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***cryo_fit*: Democratization of Flexible Fitting for Cryo-EM**

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Abstract

Cryo-electron microscopy (cryo-EM) is becoming a method of choice for describing native conformations of biomolecular complexes at high resolution. The rapid growth of cryo-EM in recent years has created a high demand for automated solutions, both in hardware and software. Flexible fitting of atomic models to three-dimensional (3D) cryo-EM reconstructions by molecular dynamics (MD) simulation is a popular technique but often requires technical expertise in computer simulation. This work introduces *cryo_fit*, a package for the automatic flexible fitting of atomic models in cryo-EM maps using MD simulation. The package is integrated with the *Phenix* software suite. The module was designed to automate the multiple steps of MD simulation in a reproducible manner, as well as facilitate refinement and validation through *Phenix*. Through the use of *cryo_fit*, scientists with little experience in MD simulation can produce high quality atomic models automatically and better exploit the potential of cryo-EM.

Keywords

Cryo-EM; molecular dynamics; flexible fitting; correlation coefficient; *Phenix*

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Author Contributions

K.S., P.D.A., D.K., N.W.M., and S.K. conceived and designed the project. D.K., N.W.M., S.K., B.P. and O.V.S. developed the program. D.K., P.V.A., K.S. and S.K. wrote the manuscript.

Program Development and Availability

Other than the *Phenix* GUI interface and simple command line execution with *cctbx* libraries, all *cryo_fit* code is either embedded in C language based *gromacs* 4.5.5 extensions or as python 2.7 based scripts to automate the input, output and execution of *cryo_fit*. *cryo_fit* can be installed into macOS and linux (CentOS and Ubuntu), and all codes are available at https://github.com/cryoFIT/cryo_fit. The *gromacs_cryo_fit* installation file is located at https://github.com/cryoFIT/cryo_fit_install. Documentation is available at www.phenix-online.org⁶².

Introduction

Cryo-electron microscopy (cryo-EM) has been changing the landscape of structural biology. Cryo-EM was selected as the method of the year 2015¹ and its major contributors received the Nobel prize in 2017². Indeed, it has been used to determine biomolecular structures of a wide range of molecular weights (ranging from 64 kDa³⁴ to 150 MDa⁵), achieving up to 1.8 Å resolution⁶⁷. Recent discoveries of improved focusing waves⁸ and the ability to record super-resolution (beyond the Nyquist frequency)⁹ are expected to further improve resolution. Among the four methods of cryo-EM (single particle analysis, cryo-tomography, electron crystallography, and micro-electron diffraction), single particle analysis (SPA) is most popular (78% among all cryo-EM methods)¹⁰.

For SPA, flexible fitting has been an essential tool for better elucidating dynamical aspects of biological complexes⁵¹¹. These dynamical aspects produce conformational heterogeneity, one of the important causes of lower resolution in cryo-EM reconstructions. If elucidated, this can help reveal crucial structure-function relationships involving dynamics¹². Flexible fitting has been used to capture dynamics¹¹ with a larger radius of convergence than standard refinement,¹³ as large as 34 Å¹⁴. Additionally, flexible fitting can describe multiple conformational changes (supporting Fig. S1). One popular technique for flexible fitting employs molecular dynamics simulations based on classical molecular mechanics force fields, which, among many other examples, have been used to simulate tRNA movement into the ribosome¹⁵. We initially developed a hybrid molecular dynamics method that uses a physics-based all-atom force field together with secondary structure restraints from an initial model to fit to cryo-EM maps. This method¹⁶ is implemented in the *gromacs*¹⁷ suite of programs that provides access to all *gromacs* functionalities such as enhanced sampling. In principle, our method allows one to choose the complexity of the physical model, from a reduced representation to an all-atom representation, while fitting a cryo-EM map.

However, molecular dynamics simulation is a highly technical field in its own right and, like other molecular dynamics based cryo-EM map fitting programs¹⁸¹⁹²⁰²¹²², the technique has been challenging to use by those who are not experts in molecular dynamics. Therefore, we automated all procedures of our hybrid molecular dynamics method and integrated them into *Phenix*²³, a widely used structural biology software suite with a graphical user interface (GUI). Our new method for streamlined automatic flexible fitting of atomic models into a cryo-EM map is named *cryo_fit*. As a part of *Phenix*, *cryo_fit* makes use of all pre- and post-processing functionalities of *Phenix* providing the user a unified platform for cryo-EM structure construction and interpretation. *Cryo_fit* is particularly useful when attempting to fit novel atomic structures into cryo-EM maps, *e.g.* cases where the starting atomic models are significantly different from the native conformation (Fig. 1, 4). When the initial model does not require large changes to fit the map, refinement can be used. However, if larger changes are required (that are beyond convergence radius of gradient-driven minimization) then *cryo_fit* is recommended (Fig. S2). The *cryo_fit* module is expected to be most useful when an atomic model is approximately placed into the map, and large conformational changes are required for fitting (*i.e.*, model-to-map fit is not sufficient for gradient-based refinement to be successful). Importantly, the current implementation of *cryo_fit* can only handle standard amino- and nucleic-acid based molecules (proteins, RNA, DNA), while non-

standard entities or ligands are excluded from fitting. Excluded entities can be modeled at later stages after the macromolecule has been fitted into the map by *cryo_fit*.

Procedures

The algorithms used in *cryo_fit* are explained in detail in Kirmizialtin et al.¹⁶ Briefly, while *phenix.real_space_refine* sums data-based and restraints-based components in a target function¹³, *cryo_fit* uses a molecular dynamics potential function including a term proportional to the correlation between the experimentally determined cryo-EM map and a map calculated from the current model, an *ab initio* molecular dynamics potential, and an all-atom structure-based potential to preserve the stereochemistry of initial models while exploring alternative conformations¹⁶. Several different measures of the correlation between map and model can be used, as described recently²⁴. The correlation coefficient in *cryo_fit* is based on those originally used for cryo-EM fitting by Tama and co-workers and is similar to previous implementations^{14,16,19,25}:

$$CC_{cryo_fit} = \frac{\sum_{i,j,k} \rho_{EM}(i,j,k) \rho_{sim}(i,j,k)}{\sqrt{\sum_{i,j,k} \rho_{EM}(i)^2} \sqrt{\sum_{i,j,k} \rho_{sim}(i)^2}} \quad (1)$$

$$\rho_{sim}(i,j,k, \mathbf{r}_l) = \exp\left[-\frac{1}{2} \left(\frac{\mathbf{r}_{i,j,k} - \mathbf{r}_l}{\sigma}\right)^2\right] \quad (2)$$

where $\rho_{sim}(i,j,k)$ is a simulated map computed from atomic coordinates using the same grid spacing as the cryo-EM map assuming a Gaussian distribution for each atom defined by:

Here, $\rho_{sim}(i,j,k, \mathbf{r})$ is proportional to the electron density (which is directly related to the electrostatic potential measured in cryo-EM) at grid site (i,j,k) due to atom l at \mathbf{r}_l and 2σ is the resolution of the cryo-EM map. This technique of determining $\rho_{sim}(i,j,k, \mathbf{r})$ is used in other flexible fitting methods and was chosen for ease of calculation since it is used in the MD force field potential²⁶; however, we note that more accurate FFT (fast fourier transform)-based methods may be used, such as those implemented elsewhere in *Phenix*²³.

This article is focused on the implementation of *cryo_fit* in *Phenix* and its utility in biomolecular complexes comprised of RNA, DNA and proteins. Similar to other *Phenix* applications, in developing *cryo_fit* we prioritized ease of installation and use. *Cryo_fit* requires an atomic model of a structure (PDB or mmCIF formatted file) approximately placed into a cryo-EM map (ccp4 formatted binary map or a map in *Situs*²⁷ format).

There are several approaches to build a starting atomic model before using *cryo_fit*. For example, an initial atomic model can be built from a map and sequence information using *phenix.map_to_mode*²⁸. Alternative approaches such as a homology modeling or a *pathwalker*²⁹ (among other programs) derived model can be used to provide *cryo_fit* a starting atomic model.

If an initial atomic model is available but is not placed into the map yet, then tools such as *phenix.dock_in_map*³⁰ can be used to automatically dock a model. Alternatively, *UCSF*

*Chimera*³¹ provides manual translation/rotation of the model and a ‘Fit in Map’ function. To allow for easier interpretation, we recommend automatically sharpening or blurring the cryo-EM map with *phenix.auto_sharpen*³².

Once the initial atomic model and map files are prepared, *cryo_fit* can be executed on the command line,

```
phenix.cryo_fit (initial atomic model) (cryo-EM map)
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or in the *Phenix* GUI (supporting Fig. S3).

Cryo_fit automatically performs a number of steps, from processing input files and generating various geometrical restraints to performing MD simulations in order to optimize the model to map fit. The model to map fit is quantified by the correlation coefficient between the cryo-EM map and the simulated map calculated from the atomic model (see Discussion section). *Cryo_fit* runs molecular dynamics in the presence of the cryo-EM term (proportional to the correlation between the experimental electric potential map and a density map calculated from the atomic model) with neutralized charges as a default option to improve speed.

Domain decomposition³³ and molecular dynamics time steps are automatically assigned³⁴ to ensure numerical stability over a large numbers of time steps. Depending on the computing hardware used, *cryo_fit* automatically utilizes multiple cores for optimal performance. Automatic choice of the relative weighting between the map and geometry restraints is used while maintaining acceptable stereochemistry. By default, *cryo_fit* uses simulated annealing in combination with molecular dynamics to escape local energy minima effectively¹⁶. The benefit of this was verified by our benchmark with five pairs of deposited models and maps (unpublished). *Cryo_fit* outputs the top three fitted models that have the highest model-to-map correlation, as well as molecular dynamics trajectory files, which can be visualized using *PyMOL*³⁵, *UCSF Chimera*³¹, *UCSF ChimeraX*³⁶, and *VMD*³⁷ (an example trajectory is given in supporting information movie S1). Analyzing MD trajectories may be particularly useful to reveal possible conformational changes. The resulting fitted model may require some further refinement using *phenix.real_space_refine*¹³ to improve model geometry (e.g., eliminate residue rotamer or Ramachandran plot outliers). Continuous model and model-to-map fit validation²⁴ is also desirable.

Results

Cryo_fit has been applied to a variety of systems, as small as the GTPase associated center (GAC) of the ribosome (~6,000 atoms) and as large as the intact ribosome (~200,000 atoms). *Cryo_fit* can fit both protein and nucleic acid atomic models into cryo-EM maps (Fig. 2–3). Running *cryo_fit* with default parameters was sufficient to improve cross-correlation values relative to a *UCSF Chimera* (‘Fit in Map’) fitted model of a protein and DNA complex (H1.0b-bound 197 bp nucleosome; PDB code 5nl0) from the Protein Data Bank (PDB)³⁸ (Fig. 3).

For fittings that require large conformational changes (RMSD by *PyMOL* > 7.0 Å), we calculated $CC_{\text{cryo_fit}}$ and CC_{mask} with 4 benchmark pairs of starting models and maps (Fig. 4). The value of 7.0 Å was chosen by clustering the data according to RMSD. For *phenix.real_space_refine*, we turned on secondary structure restraints that are determined by default. The workflow that resulted in the highest correlation, on average, was the application of *phenix.cryo_fit* followed by the application of *phenix.real_space_refine*. This was true when measuring correlation with $CC_{\text{cryo_fit}}$ and when measuring the correlation with CC_{mask} .

For fittings that require smaller conformational changes (RMSD by *PyMOL* < 7.0 Å), we calculated $CC_{\text{cryo_fit}}$ and CC_{mask} with 8 benchmark pairs of starting models and maps (Fig. 5). Other than one pair (1gru/1046) whose cryo-EM map has low resolution (24.0 Å), *cryo_fit* produced either similar or improved fits. Thus, independent of the method that the original authors used (manual/semi-automatic/automatic), *cryo_fit* produces either comparable or better results in terms of fitting the cryo-EM map. Note that most of these fittings are achieved with default settings that can be changed to improve the cross-correlation scores based on the cryo-EM map properties. When measuring correlation with $CC_{\text{cryo_fit}}$, the workflow of *phenix.cryo_fit* followed by *phenix.real_space_refine* resulted in the highest correlation values on average (0.60). In these same cases, when measuring by CC_{mask} , *phenix.real_space_refine* alone resulted in the highest fit (0.70). This means that as we expected, gradient-based refinement only is sufficient for local fittings that require smaller conformational changes (Supporting Fig. S2). It should be noted that closer comparisons can be made by using *phenix.real_space_refine* with simulated annealing enabled.

Discussion

In our test cases, *cryo_fit* successfully fit most protein, DNA and RNA systems into cryo-EM maps. Here, we note that *cryo_fit* can be extended to lipids and carbohydrates when the parameters are added to the force field, which will be implemented in the future. Currently, unusual/unknown residues (such as *UNK*) or ligands are excluded from fitting³⁹.

As previously shown (Fig. 5), *cryo_fit* does not always find a better cross-correlation (CC) value than other methods. During the process of development and implementation of cryo-EM map fitting software, we often found that large improvements of the correlation (both $CC_{\text{cryo_fit}}$ and CC_{mask}) can degrade the model geometry, while severe restrictions in model geometry may also degrade the correlation. This necessitates a balance between the weight between the $CC_{\text{cryo_fit}}$ term and model geometry in the MD potential. However, as we assume that model geometry is adequate (as we have seen in supporting Table S1–2), these particular cases (*i.e.*, when the CC value is not significantly increased even by *cryo_fit*) can be understood as follows: 1) the initial model is already well fit to the given cryo-EM map; 2) the cryo-EM map has low resolution; 3) the cryo-EM map needs to be filtered to remove unresolved regions; 4) the system is trapped in local energy/configuration minima and requires more time steps than those used in the default scheme for fitting, or 5) *cryo_fit* reduces overfitting, very much like crystallography modeling increases R_{work} to reduce $R_{\text{free}}-R_{\text{work}}$ gap.

One can forcefully increase cross-correlation by using a very high map weight. However, this may result in overfitting, distorting the stereochemistry of the model. The user can specify (*e.g.*, in the case of an intrinsically disordered domain) high values of *emweight_multiply_by*. Then, *cryo_fit* will start with very high bias toward the cryo-EM map. In the case of low-resolution maps, it is reasonable to rely on the physically realistic force field more than forcing the model to fit into a less informative map. In certain cases, preceding the final fitting of the actual cryo-EM map by a preliminary step, fitting to a filtered map can be used to further improve cross-correlation values¹⁶¹⁹. By filtering out less-resolved/dynamic region/map, the fitting procedure helps to produce large conformational changes (when necessary) by decreasing the number of local minima; the output of this step can then be used to fit the unfiltered map. When the system is trapped in local minima, longer simulation times can also be helpful (*e.g.*, a larger value for *number_of_steps_for_cryo_fit*). In addition, one can perform local refinement on problematic regions using software packages such as *ISOLDE*⁴⁰, a *ChimeraX*³⁶ bundled package that allows a user to directly tug atoms with a mouse interface or applying/removing restraints for manual inspection and fixing local errors. *Rosetta* based⁴¹⁴² or *rosetta+MD* simulation based⁴³ approaches may also be useful in this case.

Interestingly, the various measures of correlation between the modeled atomic structure and the cryo-EM map report on different aspects of agreement and disagreement between model and map. A comprehensive benchmark study examined correlations related to (i) highest value points the model-calculated map (CC_{volume} and CC_{peaks}), (ii) masked regions of the map with the capability of more localized fits (CC_{mask}), and (iii) a measure covering the entire map (CC_{box}), in an analogous fashion to $CC_{\text{cryo_fit}}$ ²⁴. CC_{mask} provides an excellent method for more localized fits since CC_{mask} can use map values inside a mask calculated around the macromolecule only. CC_{box} and $CC_{\text{cryo_fit}}$ can be useful in the cases of large-scale conformational changes, where the trajectory of the molecule is not known *a priori* (*e.g.*, > 50Å motions of tRNAs required to fit intermediate states of tRNAs from the classical states of tRNAs within the ribosome). Generally speaking, CC_{box} is useful for determining how well a given atomic model matches the entire volume of the map. For example, if only one chain is modeled, while the full system consists of many chains, then CC_{box} will depict this. Just as *cryo_fit* uses the entire map for the CC calculation (*e.g.* $CC_{\text{cryo_fit}}$, Kirmizialtin et al.¹⁶ eq. 4), most MD simulation based cryo-EM map fitting programs use correlation measures similar to Eq. 1¹⁹²¹²⁵ or related equations (*e.g.*, similar to Eq. 1, but subtracting the average from ρ_{sim} and ρ_{EM})⁴⁴⁴⁵. It should be emphasized the CC_{mask} is the standard measure of model-to-map fit that is a more focused, localized and is a more accurate method of measuring the correlation.

To accelerate scientific discoveries of biological molecular structures and dynamics, all aspects of cryo-EM need to be readily accessible to structural biologists. This will require consistent and reproducible outcomes, and procedural automations, such as automated blotting⁴⁶, direct ice thickness measurement in the single particle collection workflow⁴⁷, prediction of image-quality of particles⁴⁸, automated data acquisition⁴⁹⁵⁰⁵¹, beam induced motion correction⁵², contrast transfer function correction^{53,54}, map reconstruction and refinement¹²⁵⁵⁵⁶, determination of map local resolution⁵⁷, map segmentation⁵⁸, map sharpening³², atomic model building²⁸²⁹, comparison of time-averaged density maps from

molecular dynamics simulations⁴⁴, atomic model refinement¹³⁵⁹, and atomic model validation⁶⁰⁶¹. It is hoped that *cryo_fit* helps democratize global and local flexible map fitting procedures in these automation endeavors.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

- Molecular dynamics based atomic model fitting into cryo-EM map is automated.
- This automated program, *cryo_fit*, is integrated into the *Phenix* suite.
- *cryo_fit* enables larger conformational changes relative to rigid fitting and refinement.

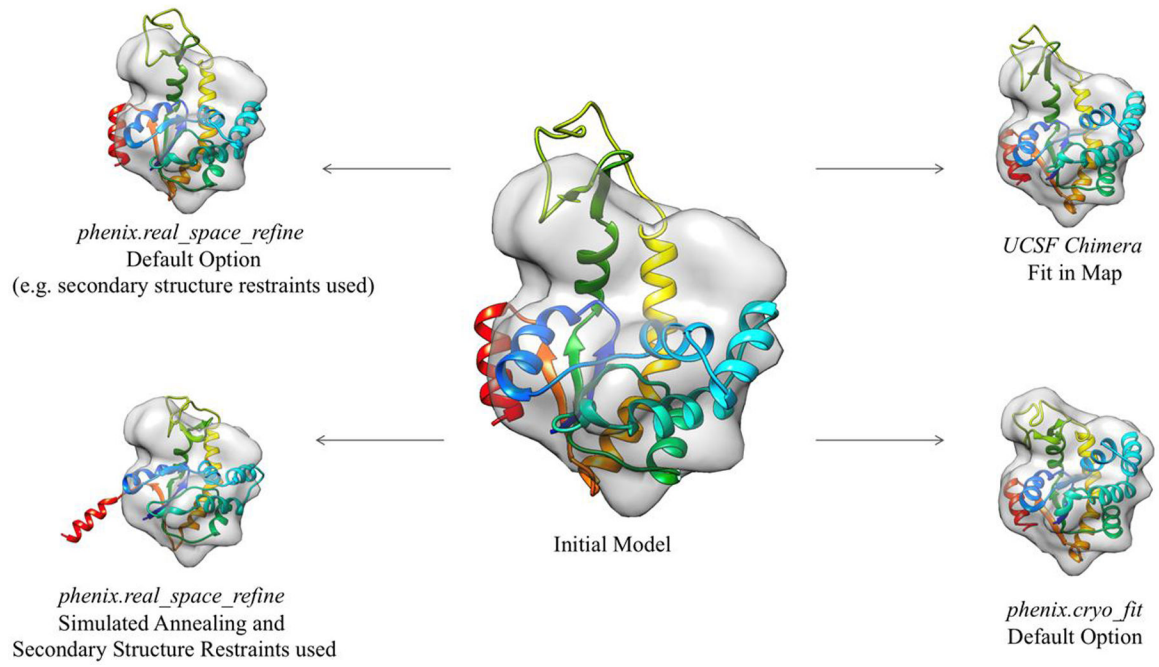


Figure 1.
Comparison of different programs for adenylate kinase (PDB code 1ake, 4ake) atomic model fitting.

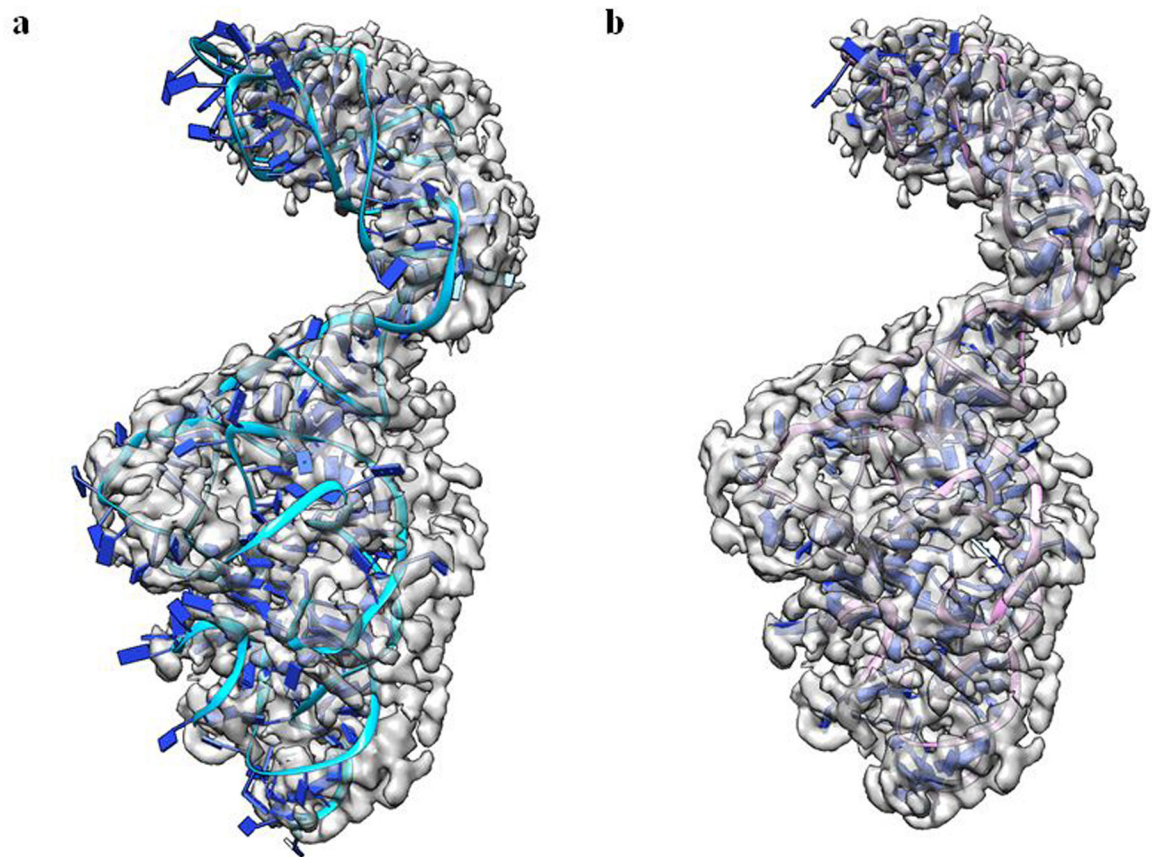


Figure 2. Atomic models of a H40–44 region (GTPase activation center) from human ribosome. Map resolution = 3.2 Å. (a) Model before flexible fitting by *cryo_fit* ($CC_{\text{cryo_fit}} = 0.53$) and (b) after flexible fitting by *cryo_fit* ($CC_{\text{cryo_fit}} = 0.69$). Atomic model and map data are courtesy of Christian Spahn group which were shown in Kirmizialtin et al. (2015).¹⁶

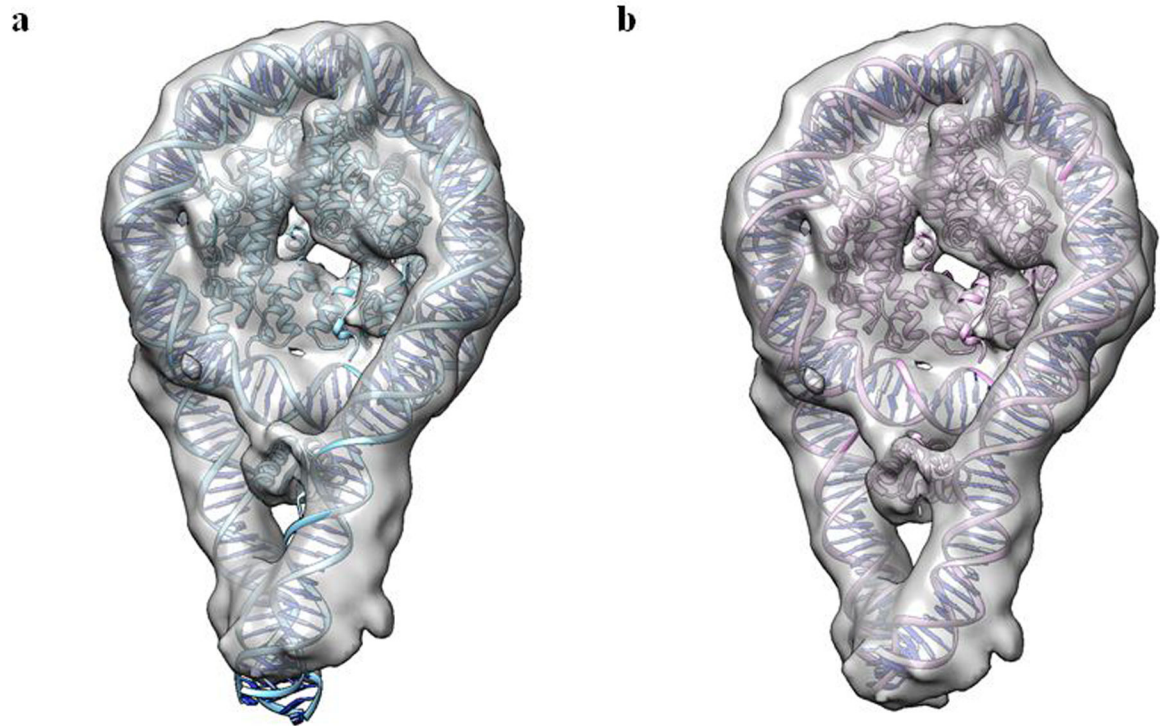


Figure 3. Atomic models of a nucleosome³⁸ (PDB code 5nL0, EMD code: 3659). (a) *Chimera* fitted model of PDB deposited model. (b) Same model after flexible fitting by *cryo_fit*. $CC_{\text{cryo_fit}}$ values are 0.735 and 0.747, before and after fitting, respectively. *Cryo_fit* successfully fits DNA region (bottom region) into cryo-EM map.

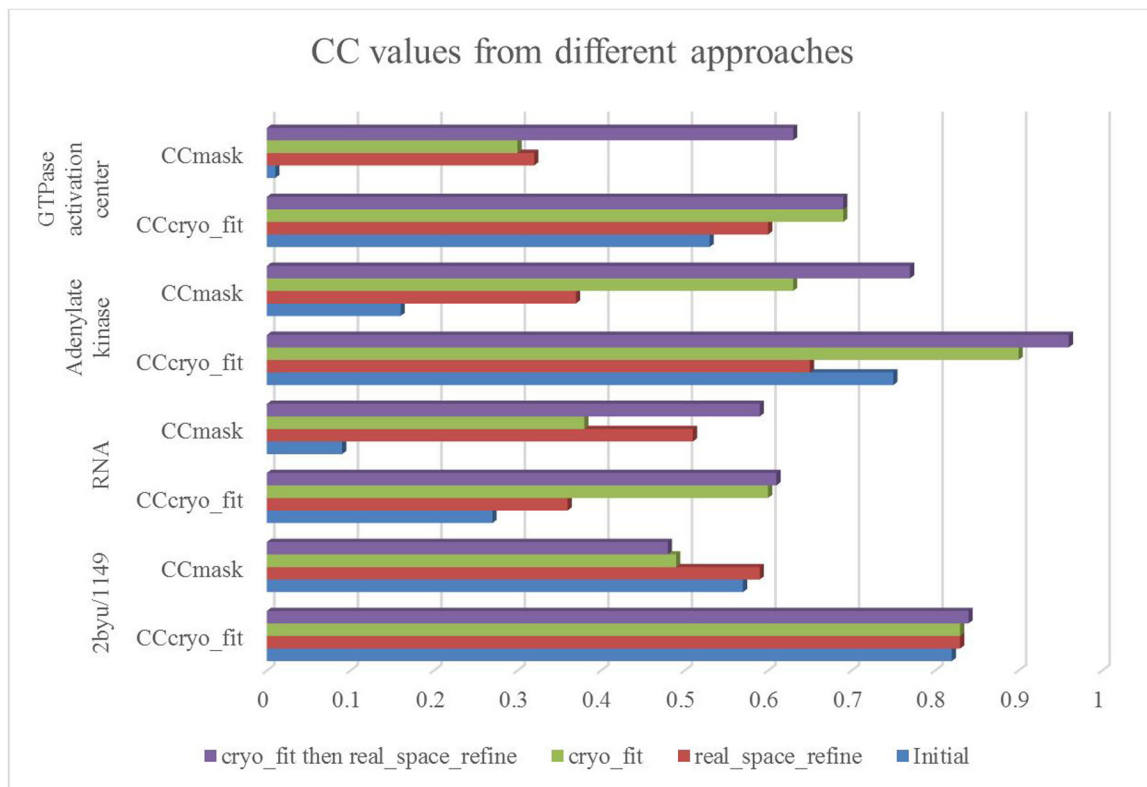


Figure 4.

Fittings for maps that require large conformational changes

Refinement statistics are in supporting Table S1.

The maps used for these cases have 3.4, 10.5, 13.9 and 17.0 Å resolutions respectively (calculated by *phenix.mtriage*). *phenix.real_space_refine* significantly improves CC_{mask} both for all cases. While *phenix.real_space_refine* slightly decreases $CC_{\text{cryo_fit}}$ for adenylate kinase, this may be due to a short perturbation at the initial steps of *cryo_fit* required to calculate $CC_{\text{cryo_fit}}$ may have slightly decreased $CC_{\text{cryo_fit}}$. We note that this was the only case which resulted in a decrease of $CC_{\text{cryo_fit}}$ more than 0.01.

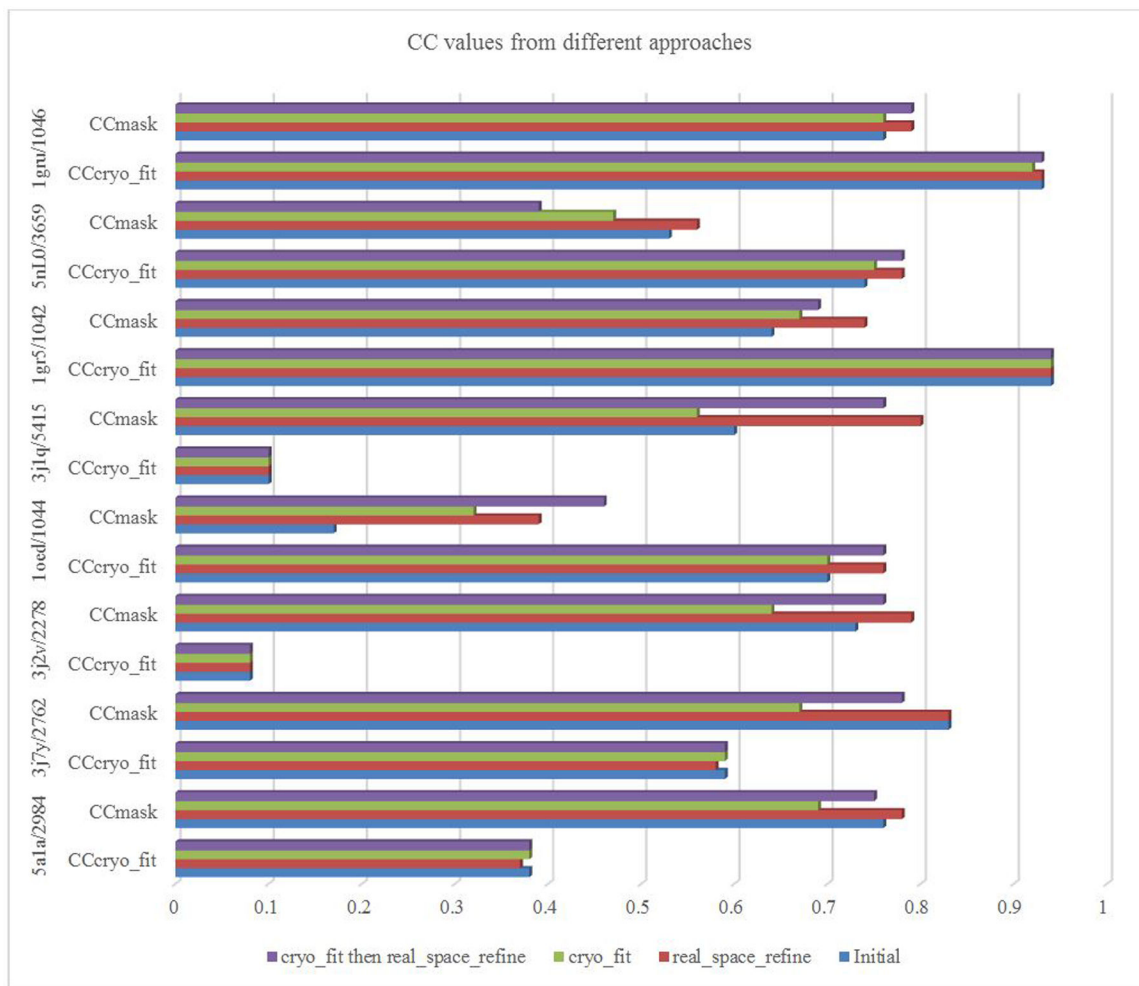


Figure 5.

Fittings for maps that require small conformational changes

On the y axis, we show PDB code/EMDB id. We followed human readable convention for PDB code throughout this paper⁶³. Maps used for these cases have 2.2 ~ 24.0 Å resolutions (reported in EMD). Refinement statistics are in supporting Table S2. For 3j1q/5415 and 3j2v/2278 pairs, the maps are much larger than the atomic model, therefore $CC_{\text{cryo_fit}}$ value are calculated as quite low. For 5nL0/3659 pair, we applied *UCSF Chimera* ‘fit in map’ to the deposited model.