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### Authors

Coccia, Charles James Russell

McCartney, John S

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# High-Pressure Thermal Isotropic Cell for Evaluation of Thermal Volume Change of Soils

**Charles James Russell Coccia, M.S.**, Doctoral Candidate

University of Colorado Boulder, Dept. of Civil, Environmental and Architectural Engineering  
UCB 428, Boulder, CO 80309; coccia@colorado.edu

**John S. McCartney, Ph.D., P.E.**, Associate Professor

University of California San Diego, Dept. of Structural Engineering  
9500 Gilman Dr., La Jolla, CA 92093-0085; mccartney@ucsd.edu

**ABSTRACT:** This paper describes a new high pressure, temperature-controlled isotropic cell used for evaluation of the thermal volume change mechanisms of saturated and unsaturated soils under isotropic stress states. Specifically, details of the experimental setup, instrumentation, thermo-mechanical calibration of the device, experimental procedures, and typical results are presented in this paper. The thermal isotropic cell includes suction control using the axis translation technique, saturation control/monitoring using a pore water pressure flow pump, cell pressure control using a high pressure flow pump, and a stainless steel cell to permit application of isotropic net mean stresses up to 10 MPa. The cell fluid temperature is regulated by circulating heated water through a copper heating coil within the cell, and an internal circulating fan is used to promote homogenous temperature throughout the cell chamber. Non-contact proximity transducers are used to directly measure soil deformation in the radial and axial directions, permitting assessment of thermo-mechanical anisotropic strains during changes in mean effective stress or temperature while also avoiding the need to consider complex thermo-mechanical cell deformations. The high pressure flow pump and thermal control system are designed to apply changes in net mean stress and temperature at slow rates in order to characterize the full soil compression and thermal volume change curves, respectively. Along with the thermo-mechanical calibration of the cell, the results from two tests on compacted silt specimen having different initial degrees of saturation are presented that show how the cell can be used to characterize changes in volume and degree of saturation during thermo-mechanical loading. Both normally consolidated soil specimens were contracted during heating, although the specimen with a lower degree of saturation showed slightly greater thermal volume change.

**KEYWORDS:** Thermal isotropic cell, thermo-mechanical testing, non-isothermal, volume change

## 32 INTRODUCTION

33 The thermal volume change behavior of saturated and unsaturated soils is gaining interest  
34 due to the incorporation of ground source heat exchangers into geotechnical engineering  
35 infrastructure. Examples of such applications involving soils exposed to elevated temperatures  
36 include energy piles (Murphy et al. 2015), thermally active soil embankments (Coccia and  
37 McCartney 2013), and thermally active mechanically stabilized earth (MSE) walls (Stewart et al.  
38 2014). Several studies have evaluated the thermo-mechanical behavior of saturated soil  
39 (Campanella and Mitchell 1968; Demars and Charles 1982; Hueckel and Baldi 1990; Towhata et  
40 al. 1993; Burghignoli et al. 2000; Sultan et al. 2002; Cekerevac and Laloui 2004) and unsaturated  
41 soils (Romero 1999; Romero et al. 2005; Salager et al. 2008; Tang et al. 2008; Uchaipichat and  
42 Khalili 2009). In these studies, the thermal volume change behavior of unsaturated soil is  
43 typically investigated in light of the applied net stress and matric suction. Further, very few  
44 studies have investigated the impact of the degree of saturation on the thermal response of  
45 unsaturated soils as well as the evolution of the degree of saturation during heating under  
46 constant suction conditions (Romero 1999). Recently, the degree of saturation has been used as a  
47 key parameter in predicting the mechanical behavior of unsaturated soils and may be more useful  
48 in characterizing changes in unsaturated soil behavior than matric suction (Wheeler et al. 2003;  
49 Zhou et al. 2012; Bellia et al. 2015). As such, the degree of saturation may also influence the  
50 thermal volume change response, as well as the resulting mechanical response following heating.  
51 A deeper understanding of this topic will aid in the development and calibration of thermo-  
52 elasto-plastic constitutive models for soils under non-isothermal conditions, especially for those  
53 which utilize degree of saturation as a state variable.

54 Although testing equipment and procedures have been developed to characterize the thermal  
55 volume change of saturated soils (Campanella and Mitchell 1968, Demars and Charles 1982,

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3 56 Sultan et al. 2002, Cekerevac and Laloui 2004; Coccia and McCartney 2012; Vega and  
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6 57 McCartney 2014) and unsaturated soils (Romero 1999; Romero et al. 2001; Salager et al. 2008;  
7  
8 58 Tang 2005; Tang et al. 2008; Uchaipichat et al. 2011; Alsherif and McCartney 2015), challenges  
9  
10 59 still remain in the measurement of thermal volume change under various stress states and the  
11  
12 60 associated changes in thermo-mechanical behavior, as well as the evolution and resulting  
13  
14 61 influence of degree of saturation. Furthermore, most current volume measurement techniques  
15  
16 62 require large and complex thermo-mechanical calibrations and do not have the ability to directly  
17  
18 63 measure strain anisotropy of the soil during changes in mean effective stress or temperature  
19  
20 64 (Huckel and Pellegrini 1996; Coccia and McCartney 2012). The objective of this paper is to  
21  
22 65 present a new high pressure thermal isotropic cell capable of measuring the thermal volume  
23  
24 66 change behavior of saturated and unsaturated soils under different stress histories while  
25  
26 67 simultaneously monitoring changes in degree of saturation and developments of strain  
27  
28 68 anisotropy. To showcase the capabilities of the new thermal testing equipment, the thermo-  
29  
30 69 mechanical machine deformations of the thermal isotropic cell along with some typical results  
31  
32 70 from heating tests performed on specimens of compacted silt having different initial degrees of  
33  
34 71 saturation are presented.

## 72 **BACKGROUND**

73 Several studies have been performed to define the impact of temperature on the volume  
74  
75 74 change behavior of saturated soils (Paaswell 1967; Plum and Esrig 1969; Demars and Charles  
76  
77 75 1982; Baldi et al. 1988; Hueckel and Baldi 1990; Hueckel and Pellegrini 1992; Towhata et al.  
78  
79 76 1993; Delage et al. 2000; Cekerevac and Laloui 2004). The overall goal of these studies was to  
80  
81 77 identify the recoverable (elastic) and irrecoverable (plastic) deformations of different types of  
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83 78 soils during heating and cooling. Stress history, characterized by the overconsolidation ratio

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2  
3 79 (OCR), is observed to play an important role in the thermal volume change behavior of soils. For  
4  
5 80 poorly draining (i.e. low hydraulic conductivity), normally consolidated ( $OCR = 1$ ) and lightly  
6  
7 81 overconsolidated saturated soils heated under drained conditions, differential expansion of pore  
8  
9 82 water and soil particles may lead to a generation of excess pore water pressure if the thermal  
10  
11 83 expansion of the pore water occurs at a rate faster than it is able to drain from the soil pore  
12  
13 84 network. This will result in a temporary expansion of the soil volume, followed by irrecoverable  
14  
15 85 volumetric contraction as the thermally induced excess pore water dissipates with time as a  
16  
17 86 function of the soil's hydraulic conductivity (Delage et al. 2000). This response is similar to  
18  
19 87 primary consolidation during application of a change in total stress (Campanella and Mitchell  
20  
21 88 1968; Cui et al. 2000; Delage et al. 2000; Cui et al. 2009). Likewise, for normally consolidated  
22  
23 89 soils heated at slow heating rates, irreversible volumetric contraction will occur due to a decrease  
24  
25 90 in inter-particle shearing strength due to the increase in temperature as explained by Campanella  
26  
27 91 and Mitchell (1968). This decrease in inter-particle shearing strength (or bond strength) may be  
28  
29 92 considered as a result from the increase in thermal energy due to heating, resulting in a collapse  
30  
31 93 of the soil skeleton, ultimately reducing the void ratio of the specimen. This is analogous to  
32  
33 94 secondary compression behavior observed after mechanical primary consolidation and will  
34  
35 95 continue until enough new bonds are developed which can carry the thermo-mechanically  
36  
37 96 induced stresses. For soils with larger OCRs, reversible thermal expansion is typically observed,  
38  
39 97 followed by irreversible thermal contraction (Cekerevac and Laloui 2004). The OCR has also  
40  
41 98 been observed to play a similar role in unsaturated soils (Romero 1999; Saix et al. 2000; Romero  
42  
43 99 et al. 2005; Tang 2005; Tavallali et al. 2007; François and Laloui 2008; Tang et al. 2008;  
44  
45 100 Uchaipichat and Khalili 2009). In isotropic stress conditions, strain anisotropy, defined as the  
46  
47 101 ratio of axial strain to radial strain, may develop during heating (Baldi et al. 1991; Del-Olmo et

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2  
3 102 al. 1996; and Hueckel and Pellegrini 1996). Del-Olmo et al. (1996) assessed the strain anisotropy  
4  
5 103 of deep Spanish clay during drained heating under isotropic conditions. In this study, thermal  
6  
7 104 axial strains ( $\varepsilon_{aT}$ ) were deduced directly by axial piston displacements while thermal radial  
8  
9 105 strains ( $\varepsilon_{rT}$ ) were determined indirectly based on the measured thermal volumetric ( $\varepsilon_{vT}$ ) and  
10  
11 106 thermal axial strains using the relationship as defined in Eq. 1:  
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14

$$\varepsilon_{rT} = \frac{1}{2(\varepsilon_{vT} - \varepsilon_{aT})} \quad \text{EQ. 1}$$

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20 107 During heating and cooling, Del-Olmo et al. (1996) observed thermally induced strains to be  
21  
22 108 larger in the radial direction than in the axial direction. Similar findings were also observed by  
23  
24 109 Baldi et al. (1991). Generally, thermal strain anisotropy may be attributed to the inherent  
25  
26 110 structural anisotropy of the soil specimen due to compaction (Hueckel and Pellegrini 1996).  
27  
28 111 However, Baldi et al. (1991) observed strains in the radial direction to still dominate the overall  
29  
30 112 thermal volume change response for undisturbed specimens of Boom clay that had been trimmed  
31  
32 113 in the horizontal direction to directly measure thermal strains in the soil's natural horizontal  
33  
34 114 direction. Interestingly, the values of strain in the radial and axial directions are nearly equal for  
35  
36 115 the vertically and horizontally trimmed Boom clay specimens. Similarity among the two tests by  
37  
38 116 Baldi et al. (1991) may be attributed to one of two explanations: (1) The structural arrangement  
39  
40 117 of the soil skeleton does not directly impact the magnitude of thermal strains in the axial or radial  
41  
42 118 directions, or; (2) The influence of the orientation of the soil skeleton has been dominated by the  
43  
44 119 thermal equipment calibrations utilized to deduce changes in soil volume or height. However, it  
45  
46 120 is difficult to draw a definite conclusion regarding thermal strain anisotropy without performing  
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48 121 tests utilizing an experimental device capable of directly measuring both thermal axial and radial  
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50 122 strain (Hueckel and Pellegrini 1996).  
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1  
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3 123 A few studies have also focused on the impact of changes in temperature and matric suction  
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6 124 on preconsolidation stress (Tidfors and Sällfors 1989; Salager et al. 2008; Uchaipichat and  
7  
8 125 Khalili 2009). Salager et al. (2008). These studies generally observed that increases in  
9  
10 126 temperature cause a decrease in the mean preconsolidation stress while increases in matric  
11  
12 127 suction beyond the air entry value resulted in an increase in the mean preconsolidation stress.  
13  
14  
15 128 This behavior was also observed for unsaturated soils by Uchaipichat and Khalili (2009).

16  
17 129 To analyze the thermal volume change behavior of soils, several devices have been  
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19  
20 130 developed by incorporating temperature control equipment into oedometers (Paaswell 1967;  
21  
22 131 Plum and Esrig 1969; Towhata et al. 1993; Romero 1999; Salager et al. 2008), isotropic cells  
23  
24 132 (Tang et al. 2006; Salager et al. 2008), or triaxial cells (Campanella and Mitchell 1968; Demars  
25  
26 133 and Charles 1982; Sultan et al. 2002; Cekerevac et al. 2005; Uchaipichat and Khalili 2009). For  
27  
28  
29 134 saturated soils, volume change measurements can be made by monitoring changes in the soil  
30  
31 135 height in the case of modified thermal oedometers (Plum and Esrig 1969), or by measuring  
32  
33 136 changes in pore water volume in the use of thermal isotropic cells or triaxial cells (Cekerevac et  
34  
35 137 al. 2005). For unsaturated soils, thermal volume change measurement becomes more difficult  
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37  
38 138 due to the inclusion of air into the soil pore network. In such case, measurement of the specimen  
39  
40 139 volume change may no longer be accomplished through measurement of the changes in pore  
41  
42 140 water itself, changes in volume of the pore air must also be accounted for. However, due to the  
43  
44 141 high compressibility air, its sensitivity to temperature, and the potential for air to diffuse through  
45  
46 142 the membrane surrounding the soil specimen, this can yield very unreliable results. To overcome  
47  
48 143 this limitation, several techniques have been developed to measure the thermal volume change of  
49  
50 144 unsaturated soils including the cell fluid volume measurement technique (Tang et al. 2008;  
51  
52 145 Alsherif and McCartney 2013), the digital imaging technique (Uchaipichat et al. 2011), and the  
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3 146 direct measurement technique using contact or non-contact displacement transducers (Salager et  
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6 147 al. 2008).

7  
8 148 For the cell fluid volume measurement technique, changes in volume of the soil specimen are  
9  
10 149 inferred from movement of the cell fluid into or out of the cell (Bishop and Donald 1961).  
11  
12 150 Movement of the cell fluid may be tracked using a flow pump device or high accuracy burette  
13  
14 151 measuring system. A drawback of this method is that the overall volume change of cell fluid due  
15  
16 152 to changes in soil volume will encounter additional fluctuations due to the accompanying  
17  
18 153 changes in volume of the experimental equipment including: the cylindrical cell chamber, coarse  
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20 154 stones, HAEV disks, plumbing lines (air, water, and cell pressure), water exposed to variable  
21  
22 155 temperature during testing, and volume change measurement equipment itself (i.e. flow pump  
23  
24 156 reservoir, burette shell, internal fluid). In addition, room temperature fluctuations will grossly  
25  
26 157 affect the cell fluid volume measured using either a flow pump device or burette due to the  
27  
28 158 thermal expansion of the fluid located between the chamber cell and the measuring device. These  
29  
30 159 additional volume fluctuations may lead to unreliable results if not properly accounted for,  
31  
32 160 thereby requiring an intensive calibration program.

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38 161 The digital imaging technique utilizes a high-resolution photo or video camera to track the  
39  
40 162 exterior profile of the soil specimen subjected to various stress paths. Images may be taken at a  
41  
42 163 specified time increment dependent on the speed of loading chosen. Each image captured is then  
43  
44 164 broken down into unique pixels that are each assigned a numerical location. Movement of each  
45  
46 165 pixel is tracked using computer software (Macari et al. 1997) and changes in the overall profile  
47  
48 166 may be associated to a change in soil volume. A drawback of this approach is the necessity for a  
49  
50 167 transparent cell wall so that imaging of the soil specimen may take place. This necessity can  
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52 168 limit the maximum confining pressure capabilities of the cell due to the need for a transparent  
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3 169 material. Likewise, thermal insulation and interior experimental equipment may not obstruct  
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6 170 view of the specimen, as it would additionally hinder the ability to capture clean images of the  
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8 171 soil specimen. Further discussion of the digital imaging technique may be found in Uchaipichat  
9  
10 172 et al. (2011).

11  
12  
13 173 Salager et al. (2008) employed the direct measurement technique using two non-contact  
14  
15 174 displacement sensors to track changes in soil height and diameter. The proximity sensors use  
16  
17 175 induction to infer the distance between an aluminum target and a coil embedded within the tip of  
18  
19  
20 176 the proximity probe. A change in gap between the probe tip and target produces a perturbation in  
21  
22 177 the magnetic field of the coil, resulting in a change in the output voltage. However, due to the  
23  
24 178 probes' use of inductance to determine changes in distance between the probe tip and target,  
25  
26  
27 179 water cannot be used as the cell fluid as it will cause interference amongst the operating probes  
28  
29 180 within the cell. As such, Salager et al. (2008) utilized air as the confining cell fluid and  
30  
31 181 temperature was controlled using electrical resistances installed into the isotropic cell frame. A  
32  
33 182 drawback of this approach, however, is a much larger time is required to heat the specimen due  
34  
35 183 to the much lower thermal conductivity of air compared to that of de-aired water or oil.

## 36 184 **HIGH PRESSURE THERMAL ISOTROPIC CELL**

### 37 185 **Overview**

38  
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41 186 A new isotropic cell was designed to control and measure elevated temperatures and low  
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43 187 suction magnitudes (0 to 100 kPa) for a broad range of OCRs. In the development of this new  
44  
45 188 experimental system, efforts were made to improve the volume change measurement capabilities  
46  
47 189 over existing thermal systems. Specifically, these improvements include the ability to directly  
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49 190 measure changes in soil volume during heating while avoiding the reliance on large and sensitive  
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51 191 thermo-mechanical calibrations via use of non-contact displacement transducers. Mineral oil has  
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3 192 been selected for the cell fluid to enhance the temperature application abilities of the system  
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5 193 when compared to the system of Salager et al. (2008) who also used non-contact displacement  
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8 194 transducers with air as the cell fluid. Another capability of this volume change measurement  
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10 195 approach is the direct measurement of both axial and radial strains to assess strain anisotropy due  
11  
12 196 to changes in mean effective stress or temperature which may be used to clarify the thermal  
13  
14 197 anisotropic strain behaviors discussed by Hueckel and Pellegrini (1996). Additional benefits of  
15  
16 198 the new thermal system include the ability to reliably monitor and control the degree of  
17  
18 199 saturation due to changes in temperature as well as apply slow heating and mechanical loading  
19  
20 200 rates to avoid the generation of excess pore water pressures for poorly draining soils.  
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23

24 201 To examine the thermal volume change mechanisms of saturated and unsaturated soils, the  
25  
26 202 testing equipment must be capable of independently controlling and monitoring net cell pressure,  
27  
28 203 pore water pressure, pore air pressure, and temperature while measuring changes in net cell  
29  
30 204 pressure, pore water volume, soil total volume, and temperature during testing. A schematic and  
31  
32 205 photo of the thermal isotropic cell control system are shown in Figures 1 and 2, respectively. The  
33  
34 206 cylindrical vessel is made of 316 stainless steel with an outside diameter of 165.1 mm, a wall  
35  
36 207 thickness of 9.5 mm, and a height of 157.2 mm. The cell is designed to accommodate specimens  
37  
38 208 having a height of 25.4 mm and a diameter up to 71.12 mm. The stainless steel vessel can  
39  
40 209 accommodate net cell pressures to 10 MPa. The top and base of the isotropic cell are also made  
41  
42 210 of 316 stainless steel with a thickness of 29 mm and a diameter of 228.6 mm.  
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### 48 211 **Mechanical Loading System**

49  
50 212 The mechanical loading system consists of a high pressure (CELL) flow pump developed as  
51  
52 213 part of this study to apply and maintain the confining stress (i.e. cell pressure) of the soil  
53  
54 214 specimen during testing. The CELL flow pump is capable of supplying pressures to the thermal  
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3 215 isotropic cell up to 10 MPa. Pressure is transferred from the flow pump to the isotropic cell  
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5  
6 216 through a 3.2 mm-diameter flexible 316 stainless steel line connected to the stainless steel base  
7  
8 217 of the isotropic cell. The CELL flow pump operates by moving a cylindrical piston with a cross-  
9  
10 218 sectional area of 4793 mm<sup>2</sup> within a reservoir made of 316 stainless steel filled with de-aired  
11  
12 219 mineral oil (Crystal Plus 70T). The piston has an operating stroke length of 340 mm, yielding a  
13  
14 220 total available cell fluid volume exchange of 1629.6 mL. A large operational volume is necessary  
15  
16 221 during heating due to the relatively large coefficient of thermal expansion of the mineral oil  
17  
18 222 (0.00063 1/°C). Movement of the piston during testing is controlled and monitored by a Stepnet  
19  
20 223 controller (Model STX-115-07) manufactured by Copley Control Corp., with an operational  
21  
22 224 velocity range of 0.00001 to 0.4 mm/s, corresponding to an apparent strain rate of 0.043 and  
23  
24 225 17265 %/hr, respectively for a 27 mm-tall, 67.1 mm-diameter specimen. However, the true strain  
25  
26 226 rate of the soil specimen will be less than the apparent value due to the additional compression of  
27  
28 227 the cell confining fluid.  
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34 228 Internal cell pressures are monitored within the isotropic cell using a 1400 kPa capacity  
35  
36 229 pressure sensor, manufactured by Geotac<sup>®</sup>, installed at the base of the isotropic cell. For testing,  
37  
38 230 the CELL flow pump controller is programmed to operate until a cell pressure equal to the target  
39  
40 231 cell pressure ± 0.1 kPa has been achieved. This value was chosen as it is slightly larger than the  
41  
42 232 observed noise of the cell pressure transducer. The flow pump remains inactive until the cell  
43  
44 233 pressure exceeds the target cell pressure by ± 1.75 kPa. An activation pressure of 1.75 kPa was  
45  
46 234 selected as it was found to reduce potential overshooting or excessive operation of the CELL  
47  
48 235 flow pump during testing.  
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### 237 **Pore Pressure Control System**

238 The top and bottom soil caps are designed to allow for the independent distribution of water  
239 and air pressure to the soil specimen, respectively, following the axis-translation technique (Hilf  
240 1956). The degree of saturation of the soil specimen is controlled/monitored using a “pore water  
241 pressure” (PWP) flow pump operating within a suction feedback-control loop which utilizes a  
242 differential pressure transducer (DPT) placed between the pore air and pore water pressure  
243 supply lines. A detailed schematic of the thermal isotropic cell, showcasing the pore pressure  
244 control system, is presented in Figure 3. The PWP flow pump is designed to be smaller than the  
245 CELL flow pump with a cylindrical piston active cross-sectional area of 791.73 mm<sup>2</sup> and an  
246 operating stroke length of 240 mm, yielding a total available pore water volume exchange of  
247 190.01 mL. Movement of the piston is controlled and monitored by a Stepnet controller (Copley  
248 Control Corp) with a velocity range of 0.00001 to 4.0 mm/s, corresponding to flow rates of  
249  $7.9 \times 10^{-3}$  to  $3.2 \times 10^3$  mm<sup>3</sup>/s and an apparent Darcy velocity of  $2.2 \times 10^{-9}$  to  $8.9 \times 10^{-4}$  mm/s,  
250 respectively, for a soil specimen 67.1 mm in diameter. The Stepnet controller has the capability  
251 of measuring the location of the piston to  $1 \times 10^{-6}$  mm, giving the PWP flow pump the ability to  
252 measure changes in pore water volume as precise as  $7.917 \times 10^{-4}$  mm<sup>3</sup>.

253 Operation of the PWP flow pump causes water to flow into or out of the soil specimen  
254 through a 7 mm-thick, 71.1 mm-diameter 1-bar high air entry value (HAEV) ceramic disk placed  
255 between the bottom soil cap and the soil specimen. This disk allows for the continuous and  
256 uniform application of water pressure to the bottom of the specimen without allowing the entry  
257 of air until reaching a suction of 100 kPa. Pore air pressure is distributed to the top of the  
258 specimen using a 6.45 mm-thick, 71.1 mm-diameter coarse porous stone placed between the soil  
259 and top cap to ensure a uniform application of air or water pressure throughout the top of the

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3 260 specimen. The porous stone was experimentally determined to have a porosity of 0.368  
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6 261 corresponding to a saturated water volume of 9.42 mL. This information is used to correctly  
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8 262 determine the amount of water expelled from the soil specimen during drying. To partition the  
9  
10 263 soil specimen from the cell fluid, the soil specimen and top and bottom caps are sealed with a  
11  
12 264 0.635 mm-thick neoprene membrane. Neoprene was selected for testing as it provides a higher  
13  
14  
15 265 resistance to elevated temperatures than conventional rubber or latex membranes.  
16

17 266 During the application of matric suction, the difference between the water pressure at the  
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19  
20 267 bottom of the specimen and the air pressure applied to the top of the specimen (during the  
21  
22 268 application of matric suction) is measured using a Validyne (Model P305D) differential pressure  
23  
24 269 transducer “DPT” (Fig. 3) containing a 3-38 diaphragm with an operating capacity of 55 kPa.  
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26  
27 270 Accordingly, the differential pressure transducer is used to monitor the matric suction at the  
28  
29 271 bottom boundary of the specimen. The DPT output is monitored by a suction feedback-control  
30  
31 272 loop developed by McCartney and Znidarčić (2010) to achieve different equilibrium values of  
32  
33 273 matric suction within the soil specimen. As suction imposed by flow pump operation is only  
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35 274 representative of the suction at the boundary of the specimen, the feedback loop is necessary to  
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37  
38 275 control the flow of water to or from the specimen for wetting and drying, respectively, until the  
39  
40 276 matric suction at the boundary equilibrates with the suction in the rest of the specimen. For  
41  
42 277 testing, the PWP flow pump controller is designed to stop operation of the piston once the target  
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45 278 matric suction has been reached within  $\pm 0.01$  kPa, which is slightly larger than the observed  
46  
47 279 noise of the pressure transducer. The PWP flow pump will remain inactive until the suction has  
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49  
50 280 reached a value equal to the target suction value  $\pm 1.41$  kPa. The soil is assumed to have  
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52 281 achieved uniform suction throughout when the PWP flow pump has been non-operational for a  
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3 282 time of 5000 s. Additional details on the program operation used to dry or wet the soil specimen  
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5 283 can be found in Lee and Znidarčić (2013).  
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7

8 284 Initial pore air and water pressures, prior to use of the PWP flow pump, are applied to the  
9  
10 285 specimen through two independent burettes that are included in a pressure control panel  
11  
12 286 manufactured by Trautwein Soil Testing Equipment Company. Each burette allows for the  
13  
14 287 unique application of air/water pressure, vacuum pressure, or venting. This permits an easy  
15  
16 288 transition from saturated to unsaturated control conditions as water within the burette controlling  
17  
18 289 the top of the soil specimen may be flushed to transition from the application of pore water  
19  
20 290 pressure to pore air pressure that is equal in magnitude. In addition, each burette is controlled and  
21  
22 291 monitored by an independent pressure regulator and calibrated pressure gauge, respectively, to  
23  
24 292 permit the precise application of pore water or pore air pressure to the soil specimen.  
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### 29 293 **Temperature Control System**

30  
31 294 Changes in temperature are applied to the soil specimen by varying the temperature of the  
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33 295 cell fluid located within the isotropic cell. The temperature of the cell fluid and soil specimen is  
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35 296 regulated by circulating a heat exchange fluid through a 6.3 mm-diameter copper heating coil  
36  
37 297 installed within the cell chamber. Water is used as the heat exchange fluid. The temperature of  
38  
39 298 the heat exchange fluid is controlled using a F25-ME refrigerated/heating circulator from Julabo  
40  
41 299 Inc., which consists of an automated temperature control system, fluid reservoir, and circulating  
42  
43 300 pump, shown in Figure 3 and 4. The heat pump (Fig. 4b) has a working temperature range of -28  
44  
45 301 to 200 °C with a temperature control resolution of  $\pm 0.1$  °C and is capable of both heating and  
46  
47 302 cooling. The circulating pump can supply a fluid pressure up to 38 kPa and a flow rate up to  
48  
49 303  $16 \times 10^3$  mL/min.  
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3 304 The temperature control system also permits the precise ramping of temperature within the  
4  
5 305 cell, allowing for the application of slow temperature rates of less than 0.3 °C/hr. Sultan et al.  
6  
7  
8 306 (2002) observed this rate to be acceptable to ensure full drainage of saturated specimens during  
9  
10 307 heating. Temperature of the cell fluid is monitored and recorded using two Omega K-Type  
11  
12 308 thermocouple probes mounted at the top and bottom of the isotropic cell (Fig. 3). In addition, the  
13  
14  
15 309 two thermocouples are utilized to monitor any thermal gradients that may develop during  
16  
17 310 heating. The top and bottom thermocouple probes were designed to measure the temperature  
18  
19 311 near the porous stone and HAEV stone, respectively, so all observed gradients may be assumed  
20  
21 312 to occur along the height of the soil specimen. To minimize the temperature gradient, a 60 mm  
22  
23 313 diameter – 14 mm deep high speed Evercool CPU cooling fan mounted at the top of the isotropic  
24  
25 314 cell is used to circulate the cell fluid within the cell chamber [Fig. 4(a)]. Circulating speed of the  
26  
27 315 fan is controlled using a HP Dual DC Power Supply (Model 6253A) that limits the voltage input  
28  
29 316 to the CPU fan. For a target cell fluid temperature of 70 °C, operation of the CPU fan was  
30  
31 317 observed to reduce the temperature gradient from 6 °C to less than 1.5 °C. A preliminary  
32  
33 318 investigation showed operation of the circulatory fan to have no effect on cell pressure or signal  
34  
35 319 output of the instrumentation. To minimize heat loss during testing, the aluminum isotropic  
36  
37 320 cylinder is wrapped with two layers of 6.35 mm-thick thermal insulation.  
38  
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#### 43 321 **Volume Change Measurement System**

44  
45 322 The direct measurement technique was chosen to measure changes in soil volume for the new  
46  
47 323 high pressure thermal isotropic cell. Three non-contact proximity sensors (3300 XL 8 mm),  
48  
49 324 manufactured by GE Bently Nevada, were selected to monitor changes in soil height and  
50  
51 325 diameter based on changes in distance between the probe tip and a conductive AISI 4140 steel  
52  
53 326 target. For the temperature range applied in testing (15 to 80 °C), the proximity sensors were  
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3 327 experimentally determined to have an operating range of 2.3 mm with a precision of  $\pm 0.0005$   
4  
5 328 mm. To infer changes in the soil diameter, two proximity probes are mounted radially around the  
6  
7 329 soil specimen at mid height with a  $60^\circ$  offset as shown in Figure 5. These probes are hereby  
8  
9 330 referred to as radial "Probe A" and radial "Probe B". Though the radial deformation of the soil  
10  
11 331 can be monitored with one probe, two probes were chosen for redundancy as well as to assess the  
12  
13 332 uniformity of deformation of the soil specimen. To measure changes in soil height, one  
14  
15 333 proximity sensor is mounted vertically above the soil top cap (Figs. 3 and 5), hereby referred to  
16  
17 334 as axial "Probe C". The volumetric strain of the soil under various loading conditions is  
18  
19 335 calculated using the averaged radial displacements recorded from Probes A and B and the  
20  
21 336 vertical deformation recorded from Probe C. The steel targets are 19 mm in diameter and 2.5 mm  
22  
23 337 thick. The targets used for radial deformation measurement are rounded to a 34.5 mm radius on  
24  
25 338 one side so that full contact may be achieved along the soil/neoprene surface. The targets are  
26  
27 339 adhered to the neoprene membrane and soil top cap using Gorilla<sup>®</sup> super glue as it was found to  
28  
29 340 not lose its adhesive properties when exposed to the mineral oil cell fluid for long periods of  
30  
31 341 time. One concern that may arise is the increase in stress concentration to the soil specimen at the  
32  
33 342 location of the radial probe targets. This would mostly be recognized by the appearance of  
34  
35 343 increased deformations of the soil underneath the targets. However, no such deformations were  
36  
37 344 observed for any tests so the possibility of stress concentrations along the soil may be  
38  
39 345 disregarded. All three proximity probes are mounted to two Invar alloy brackets installed at the  
40  
41 346 bottom of the cell chamber. Probe A is mounted to one bracket while Probes B and C share a  
42  
43 347 second bracket (Fig. 5). Invar alloy was chosen as the mounting bracket material because of its  
44  
45 348 low coefficient of thermal expansion ( $1.2 \times 10^{-6} 1/^\circ\text{C}$ ), thereby reducing the relative movement  
46  
47 349 between the probe and the steel target due to changes in temperature. Finally, a tech grade  
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3 350 mineral oil (Crystal Plus 70T) is used as the cell fluid due to its non-conductive properties which  
4  
5  
6 351 will prevent cross-talk between the probes.  
7

## 8 352 **Laboratory Environment**

9  
10 353 As changes in ambient room temperature can negatively impact the accuracy of experimental  
11  
12 354 instrumentation, precision of the temperature controller system when operating at slow heating  
13  
14 355 rates, and pressure maintained within the cell and PWP flow pumps during operation, a  
15  
16 356 temperature-controlled laboratory located at the University of Colorado at Boulder was selected  
17  
18 357 to house the thermal isotropic system for testing. A K-Type fine-wire thermocouple is installed  
19  
20 358 near the thermal isotropic cell in order to monitor ambient room temperatures throughout testing.  
21  
22 359 The room is maintained at a temperature of 19 °C ( $\pm 1.0$  °C). A cooler temperature than the rest  
23  
24 360 of the building is necessary to minimize the effects of entering or exiting the lab during testing.  
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## 29 361 **CALIBRATION OF THERMAL ISOTROPIC CELL**

### 30 362 **Overview**

31  
32 363 Changes in cell pressure and/or temperature may cause deformations to the experimental  
33  
34 364 equipment that will lead to changes in distance between the probe tip and steel target unrelated to  
35  
36 365 the volume change of the soil. For radial Probes A and B, changes in cell pressure and/or  
37  
38 366 temperature will cause a deformation of the soil specimen, neoprene membrane, steel target, and  
39  
40 367 INVAR mounting bracket. For axial Probe C, changes in cell pressure and/or temperature will  
41  
42 368 result in deformation of the soil specimen, top and bottom stainless steel soil caps, HAEV  
43  
44 369 ceramic disk, coarse stone, and INVAR mounting bracket. In addition, changes in pressure or  
45  
46 370 temperature along the probe may cause a small shift in sensor output voltage (GE Bentley  
47  
48 371 Nevada 2013).  
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 3 372 In order to accurately determine the volume change of the soil specimen it is necessary to  
 4  
 5 373 characterize the thermo-mechanical “machine” deformations of the isotropic cell equipment.  
 6  
 7  
 8 374 Knowledge of the thermo-mechanical response of the relevant components may then be used to  
 9  
 10 375 correct the raw displacement data to infer the true soil deformation. As such, two independent  
 11  
 12 376 testing procedures, both utilizing a 25.4 mm-tall, 63.5 mm-diameter aluminum “dummy”  
 13  
 14 377 specimen with an elastic modulus of 68.9 GPa and a volumetric coefficient of thermal expansion  
 15  
 16 378 of  $69 \times 10^{-6} \text{ 1/}^\circ\text{C}$ , were developed to determine the response of the isotropic equipment to  
 17  
 18 379 changes in cell pressure and temperature. In general, the thermo-mechanical equipment  
 19  
 20 380 deformations may be determined by applying an increase in either cell pressure or temperature to  
 21  
 22 381 the aluminum specimen, then subsequently subtracting the expected deformation of the  
 23  
 24 382 aluminum specimen from that measured by the displacement probes. The resulting deformation  
 25  
 26 383 may then be used to determine the true thermo-mechanical deformation of a soil specimen in the  
 27  
 28 384 axial ( $\Delta H$ ) or radial ( $\Delta R$ ) direction as defined in EQ. 2(a) and EQ. 2(b), respectively.  
 29  
 30  
 31  
 32  
 33

$$\Delta H(\Delta\sigma, \Delta T) = \Delta H_{measured} - \Delta H_{cal}(\Delta\sigma, \Delta T) \quad \text{EQ. 2(a)}$$

$$\Delta R(\Delta\sigma, \Delta T) = \Delta R_{measured} - \Delta R_{cal}(\Delta\sigma, \Delta T) \quad \text{EQ. 2(b)}$$

### 385 **Mechanical Machine Deflections of Isotropic System**

386 The mechanical machine deformation of the thermal isotropic system was evaluated in a  
 387 mechanical calibration program so that they may be considered when interpreting changes in  
 388 volume of the soil specimen due to changes in cell pressure. An aluminum specimen was  
 389 subjected to a net confining stress of 350 kPa and a backpressure of 320 kPa then left overnight  
 390 to achieve stabilization of the probes, mounting brackets, and steel targets prior to isotropic  
 391 compression. Following this, the aluminum specimen was loaded from 350 to 1000 kPa at an  
 392 average rate of 78 kPa/hr. Following achievement of the target cell pressure, the stress conditions

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 3 393 were held constant for several hours to assess any mechanical voltage drift of the probes which  
 4  
 5 394 may occur (i.e. change in probe voltage output with no change in cell pressure). However, no  
 6  
 7  
 8 395 voltage drift was observed indicating all mechanical system deformations had occurred during  
 9  
 10 396 loading. The resulting mechanical machine deflections for all three probes are shown in Figure  
 11  
 12 397 6(a), where a positive deformation signifies a settlement of the equipment (i.e., an increase in the  
 13  
 14 398 gap between probe tip and target) while a negative deformation indicates expansion of the  
 15  
 16 399 equipment (i.e., a decrease in gap between probe tip and target). Radial probes A and B exhibit a  
 17  
 18 400 very small decrease in gap during loading, while axial Probe C exhibits a larger increase in gap.  
 19  
 20 401 This behavior is expected as Probe C will also measure the mechanical compression of the soil  
 21  
 22 402 top and bottom caps, coarse stone, and HAEV disk which is expected to be larger than the  
 23  
 24 403 compression of the neoprene membrane and stainless steel target alone. The mechanical  
 25  
 26 404 deformation relationships of all three probes are defined by EQ. 3 through EQ. 5 below:

$$\Delta R_{cal,A}(\Delta\sigma) = -3.33 \times 10^{-9} (\sigma - \sigma_{BP})^2 - 5.9 \times 10^{-6} (\sigma - \sigma_{BP}) \quad \text{EQ. 3}$$

$$\Delta R_{cal,B}(\Delta\sigma) = -4.40 \times 10^{-10} (\sigma - \sigma_{BP})^2 - 3.8 \times 10^{-6} (\sigma - \sigma_{BP}) \quad \text{EQ. 4}$$

$$\Delta H_{cal,C}(\Delta\sigma) = 6.9 \times 10^{-4} \sqrt{\sigma - \sigma_{BP}} \quad \text{EQ. 5}$$

405 where  $\sigma$  is the current mean confining stress and  $\sigma_{BP}$  is the mean confining stress applied  
 42  
 43 406 during backpressure saturation (350 kPa for the tests presented in this study).

#### 407 **Thermal Machine Deflections of Isotropic System**

48 408 Following loading to 1000 kPa, the cell was heated from ambient temperature ( $\approx 21.5$  °C) to  
 49  
 50 409 a temperature of 67 °C to determine the thermal deformation of the isotropic system. The cell  
 51  
 52 410 was heated in three distinct increments at a rate of 0.34 °C/hr, from ambient to 35 °C, 35 to  
 53  
 54 411 49 °C, and 49 to 67 °C. At the end of each increment, the temperature was held constant for

1  
2  
3 412 several hours to assess any additional thermal voltage drift of the probes which may occur (i.e.  
4  
5 413 change in probe voltage output with no change in temperature). Similar to the mechanical  
6  
7 414 deformation test, no voltage drift was observed indicating all thermal system deformations had  
8  
9 415 occurred during heating. The resulting thermal machine deflections are shown in Figure 6(b).  
10  
11 416 Similar to the results for the mechanical deformation test, axial Probe C shows more deformation  
12  
13 417 than radial Probes A and B due to the presence of the suction control components. The thermal  
14  
15 418 deformation relationships of all three probes are defined by EQ. 6 through EQ. 8 below:  
16  
17

$$\Delta R_{cal,A}(\Delta T) = -7.4 \times 10^{-6} (T - T_{amb})^2 - 1.2 \times 10^{-4} (T - T_{amb}) \quad \text{EQ. 6}$$

$$\Delta R_{cal,B}(\Delta T) = -1.1 \times 10^{-5} (T - T_{amb})^2 - 5.1 \times 10^{-5} (T - T_{amb}) \quad \text{EQ. 7}$$

$$\Delta H_{cal,C}(\Delta T) = -1.4 \times 10^{-5} (T - T_{amb})^2 - 1.2 \times 10^{-3} (T - T_{amb}) \quad \text{EQ. 8}$$

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28  
29 419 where  $T$  is the current average cell temperature and  $T_{amb}$  is the ambient average cell temperature  
30  
31 420 prior to heating.  
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### 34 421 **Calibration for Determination of Changes in Pore Water Volume**

35  
36 422 During the heating of soil, thermal expansion of water located within the pore drainage lines  
37  
38 423 may occur resulting in withdrawal of the PWP flow pump that is unrelated to changes in the soil  
39  
40 424 pore water volume. It is important to characterize the thermal expansion of water located within  
41  
42 425 the drainage lines to properly calibrate for changes in the soil gravimetric water content due to  
43  
44 426 changes in temperature. To do so, the pore water volume of the bottom drainage line  
45  
46 427 (corresponding to the PWP flow pump) was monitored using a high accuracy pipette while  
47  
48 428 heating an aluminum specimen from 21.5 to 67 °C. Negligible changes in bottom pore volume  
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50 429 were observed.  
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3 430 In addition to the impact of changes in temperature on the pore plumbing network, variations  
4  
5 431 in the ambient laboratory temperature may cause expansion or contraction of the de-aired water  
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7  
8 432 stored within the PWP flow pump reservoir which could result in operation of the PWP pump  
9  
10 433 piston not associated with changes in volume of the pore water located within the soil specimen.  
11  
12 434 To assess this potential behavior, the PWP flow pump was set to apply and maintain a target pore  
13  
14  
15 435 water pressure of 320 kPa to the bottom of the aluminum dummy specimen for 48 hours. During  
16  
17 436 this 48 hours, the ambient laboratory temperature was allowed to fluctuate and the response of  
18  
19  
20 437 the PWP flow pump was monitored. The results are shown in Figure 7. For a variation in  
21  
22 438 ambient lab temperature between 18.1 to 19.4 °C, no significant response of the PWP flow pump  
23  
24 439 was observed. Therefore, the variations in ambient laboratory temperature are assumed to have  
25  
26  
27 440 negligible influence on the measurements made by the PWP flow pump for this study.

28  
29 441 Some cases of cell fluid migration through neoprene membranes at elevated temperature  
30  
31 442 have been observed in the literature (Cekerevac et al. 2005). To examine this behavior, the  
32  
33 443 aluminum “dummy” specimen was loaded to a confining stress of 1000 kPa with a constant  
34  
35  
36 444 backpressure of 320 kPa, and then heated to 67 °C. Migration of the mineral oil cell fluid  
37  
38  
39 445 through the neoprene membrane was measured by monitoring two high accuracy pipettes  
40  
41 446 individually attached to the top and bottom pore pressure supply lines. Over the time of 24 hours,  
42  
43 447 no raise in water level for either pore pressure line was observed, indicating negligible migration  
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45  
46 448 of the cell fluid through the neoprene membrane at elevated temperatures. This lack of fluid  
47  
48 449 migration may be due to the increased viscosity of the mineral oil compared to that of de-aired  
49  
50  
51 450 water.

52  
53 451 Based on the observances described above, all movements of the PWP flow pump during  
54  
55 452 testing may be assumed to only be due to changes in volume of the soil pore water. For all  
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3 453 drained heating or cooling tests, the thermal expansion/contraction of the soil solids and soil pore  
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5 454 water has been considered for the calculation of changes in void ratio resulting degree of  
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7  
8 455 saturation. Specifically, the change in pore volume ( $\Delta V_v$ ) and change in volume of soil pore  
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10  
11 456 water ( $\Delta V_w$ ), has been modified to account for thermal expansion of the soil constituents.  
12  
13 457 Throughout testing, changes in volume of the soil specimen are deduced based on linear  
14  
15 458 deformations in the radial and axial directions measured using three non-contact displacement  
16  
17 459 probes. During heating or cooling, the measured change in volume ( $\Delta V_{t,measured}$ ) is assumed to be  
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19  
20 460 a summation of the change in volume of the voids and the thermal expansion (or contraction) of  
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22  
23 461 the soil solids:

$$\Delta V_{t,measured} = \Delta V_v + V_{s0} \alpha_{T,s} \Delta T \quad \text{EQ. 9}$$

24  
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27  
28 462 where  $V_{s0}$  is the initial volume of the soil solids, and  $\alpha_{T,s}$  is the thermal coefficient of volumetric  
29  
30 463 expansion of the soil solids. For this study,  $\alpha_{T,s}$  is assumed to be  $3.5 \times 10^{-5} \text{ 1/}^\circ\text{C}$ , as specified by  
31  
32  
33 464 Campanella and Mitchell (1968). The resulting change in pore volume during a change in  
34  
35 465 temperature may be written as:

$$\Delta V_v(\Delta T) = \Delta V_{t,measured} - V_{s0} \alpha_{T,s} \Delta T \quad \text{EQ. 10}$$

36  
37  
38  
39  
40 466 Likewise, the change in volume of the soil pore water during heating or cooling must also be  
41  
42 467 considered. Campanella and Mitchell (1968) proposed the following relationship considering the  
43  
44 468 properties of free pure water:

$$\Delta V_w(\Delta T) = \Delta V_{w,measured} - V_{w0} \alpha_{T,w} \Delta T \quad \text{EQ. 11}$$

45  
46  
47  
48 469 where  $\Delta V_{w,measured}$  is the change in water volume measured by the PWP flow pump, and  $\alpha_{T,w}$  is  
49  
50  
51 470 the thermal coefficient of volumetric expansion of the pore water, assumed to be equal to  $2.07 \times$   
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53  
54 471  $10^{-4} \text{ 1/}^\circ\text{C}$ . Baldi et al. (1988) proposed a modification to EQ. 11 to incorporate the effects of  
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3 472 adsorbed water using the double layer theory for low porosity plastic clays. However, Delage et  
4  
5 473 al. (2000) found the assumption of free water by Campanella and Mitchell (1968) to be sufficient  
6  
7  
8 474 for the evaluation of the thermal volume change of the pure water for Boom clay. Furthermore,  
9  
10 475 the presence of pure water should be insignificant for the soil utilized in this study.

## 11 12 476 **EXPERIMENTAL INVESTIGATION**

### 13 14 477 **Soil Preparation Procedures**

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16  
17 478 A silt obtained from the Bonny dam located near the Colorado/Kansas border in Yuma  
18  
19 479 County, CO was used to demonstrate the capabilities of the new thermo-hydro-mechanical true  
20  
21 480 isotropic device. A summary of the relevant geotechnical properties for the silt are summarized  
22  
23 481 in Table 1. The liquid and plastic limits of the soil are 25 and 21, respectively, measured in  
24  
25 482 accordance with ASTM D4318, and the fines content is 83.9%. Accordingly, the silt is classified  
26  
27 483 as ML (inorganic low plasticity silt) according to the Unified Soil Classification System (USCS,  
28  
29 484 ASTM D2487). An activity of 0.29 indicates the silt does not contain a significant amount of  
30  
31 485 active clay minerals.  
32  
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34

35  
36 486 The soil specimens in this study were prepared to a dry density of approximately  $1450 \text{ kg/m}^3$   
37  
38 487 (void ratio of approximately 0.83) at a compaction gravimetric water content of 13.9% using  
39  
40 488 static compaction. Specifically, a mechanical press was then used to compress the specimen in  
41  
42 489 one 27 mm tall lift within a cylindrical aluminum mold 67.1 mm in diameter. Following  
43  
44 490 compaction, the specimen was extracted from the aluminum mold. The compacted silt specimen  
45  
46 491 was then weighed, and the dimensions were measured to determine the obtained initial  
47  
48 492 conditions. The compaction conditions evaluated in this study are different than those on  
49  
50 493 previous studies that evaluated the behavior of compacted Bonny silt: Khosravi and McCartney  
51  
52 494 (2011) used a void ratio of 0.53 and a compaction water content of 14%, Coccia and McCartney  
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3 495 (2012) used a void ratio of approximately 0.46 and a compaction water content of 17.4%, and  
4  
5  
6 496 Alsherif and McCartney (2013, 2015) used a void ratio of 0.68 and a compaction gravimetric  
7  
8 497 water content of 10.5%. The different compaction conditions are expected to lead to different  
9  
10 498 hydraulic, mechanical, and thermal properties. The compression indices for the Cam-Clay model  
11  
12 499 ( $\lambda$  and  $\kappa$ ) the parameters of the soil-water retention curve (SWRC) model of van Genuchten  
13  
14 500 (1980) ( $\alpha_{vG}$ ,  $n_{vG}$ , and  $S_{r,res}$ ), the hydraulic conductivity ( $k$ ), and the thermal conductivity ( $k_t$ ) that  
15  
16 501 are specific to the compaction conditions in this study are also summarized in Table 1.  
17

## 18 502 **Experimental Procedures**

19  
20  
21  
22 503 Following compaction, the soil specimen was set into the thermal isotropic cell atop the  
23  
24 504 bottom cap and 1-bar ceramic disk and a neoprene membrane was placed around the sample.  
25  
26 505 Next, the top and bottom pore pressure control plumbing was installed to the respective valve  
27  
28 506 and fittings. A vacuum of 81.5 kPa was applied to the top of the soil specimen to seat the  
29  
30 507 neoprene membrane to the soil circumference as well as to de-air the specimen to assist in  
31  
32 508 saturation. Once the membrane was seated, a thin layer of super glue was applied to two radial  
33  
34 509 steel targets which were then attached to the neoprene membrane at the corresponding locations  
35  
36 510 for radial Probes A and B. Once the targets were sealed to the neoprene membrane, the Invar  
37  
38 511 alloy probe brackets were mounted to the cell base and the corresponding displacement probes  
39  
40 512 were installed. During setup, the probes were placed so that their tips would be approximately  
41  
42 513 0.75 mm away from the corresponding steel target. The probes were monitored for several  
43  
44 514 minutes to ensure a constant signal output was achieved, indicating the glue had finally set and  
45  
46 515 no target drift was occurring. Once confirmed, the remaining components of the thermal  
47  
48 516 isotropic cell were assembled and the cell chamber was filled with de-aired mineral oil and a  
49  
50 517 small seating pressure of 50 kPa was applied. The top vacuum was reduced to 68 kPa and de-  
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3 518 aird water was flushed upwards from the bottom of the specimen by imposing a bottom pore  
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5 519 water pressure of 20 kPa. De-aired water was allowed to flush through the top of the soil  
6  
7  
8 520 specimen until a volume of water equivalent to two pore volumes had passed through the soil  
9  
10 521 and air bubbles were no longer observed coming from the top of the soil specimen, which on  
11  
12 522 average took 5-6 hours. The vacuum at the top of the specimen was turned off and switched to a  
13  
14 523 pore water pressure of 20 kPa, equal to that applied at the bottom of the soil specimen. The total  
15  
16 524 stress (50 kPa) and backpressure (20 kPa) were increased in stages of 35 kPa until a total stress  
17  
18 525 of 350 kPa and a backpressure of 320 kPa was achieved. The compacted specimen was not  
19  
20 526 observed to exhibit any significant change in volume during this process. The soil was left to  
21  
22 527 backpressure saturate overnight. This technique was observed to achieve an average B-value of  
23  
24 528 around 0.96, and was considered sufficient for saturation. Once the specimen was saturated, the  
25  
26 529 CPU circulatory fan was turned on and left for the rest of testing.

31  
32 530 Following backpressure saturation, the remainder of the experimental testing of the  
33  
34 531 compacted silt was carried out via three distinct stages: (1) Application of matric suction; (2)  
35  
36 532 Mechanical isotropic consolidation to a target total stress; (3) Drained heating. The initial soil  
37  
38 533 conditions for the three tests performed to showcase the capabilities of the high pressure thermal  
39  
40 534 isotropic cell are summarized in Table 2. The thermo-mechanical response of a saturated  
41  
42 535 normally consolidated soil specimen is tested in Test S-0. Test US-30A was performed to  
43  
44 536 investigate the hydro-thermo-mechanical response of an unsaturated normally consolidated soil  
45  
46 537 specimen. Test US-30B was performed to confirm the achieved suction-saturation relationship  
47  
48 538 determined in Test US-30A. The hydro-thermo-mechanical stress paths performed for the three  
49  
50 539 tests are summarized in Figure 8. The standard procedures for testing following saturation is  
51  
52 540 described as follows:

- 1  
2  
3 541 1. Application of matric suction of 30 kPa for Tests 2 and 3 using the PWP flow pump. Pore  
4  
5 542 water was removed from the soil specimen by withdrawing the piston of the PWP flow pump  
6  
7 543 at a rate of 0.0001 mm/s which corresponds to an apparent Darcy velocity of  $2.23 \times 10^{-8}$  m/s  
8  
9 544 (500 times slower than the saturated hydraulic conductivity of the silt).  
10  
11  
12 545 2. Mechanical isotropic consolidation of the specimens for Tests S-0 and US-30A to a total  
13  
14 546 mean stress of 1000 kPa. Specimens were loaded in constant rate of strain conditions with a  
15  
16 547 constant CELL flow pump piston velocity of  $4 \times 10^{-5}$  and  $2 \times 10^{-5}$  mm/s. This corresponds to  
17  
18 548 apparent strain rates of 0.173 and 0.086 %/hr for Tests S-0 and US-30A, respectively. This  
19  
20 549 includes both soil and machine deformations. A slow loading rate was chosen to avoid the  
21  
22 550 generation of excess pore water pressure during loading so that the compression curve of the  
23  
24 551 soil may be fully characterized.  
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26  
27 552 3. Drained heating of Tests S-0 and US-30A to a target temperature of  $\approx 65$  °C at a rate of  
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29 553 0.35 °C/hr. Heating was performed in three stages from ambient temperature to 35 °C, 35 to  
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31 554 48 °C, and 48 °C to 63 °C, and the temperature was held until equilibrium was reached at  
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33 555 each stage.  
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### 39 556 **Experimental Results**

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41 557 Results from the desaturation tests (Test US-30A and US-30B) at ambient room temperature  
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43 558 are shown in Figure 9. For both tests, a target suction of 30 kPa was applied to the soil specimen  
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45 559 via withdrawal of the piston from the reservoir of the PWP flow pump. A total stress of 350 kPa  
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47 560 was held constant during desaturation. The suction response with time as well as the  
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49 561 corresponding change in pore water volume is shown in Figure 9(a) and 9(b), respectively.  
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51 562 Initially, the measured matric suction is relatively low until a sudden increase is occurs around  
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53 563 25 and 20 hours for Tests US-30A and US-30B, respectively [Figure 9(a)]. This jump in matric  
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3 564 suction value corresponds to completion of drainage of the coarse stone placed atop the soil  
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5 565 specimen. The volume of pore water withdrawn at this time must be properly calibrated to  
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8 566 calculate the final degree of saturation for the soil specimen once equilibrium conditions are met.  
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10 567 A small contraction of the soil specimen also occurred following final desaturation of the coarse  
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12 568 stone as seen in Figure 9(c). A continuous increase in matric suction is observed until the target  
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14 569 suction value of 30 kPa is achieved. At which point, the measured suction corresponds to the  
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16 570 value at the bottom boundary of the soil specimen. The PWP flow pump continues an ON/OFF  
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18 571 operation procedure to maintain the suction value within the pre-defined threshold value (30 kPa  
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20 572  $\pm 1.41$  kPa). Once this threshold has been met for longer than 5000 s, the suction and water  
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22 573 content distribution along the soil height is assumed to be uniform. For both tests, this process  
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24 574 took about 125 hours. For a matric suction of 30 kPa, degrees of saturation of 0.569 and 0.554  
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26 575 were attained for Tests US-30A and US-30B, respectively [in Fig. 9(d)]. The degree of saturation  
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28 576 was calculated based on the amount of water withdrawn (calibrated following drainage of the  
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30 577 coarse stone) along with any changes in soil volume that occurred. Agreement between Tests  
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32 578 US-30A and US-30B indicates reliable operation of the saturation/suction control system.

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38 579 Results from the isotropic mechanical drained consolidation tests for saturated (Test S-0) and  
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40 580 unsaturated (Test US-30A) specimens of compacted silt are shown in Figure 10. During loading,  
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42 581 a backpressure value of 320 kPa was maintained for Test S-0, while the PWP flow pump was  
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44 582 utilized to maintain a constant suction value of 30 kPa for the unsaturated specimen in Test US-  
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46 583 30A. Maximum loading rates of 4.98 and 10.15 kPa/hr were measured to correspond with the  
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48 584 CELL flow pump piston velocities of  $2 \times 10^{-5}$  and  $4 \times 10^{-5}$  mm/s, respectively (Fig. 10a). To assess  
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50 585 the ability of the non-contact displacement probes to measure changes in soil volume, as well as  
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52 586 to investigate the uniformity of soil deformation during loading, the volumetric strain calculated  
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3 587 using displacement measurements from Axial Probe C and either Radial Probe A or B for Tests  
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5 588 S-0 and US-30A are shown in Figure 10(b). For Test S-0, final volumetric strains of 6.9% and  
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8 589 7.2% were calculated based on the displacements recorded by Radial Probe A and Radial Probe  
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10 590 B, respectively, along with Axial Probe C. For Test US-30A, no significant discrepancy is  
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12 591 observed based on volume change calculations using either radial probe. Unless a significant  
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14 592 issue is observed for either radial probe throughout testing, final volume change calculations are  
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16 593 calculated using an average displacement of the two radial probes. The change in degree of  
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18 594 saturation with mean effective stress for Test US-30A is shown in Figure 10(c). A very small  
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20 595 decrease in degree of saturation of 0.0024 is observed during initial loading to a mean effective  
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22 596 stress of around 170 kPa, followed by an increase in degree of saturation of about 0.0208. The  
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24 597 overall increase in degree of saturation with increasing total stress is expected due to the  
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26 598 accompanied decrease in void ratio of the soil specimen that will cause a shift of the air entry  
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28 599 suction value to larger values of matric suction (Gallipoli et al. 2003). The compression curves  
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30 600 for Tests S-0 and US-30A are shown in Figure 10(d). For this study, the mean effective stress of  
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32 601 the soil specimen  $\sigma'$  was calculated using the definition of generalized of effective stress as  
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34 602 described by Bishop and Blight (1963):

$$\sigma' = (\sigma - u_a) + S_r(u_a - u_w) \quad \text{EQ. 9}$$

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36 603 where  $\sigma$  is the mean total stress,  $u_a$  is the pore air pressure,  $u_w$  is the pore water pressure, and  $S_r$   
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38 604 is the degree of saturation. For the saturated specimen in Test S-0, exchanges in pore water  
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40 605 volume during mechanical loading or unloading may be used to determine the change in volume  
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42 606 of the bulk soil, assuming the soil solids to be incompressible (Uchaipichat and Khalili 2011). As  
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44 607 such, for Test S-0, fluctuations in the volume of soil pore water were monitored during the  
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46 608 mechanical loading stage using a high-accuracy burette to compare with the volume change  
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3 609 results in Figure 10(d), calculated using the non-contact displacement probes. Excellent  
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5 610 agreement between the burette readings and non-contact displacement probe measurements was  
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8 611 observed for the evolution of void ratio with mean effective stress (Fig. 10d), confirming the  
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10 612 capability of the adopted soil volume measurement technique to reliably measure mechanically  
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12 613 induced volumetric strains. In the effective stress space, the slope of the isotropic normal  
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14 614 compression line,  $\lambda$ , was determined to be 0.069, and appears to be independent of matric  
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16 615 suction [Fig. 10(d)]. Further, an increase in mean effective preconsolidation stress was observed  
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18 616 with increasing matric suction from 0 to 30 kPa. This impact of matric suction on the slopes of  
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20 617 the compression curve and mean effective preconsolidation stress was also observed by  
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22 618 Uchaipichat and Khalili (2009) for specimens of compacted silt. A small amount of secondary  
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24 619 creep was also measured following achievement of the target total stress, resulting in an  
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26 620 additional decrease in void ratio of around 0.005 for both Tests S-0 and US-30A. The  
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28 621 mechanical strain anisotropy for both tests was also assessed during mechanical loading. The  
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30 622 axial and radial strains with mean effective stress for Tests S-0 and US-30A is shown in Figure  
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32 623 10(e). Larger deformations were observed in the axial direction than in the radial direction for  
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34 624 both tests. The relationship between axial and radial strain during drained mechanical loading  
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36 625 may be defined by the anisotropic mechanical strain ratio ( $\varepsilon_{aT} / \varepsilon_{rT}$ ) that is the ratio of axial to  
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38 626 radial strain. The anisotropic mechanical strain ratio versus mean effective stress is shown in  
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40 627 Figure 10(f). During initial loading, the anisotropic mechanical strain ratio is slightly scattered  
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42 628 and increases from 0 to approximately 1.25 for both tests. The abrupt variance in the strain ratio  
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44 629 is expected to be due to the initially low magnitude of mechanical axial and radial strains where  
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46 630 small changes in radial or axial strain will result in a large change in the strain ratio. After initial  
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48 631 loading, the anisotropic mechanical strain ratio is observed to stabilize and steadily increase to an  
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3 632 average anisotropic mechanical strain ratio of 1.82 and 1.98 for Tests S-0 and US-30A,  
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5 633 respectively.  
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8 634 Results from the drained heating tests on the saturated (Test S-0) and unsaturated (Test US-  
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10 635 30A) specimens of compacted silt are shown in Figure 11. Heating was initiated for each test  
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12 636 once the observed volumetric strain rate from the previous isotropic mechanical loading stage  
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14 637 was less than 0.0005 %/hr. This procedure was followed in order to isolate the thermal  
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16 638 volumetric strain of the soil specimen during heating from excess secondary creep following the  
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18 639 previous loading increment. During heating, a mean total stress of 1000 kPa was maintained  
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20 640 using the CELL flow pump for both tests. In addition, a backpressure value of 320 kPa was  
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22 641 maintained for Test S-0, while a constant suction value of 30 kPa was maintained for the  
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24 642 unsaturated specimen in Test US-30A, using the PWP flow pump. A maximum heating rate of  
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26 643 0.34 °C/hour was measured for both tests as shown in Figure 11(a). The thermal volumetric  
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28 644 strain with time for Tests S-0 and US-30A is shown in Figure 11(b), where positive volumetric  
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30 645 strain indicates contraction. The rate of thermal volumetric strain is observed to decrease  
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32 646 dramatically when the soil temperature is held constant. This indicates the heating rate of  
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34 647 approximately 0.3 °C/hour to be small enough to avoid the development of thermally induced  
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36 648 excess pore water pressure. For Test S-0, final thermal volumetric strains of 0.488% and 0.565%  
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38 649 were calculated using Radial Probe A and Radial Probe B, respectively. For Test US-30A, final  
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40 650 thermal volumetric strains of 0.583% and 0.678% were calculated using Radial Probe A and  
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42 651 Radial Probe B, respectively. In general, the volumetric strain trends and relative magnitudes are  
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44 652 in agreement. The change in degree of saturation with temperature for US-30A is shown in  
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46 653 Figure 11(c). The degree of saturation was observed to decrease by 0.0024. During heating, a  
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48 654 change in saturation will occur due to the cumulative effects of a decreasing void ratio (for the  
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3 655 case of normally consolidated soils) and increasing temperature. The results in Figure 10(d)  
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5 656 indicate that a decreasing void ratio will cause an increase in the degree of saturation due to an  
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8 657 increase in the air entry value. However, increases in temperature will cause a decrease in the air  
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10 658 entry suction due to the decrease in viscosity of the pore water resulting in a reduction in  
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12 659 interfacial tension between the pore water and soil solids (Romero et al. 2001). The observed  
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14 660 decrease in degree of saturation for Test US-30A during heating suggests the impact of  
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16 661 temperature on the air entry suction to dominate the adjoined effect of the decrease in void ratio.  
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19 662 This behavior was also observed by Uchaipichat and Khalili (2009).  
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22 663 The thermal volumetric strain with temperature is shown in Figure 11(d) for Tests S-0 and  
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24 664 US-30A. Both soil specimens exhibited contraction with increases in temperature as expected for  
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26 665 a soil heated in normally consolidated conditions (Cekerevac and Laloui 2004). The saturated  
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28 666 specimen was observed to exhibit a smaller averaged rate of thermal volumetric strain ( $\varepsilon_{vT} / \Delta T$ ),  
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30 667 0.0085 %/°C, than for the unsaturated specimen, 0.0103 %/°C. The thermal strain anisotropy for  
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32 668 both tests was also assessed during heating. The axial and radial strains with mean effective  
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34 669 stress for Tests S-0 and US-30A is shown in Figure 11(e). Similar to that during mechanical  
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36 670 loading, larger deformations were observed in the axial direction than in the radial direction for  
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38 671 both tests. These results differ from those observed by Hueckel and Pellegrini (1996) who found  
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40 672 larger strains to occur in the radial direction during heating. The relationship between axial and  
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42 673 radial strain during drained mechanical loading may be defined by the anisotropic thermal strain  
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44 674 ratio ( $\varepsilon_{aT} / \varepsilon_{rT}$ ) that is the ratio of axial to radial strain. The anisotropic mechanical strain ratio  
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46 675 versus mean effective stress is shown in Figure 11(f). During initial heating, the anisotropic  
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48 676 thermal strain ratio is highly variable due to the low magnitude of thermal radial strains relative  
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50 677 to the measured thermal axial strains. At a temperature of around 35 °C, the anisotropic thermal  
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3 678 strain ratio is observed to stabilize and exhibit a steady decrease with increasing temperature  
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6 679 with a much more exaggerated decrease observed for Test S-0. After heating, an average thermal  
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8 680 strain ratio of 4.30 and 3.48 was measured for Tests S-0 and US-30A, respectively.  
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10 681 The thermal strain rates measured for Tests S-0 and US-30A are in agreement with other data  
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12 682 from the literature, summarized in Figure 12(a). The thermal strain rate determined for Tests S-0  
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14 683 and US-30A for a change in temperature of 30 °C is compared with the thermal strain results  
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16 684 from Uchaipichat and Khalili (2009) in Figure 12(b) on normally consolidated compacted  
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18 685 Bourke silt of various initial degrees of saturation. For the Bonny silt, the thermal strain rate was  
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20 686 observed to increase with decreasing degree of saturation, while the opposite was measured for  
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22 687 the Bourke silt as determined by Uchaipichat and Khalili (2009). However, more tests are  
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24 688 required to assess the complete relationship between the initial degree of saturation and thermal  
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26 689 volumetric strain for NC soils.  
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31 690 Finally, to validate the capability of the PWP flow pump to accurately measure changes in  
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33 691 the volume of the pore water under various stress paths based on the assumptions of the thermal  
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35 692 expansion of free water by Campanella and Mitchell (1968), the gravimetric water content as  
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37 693 determined by operation of the PWP flow pump was compared with the experimentally  
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39 694 measured water content of the unsaturated soil specimen of Test US-30A following extraction.  
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41 695 The evolution of gravimetric water content with time for the suction application stage,  
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43 696 mechanical loading stage, and drained heating stage determined by the PWP flow pump is shown  
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45 697 in Figure 13 and is compared with the final measured gravimetric content. Good agreement is  
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47 698 observed between the extracted gravimetric water content with that measured by the PWP flow  
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49 699 pump. As such, the assumption of the thermal expansion of free water, as proposed by  
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3 700 Campanella and Mitchell (1968), is sufficient to determine the true variation in the volume of  
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5 701 pore water during heating.  
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## 8 702 **CONCLUSIONS**

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10 703 This paper described the development of a new high pressure thermo-hydro-mechanical  
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12 704 isotropic cell used for the evaluation of the thermal volume change mechanisms of saturated and  
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14 705 unsaturated soils. The thermal isotropic cell is capable of applying and maintaining matric  
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16 706 suction/degree of saturation, temperature, and isotropic net mean stresses (up to 10 MPa) at very  
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18 707 slow rates to fully characterize the soil compression and volume change curves for soils at  
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20 708 various initial degrees of saturation. Volume changes of the soil specimen throughout testing are  
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22 709 monitored using three non-contact proximity transducers, avoiding the need to consider complex  
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24 710 thermo-mechanical cell deformations. Further, variations in degree of saturation may be  
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26 711 measured during changes in net stress, matric suction, and temperature. A preliminary study on  
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28 712 three compacted silt specimens under two different initial degrees of saturation was executed to  
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30 713 highlight the capabilities of the high pressure thermo-hydro-mechanical isotropic cell as well as  
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32 714 to assess the impact of degree of saturation on mechanical and thermal volume change behavior.  
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34 715 The test results indicate matric suction/degree of saturation to not impact the slope of the normal  
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36 716 compression line in the effective stress space. However, an increase in suction was observed to  
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38 717 increase the soil's mean effective preconsolidation stress. The degree of saturation of the  
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40 718 unsaturated specimen was observed to increase with net stress due to the resulting decrease in  
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42 719 void ratio. During heating, the degree of saturation was observed to decrease as a result of  
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44 720 decreasing pore water viscosity with increasing temperature. The saturated soil specimen was  
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46 721 observed to exhibit a smaller thermally induced volumetric strain than the unsaturated specimen.  
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48 722 However, more testing is required to assess the impact of initial degree of saturation on the  
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3 723 thermal volumetric strain of normally consolidated soils. Further, the saturated and unsaturated  
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5 724 soil specimens were observed to exhibit larger strains in the axial deformation than in the radial  
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8 725 direction during changes in mean effective stress and temperature.  
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12  
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14  
15 728 The views in this paper are those of the authors alone.  
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854

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878 FIG. 12: (a) Thermal volumetric strain rate versus plasticity index for various soils; (b)

879 Comparison between thermal volumetric strain values for silty soils under different

880 degrees of saturation

881 FIG. 13: Gravimetric water content during testing for Test US-30A in comparison with measured

882 value following specimen extraction

883

884



885 TABLE 1: Summary of geotechnical properties of Bonny silt

Parameter	Value
$D_{10}$	< 0.0013 mm
$D_{30}$	0.022 mm
$D_{50}$	0.039 mm
% Fines smaller than 75 $\mu\text{m}$	83.9%
% Sand size	16.1%
Liquid Limit, LL	25
Plastic Limit, PL	21
Plasticity Index, PI	4
Activity, A	29
Hydraulic conductivity, $k$	$1.2 \times 10^{-5}$ m/s
Isotropic compression index, $\lambda$	0.069
Isotropic recompression index, $\kappa$	0.006
van Genuchten SWRC parameter, $\alpha_{vG}$	$0.2 \text{ kPa}^{-1}$
van Genuchten SWRC parameter, $n_{vG}$	1.3
Residual saturation, $S_{r,res}$	0.05
Thermal conductivity, $k_t$	1.3 W/( $\text{m}^\circ\text{C}$ )

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887 TABLE 2: Summary of soil and testing conditions

Test	Initial void ratio, $e_0$	Initial dry density, $\rho_d$	Compaction gravimetric water content, $w_0$	$\psi(B)$	$\psi(C)$	OCR	Temperature Sequence (D)
	(-)	( $\text{kg}/\text{m}^3$ )	(%)	(kPa)	(kPa)	(-)	( $^\circ\text{C}$ )
S-0	0.83	1445	13.9	0	1000	1	21-35-48-62
US-30A	0.81	1460	13.9	30	1000	1	21-35-48-63
US-30B	0.75	1510	13.9	30	N/A	N/A	N/A

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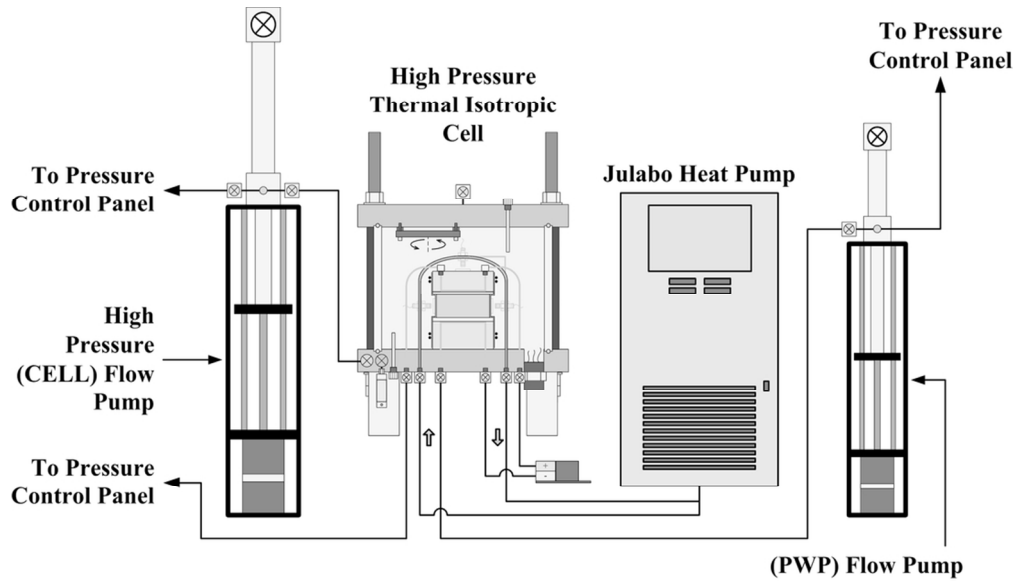


FIG. 1: Schematic of the thermo-hydro-mechanical isotropic control system  
92x53mm (300 x 300 DPI)

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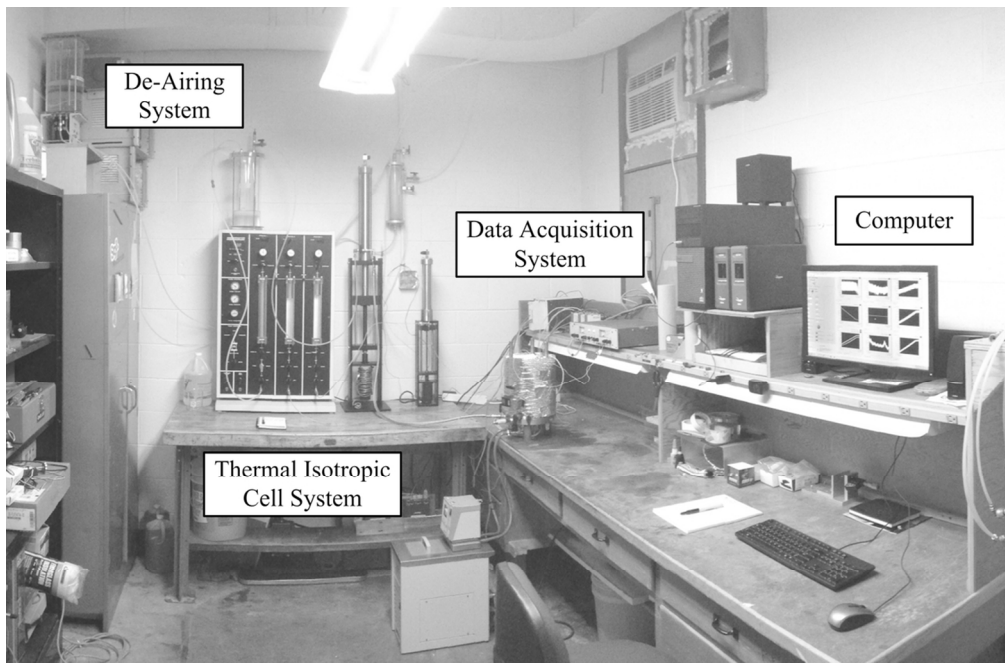
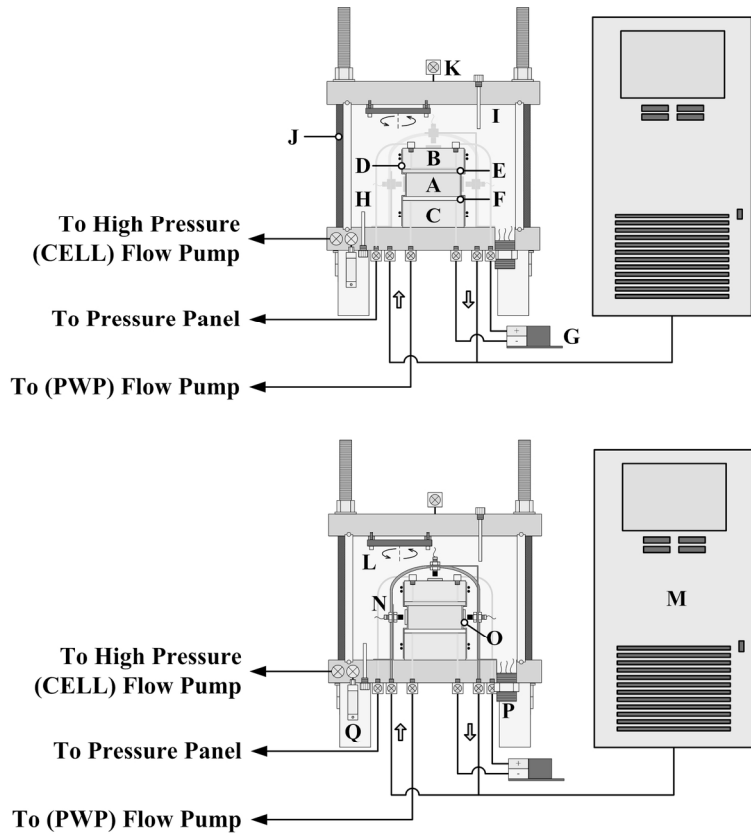


FIG. 2: Photo of the high pressure thermal isotropic cell control system  
108x70mm (300 x 300 DPI)



A: Soil Specimen; B: Top Soil Cap; C: Bottom Soil Cap; D: Neoprene Membrane; E: Coarse Porous Stone; F: High Air Entry Value Disk; G: DPT (for matric suction); H: Thermocouple Probe (bottom); I: Thermocouple Probe (top); J: Thermal Insulation; K: Cell Fluid Bleed Valve; L: Circulatory Fan; M: Julabo Heat Pump; N: Displacement Probes; O: Steel Target; P: HP Probe Feedthrough; Q: Cell Pressure Transducer

FIG. 3: Detailed schematic of isotropic cell  
167x175mm (300 x 300 DPI)

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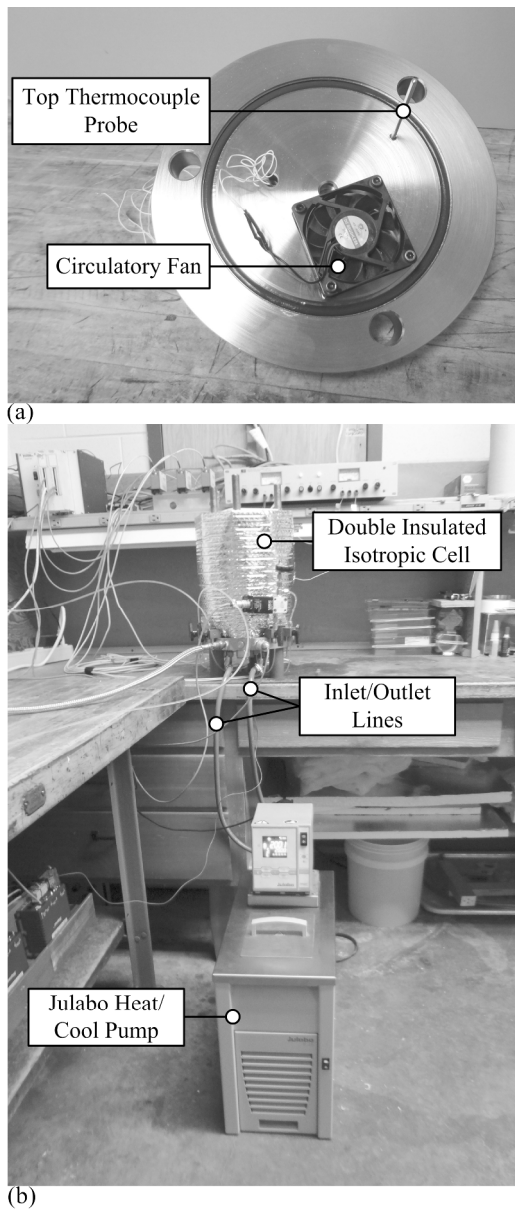


FIG. 4: Temperature control system: (a) Photo of circulatory fan and top thermocouple; (b) Photo of thermal system  
209x493mm (300 x 300 DPI)

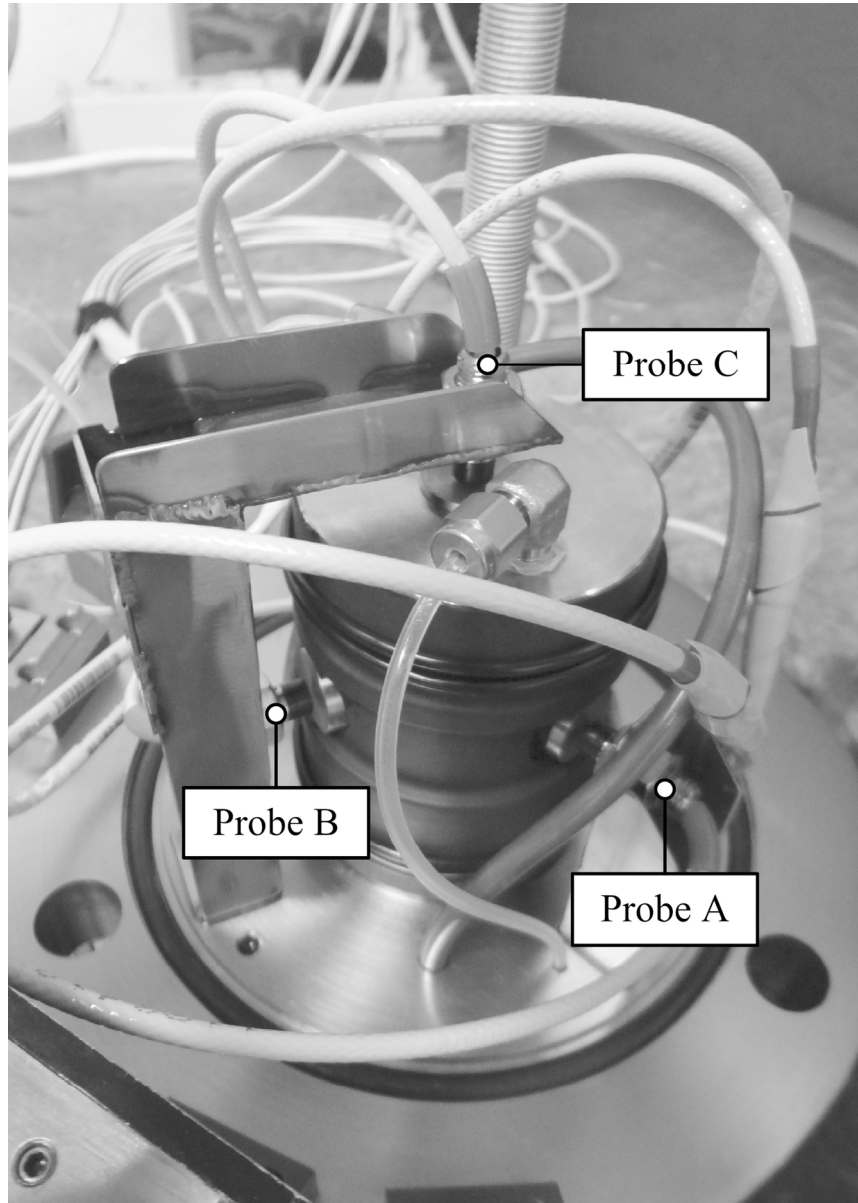


FIG. 5: Photo of assembly for radial (Probes A and B) and axial (Probe C) deformation measurement  
124x174mm (300 x 300 DPI)

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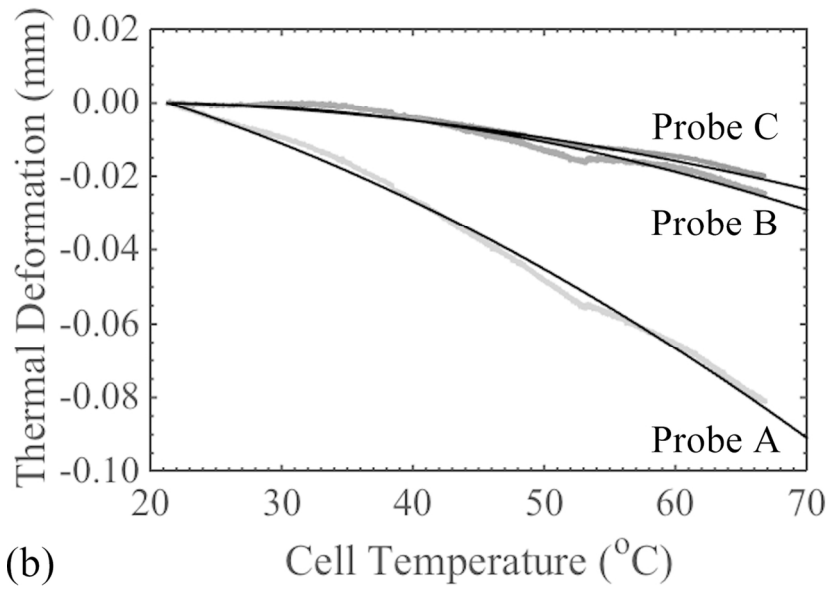
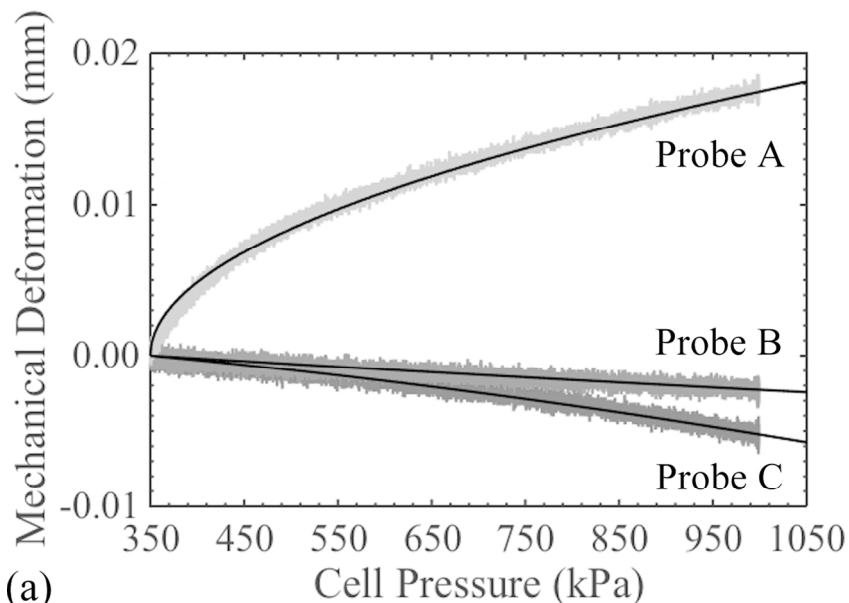


FIG. 6: Cell deformations: (a) Mechanical; (b) Thermal  
120x164mm (300 x 300 DPI)

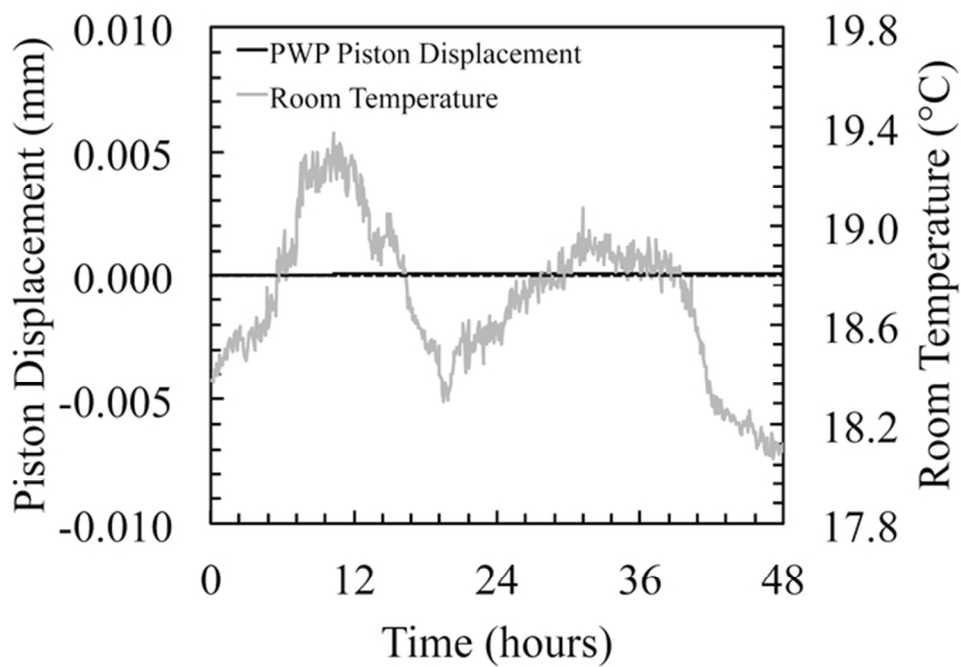


FIG. 7: PWP flow pump piston operation during room temperature swing  
60x41mm (300 x 300 DPI)



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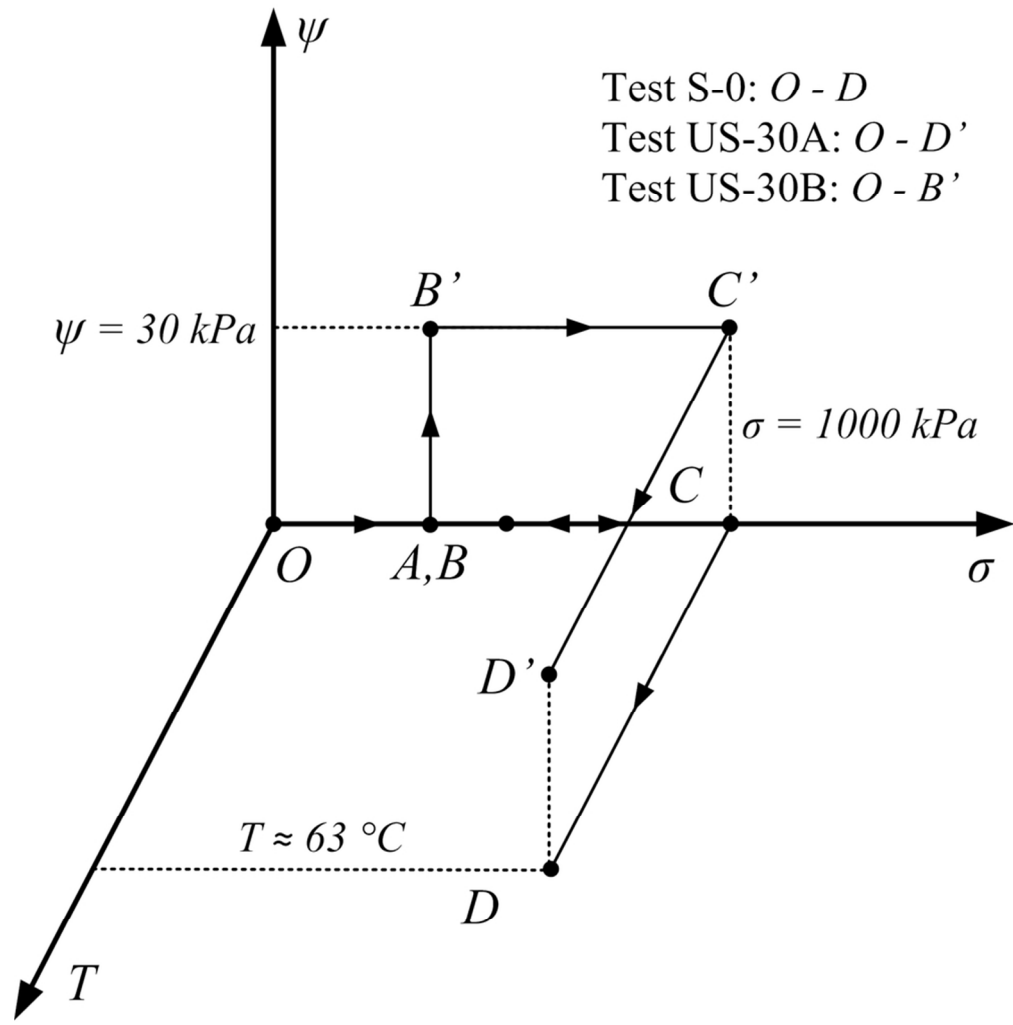


FIG. 8: Hydro-thermo-mechanical stress paths  
84x87mm (300 x 300 DPI)

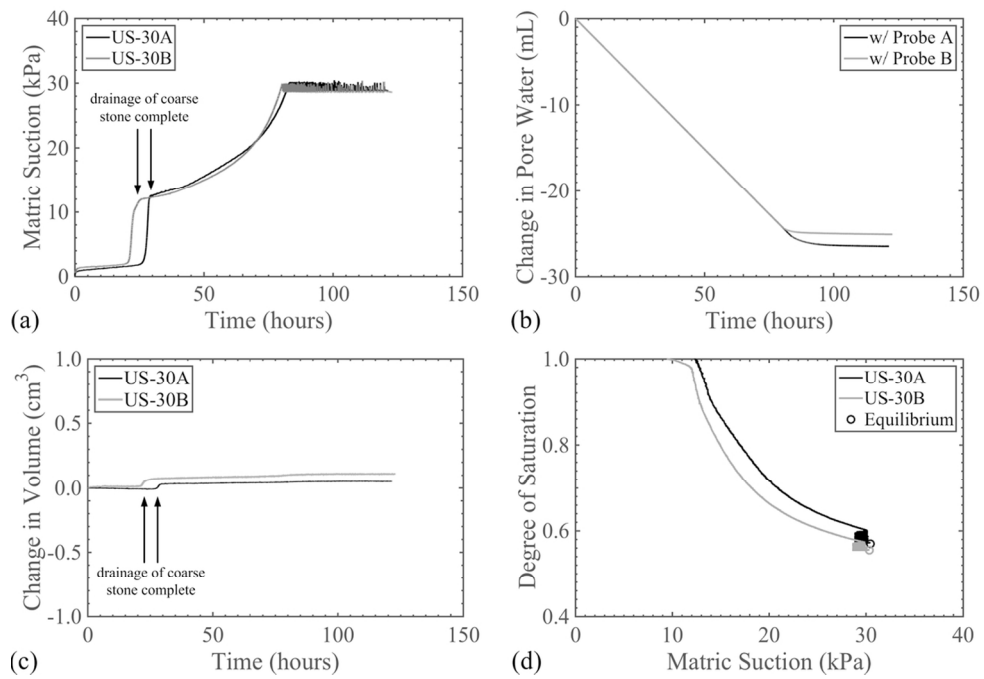


FIG. 9: Desaturation test results for Tests US-30A and US-30B: (a) Suction results with time; (b) Change in soil pore water with time; (c) Change in soil volume with time; (d) Transient suction-saturation and equilibrium point  
112x76mm (300 x 300 DPI)

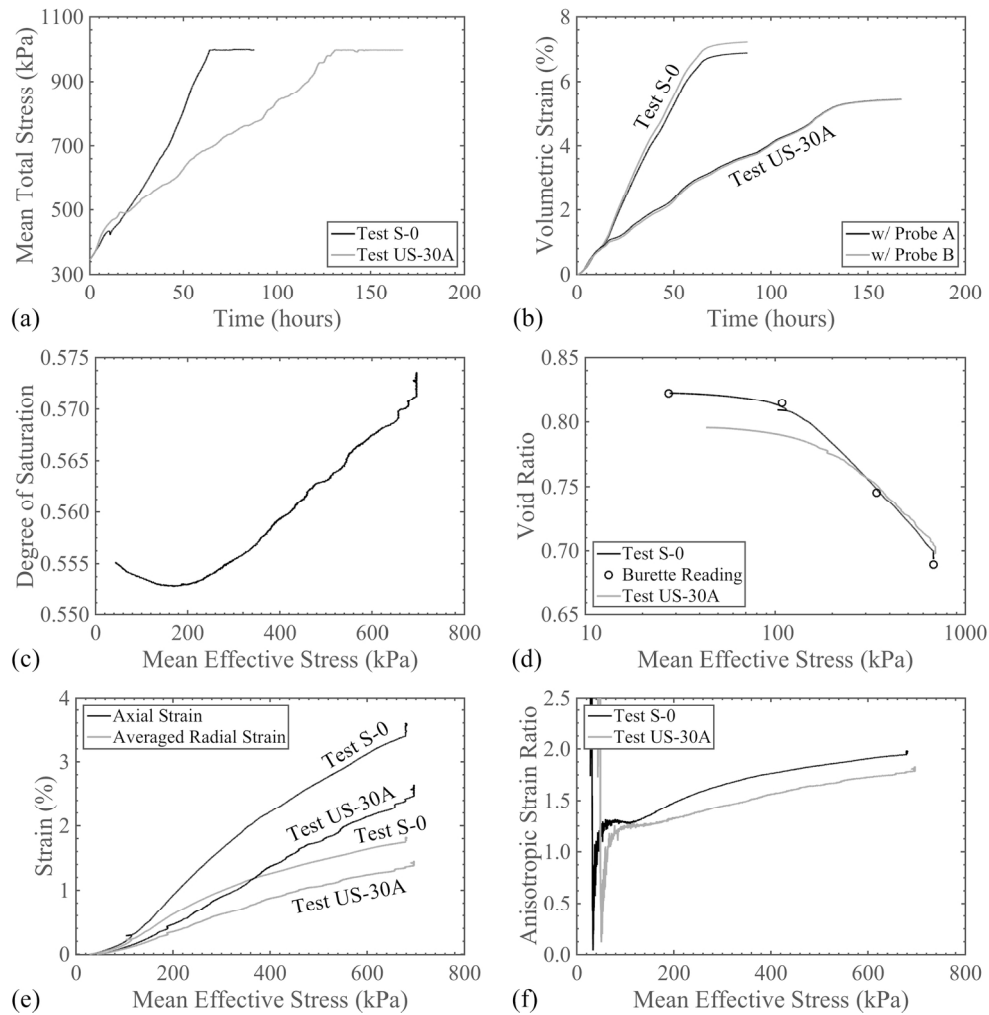


FIG. 10: Isotropic consolidation test results for Tests S-0 and US-30A: (a) Mean total stress with time; (b) Volumetric strain with time using Radial Probes A and B; (c) Degree of saturation with mean effective stress for Test US-30A; (d) Compression curves for Tests S-0 and US-30A; (e) Axial and averaged radial strains with mean effective stress; (f) Anisotropic strain ratio with mean effective stress  
168x171mm (300 x 300 DPI)

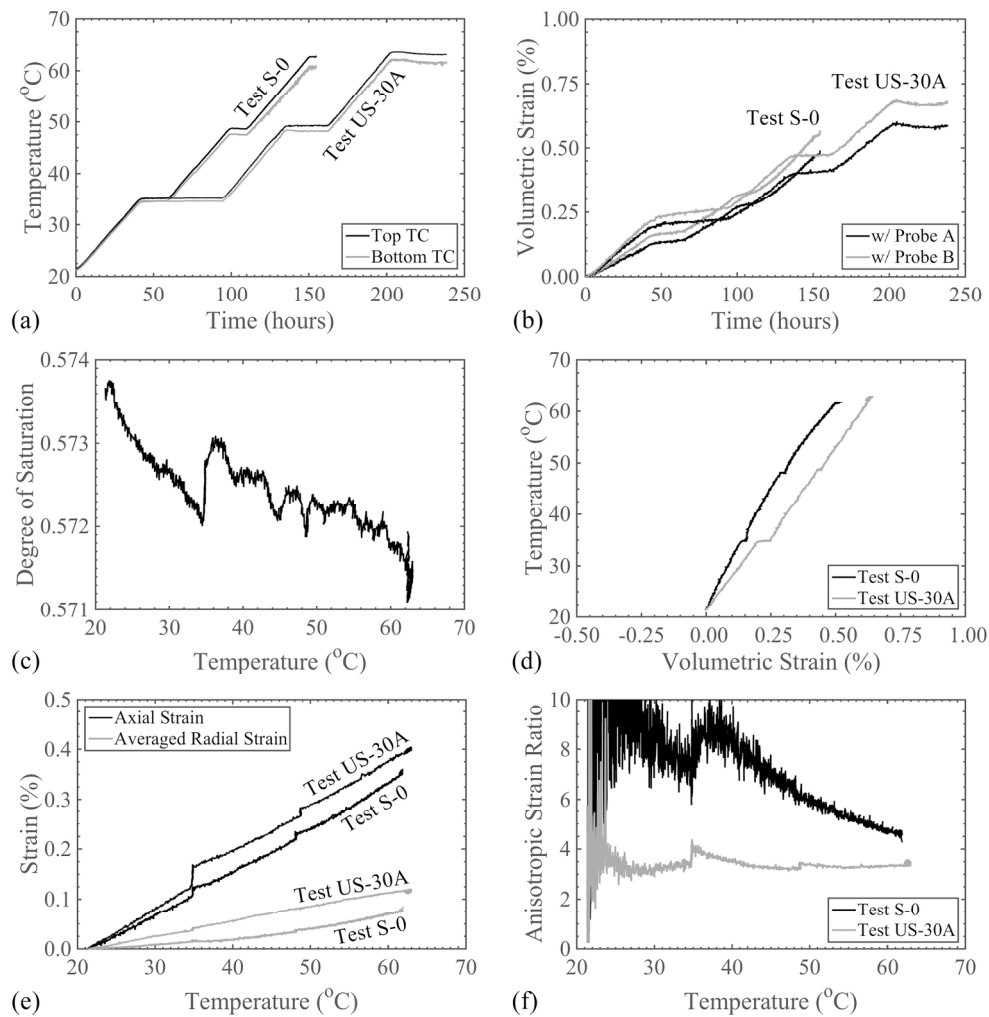
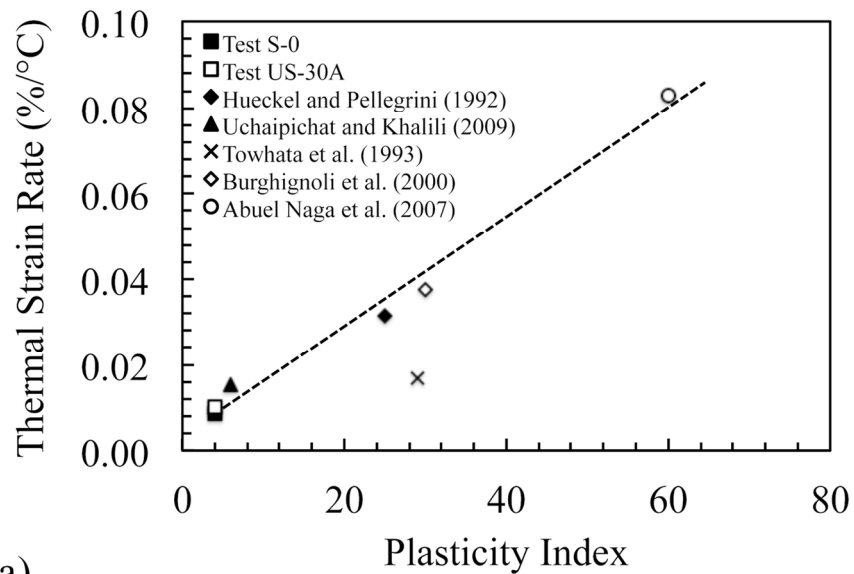
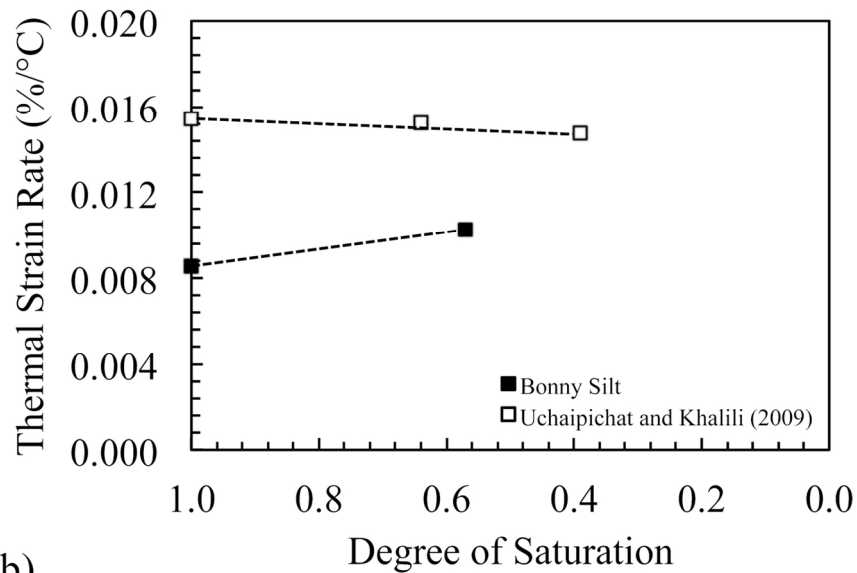


FIG. 11: Drained heating test results for Tests S-0 and US-30A: (a) Temperature with time; (b) Volumetric strain with time using Radial Probes A and B; (c) Degree of saturation with temperature for Test US-30A; (d) Thermal volume change curves; (e) Axial and averaged radial strains with temperature; (f) Anisotropic strain ratio with temperature  
168x171mm (300 x 300 DPI)



(a)



(b)

FIG. 12: (a) Thermal volumetric strain rate versus plasticity index for various soils; (b) Comparison between thermal volumetric strain values for silty soils under different degrees of saturation  
120x163mm (300 x 300 DPI)

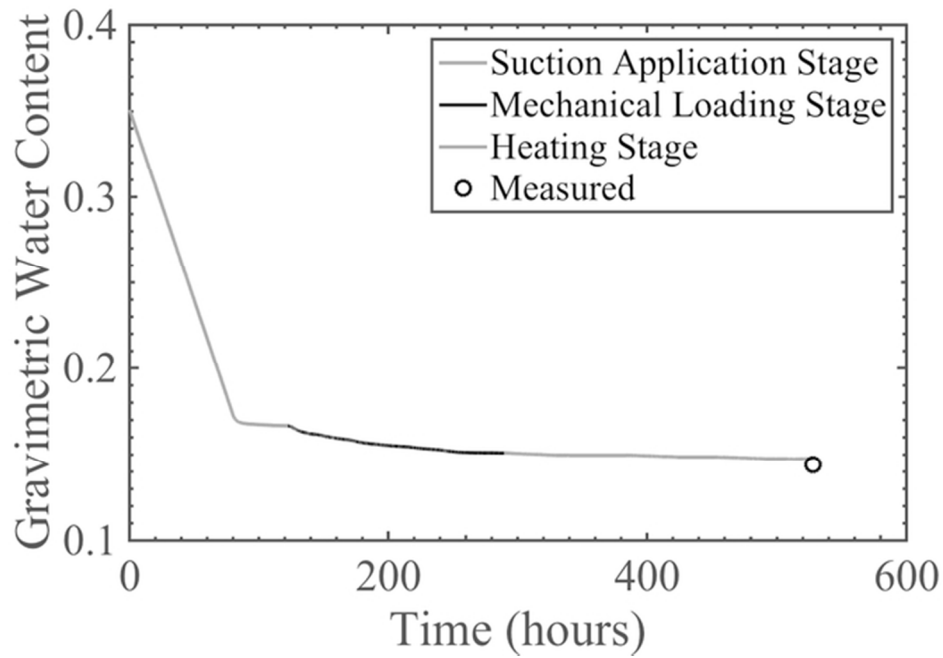


FIG. 13: Gravimetric water content during testing for Test US-30A in comparison with measured value following specimen extraction 60x41mm (300 x 300 DPI)