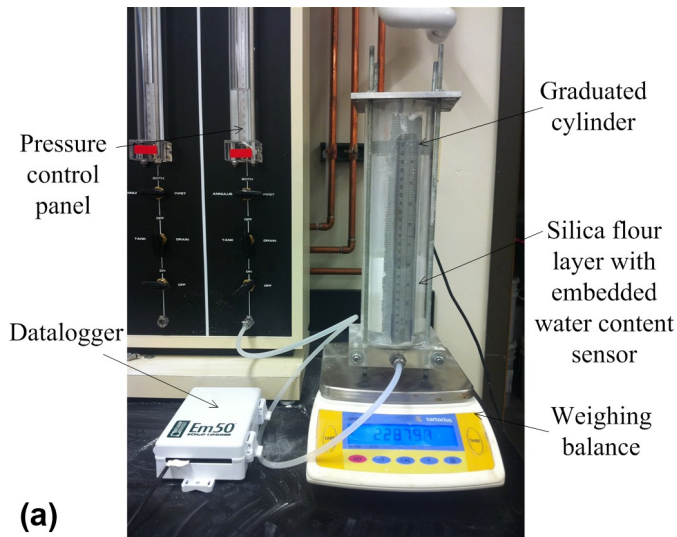
Soil property	Value	Units	
Thermal conductivity	2.131	W/(m·°C)	
Hydraulic conductivity of saturated soil	2.23×10^{-11}	m/s	
Fredlund and Xing (1994) SWRC parameters	θ_s	0.38	m^3/m^3
	θ_r	0.00	m^3/m^3
	a_{FX}	350	kPa
	n_{FX}	1.50	
	m_{FX}	0.40	

5

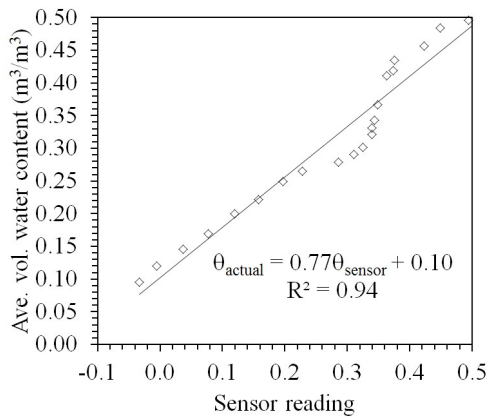


1

2 **Fig. 1.** Regions of Arkansas with distinct climate settings showing locations of sites for
 3 pavement monitoring in Arkansas

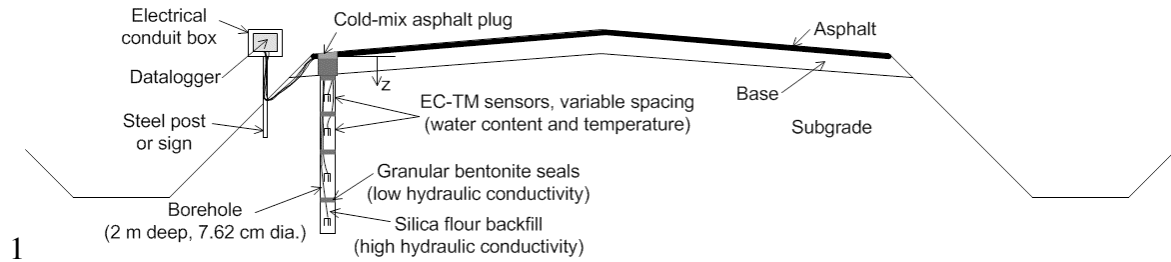


(a)



4 (b)

5 **Fig. 2.** Calibration of water content sensors in silica flour: (a) Setup; (b) Definition of
 6 calibration equation from transient inflow data



1
2 **Fig. 3.** Schematic of the field installation setup

3



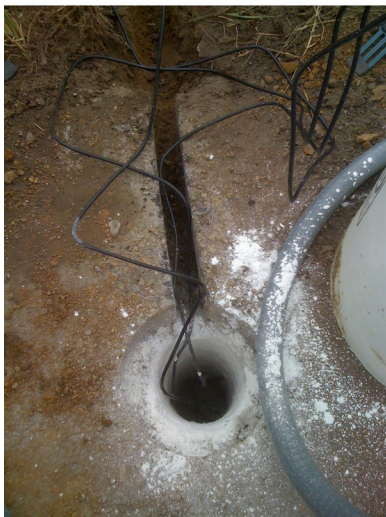
(a)



(b)



(c)



4 (d)



(e)

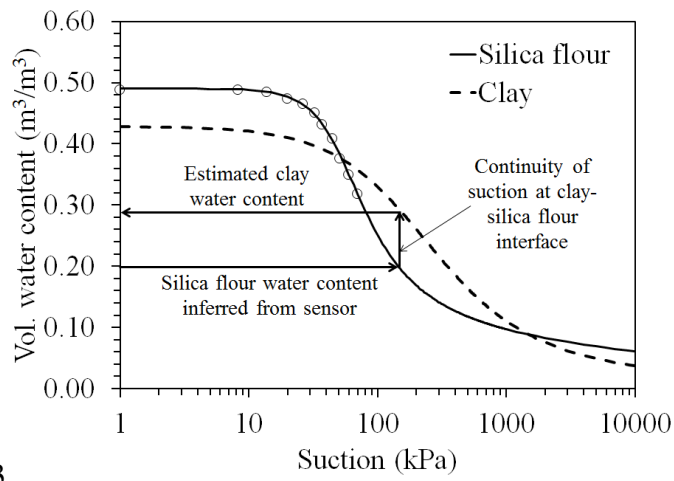


(f)

5 **Fig. 4.** Field monitoring system installation pictures: (a) Augering through base course; (b)
6 Cutting channel through asphalt; (c) Final channel in asphalt running to the edge of the
7 shoulder; (d) Installation of sensors and backfilling with silica flour; (e) Cold-mix patch
8 covering the borehole and channel in the asphalt; (f) Datalogger box attached to metal
9 post in shoulder

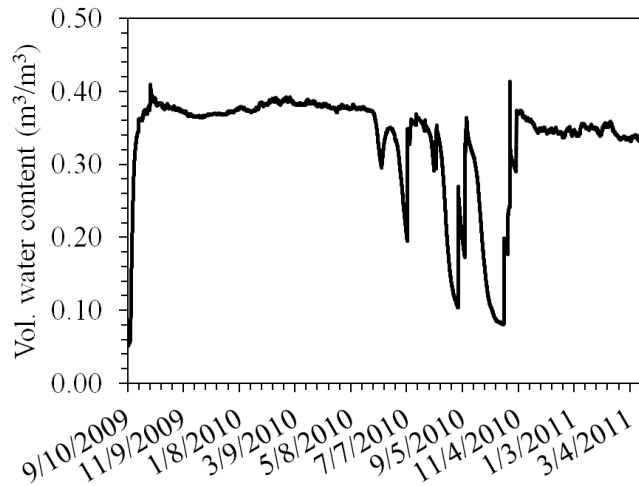
1

1
2

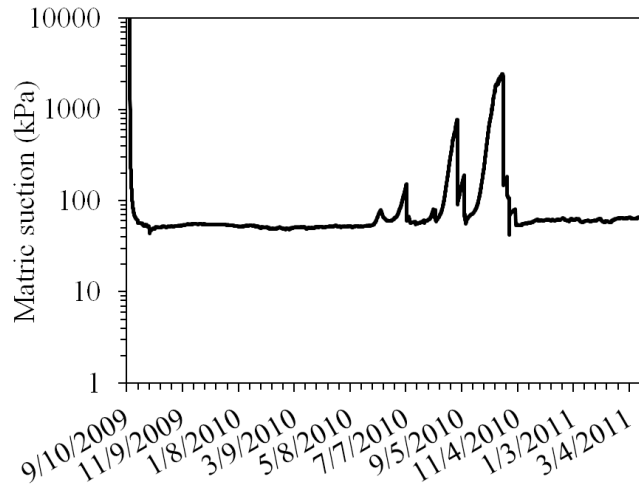


3
4
5
6
7

Fig. 5. SWRCs of silica flour and a typical clay subgrade showing the concept of estimating the suction and volumetric water content of the clay subgrade



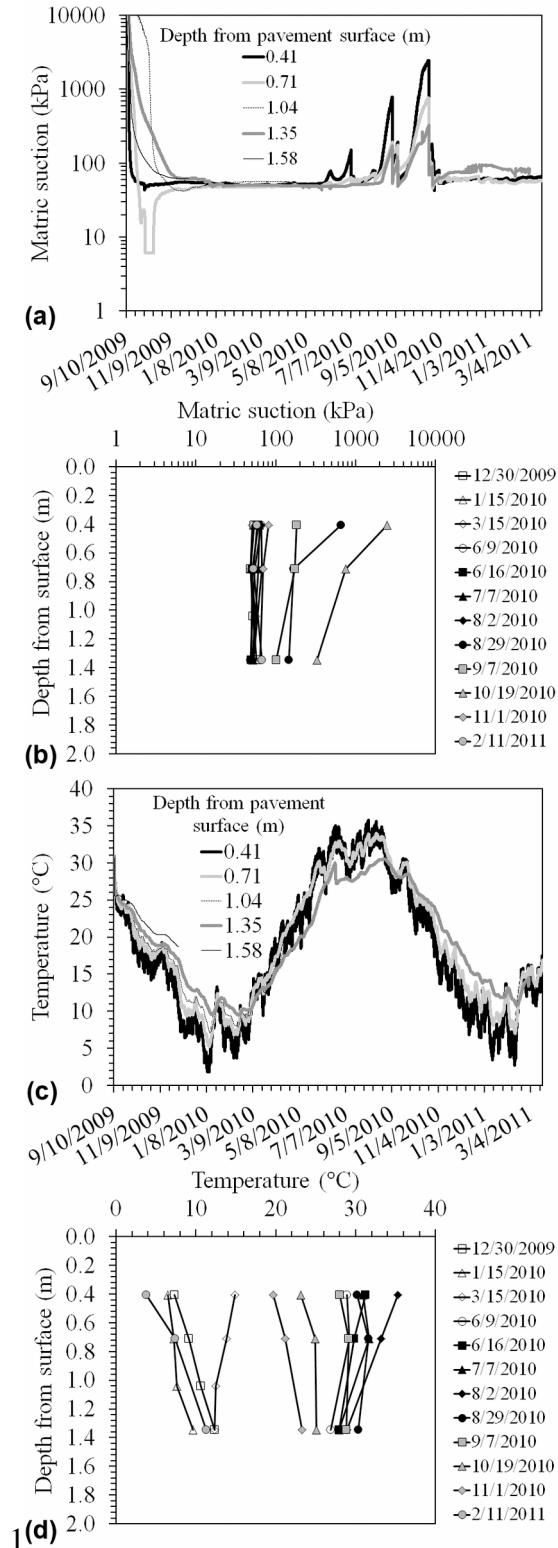
(a)



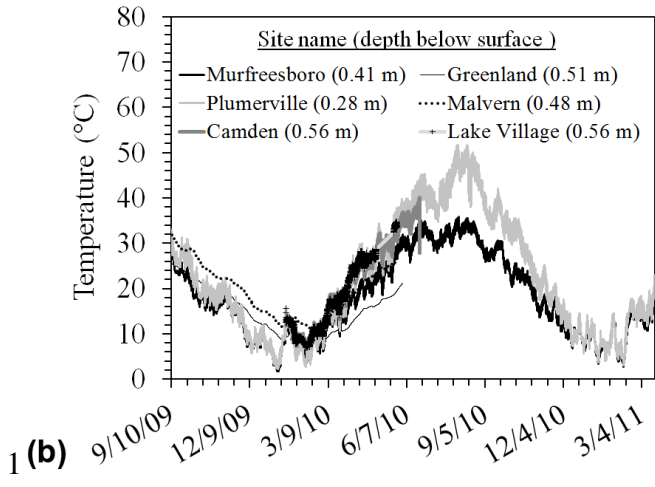
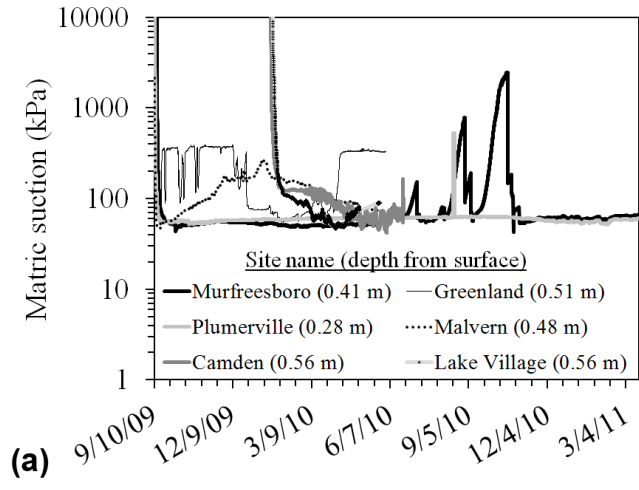
(b)

Fig. 6. Example of conversion of results from a dielectric sensor embedded in silica flour: (a) Inferred volumetric water content of the silica flour; (b) Converted suction values for the subgrade clay

5

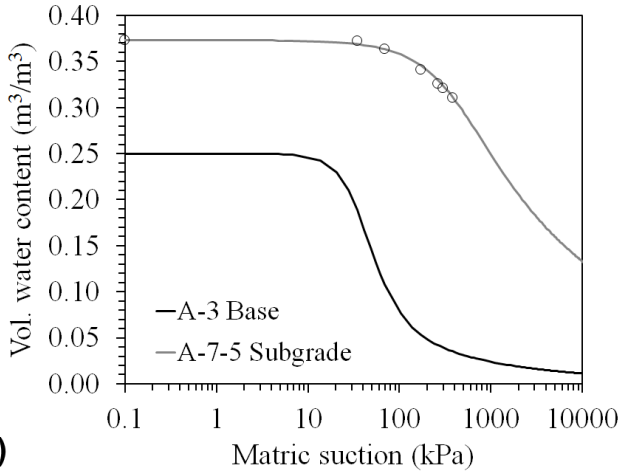


2Fig. 7. Results from field measurements at Murfreesboro: (a) Suction time histories for
 3 different depths; (b) Selected suction profiles; (c) Temperature time histories for
 4 different depths; (d) Selected temperature profiles

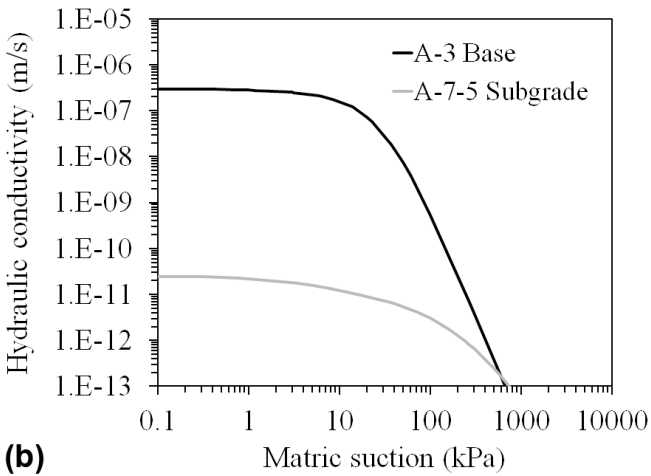


2 **Fig. 8.** Comparison of measurements in the subgrade at different sites around Arkansas (note:
 3 measurement locations are the closest to the bottom of the base course layers at each
 4 site): (a) Matric suction; (b) Temperature

5
 6



(a)



(b)

1

2 **Fig. 9.** Soil hydraulic properties used in EICM analysis: (a) SWRCs; and (b) Hydraulic
3 conductivity functions

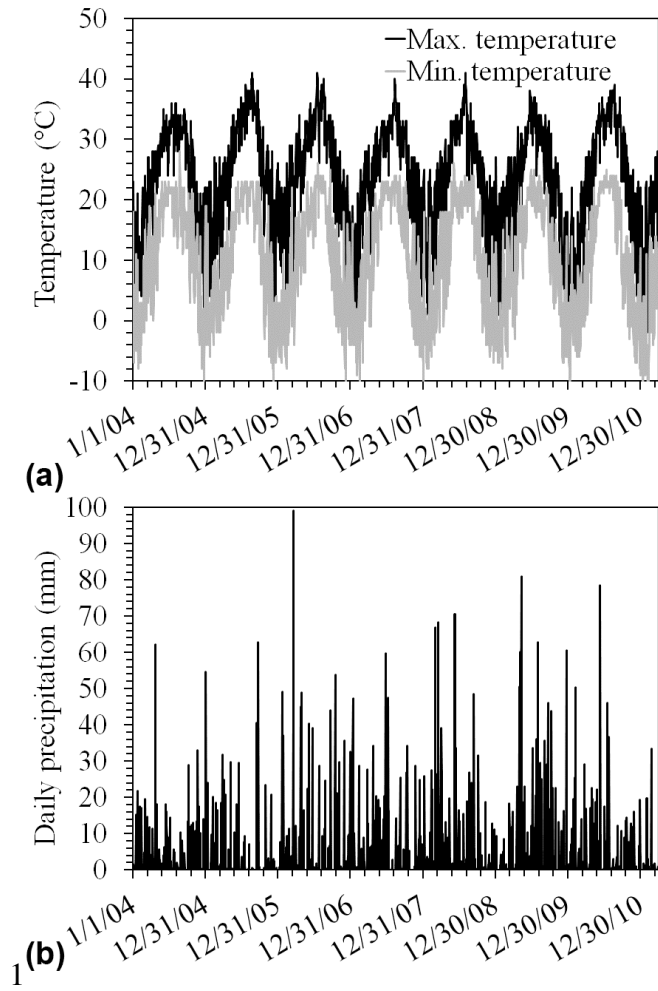
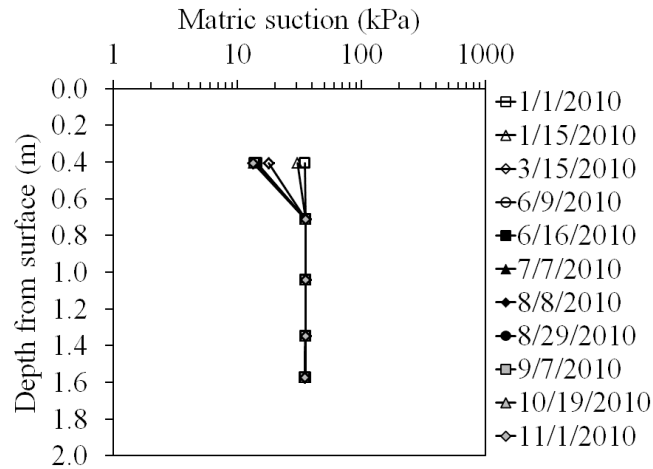
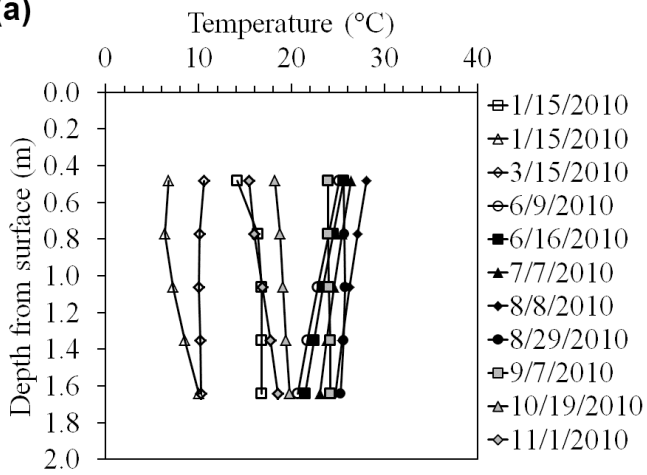


Fig. 10. Historic climate conditions at Murfreesboro used in the EICM analysis: (a) Temperature; (b) Precipitation



(a)



(b)

Fig. 11. Predictions from EICM for Murfreesboro over the course of a year: (a) Matric suction profiles; (b) Temperature profiles